

Jeremiah W. (Jay) Nixon
Governor
State of Missouri

Jane A. Rackers, Division Director
DIVISION OF PROFESSIONAL REGISTRATION

Department of Insurance
Financial Institutions
and Professional Registration
John M. Huff, Acting Director

STATE COMMITTEE FOR SOCIAL WORKERS
3605 Missouri Boulevard
P.O. Box 1335
Jefferson City, MO 65102-1335
573-751-0885
573-526-3489 FAX
800-735-2966 TTY Relay Missouri
800-735-2466 Voice Relay Missouri
lcsw@pr.mo.gov
<http://pr.mo.gov>

Tom Reichard
Executive Director

Meeting Notice
September 7, 2012
8:00 a.m.

State Committee for Social Workers
Division of Professional Registration
3605 Missouri Blvd.
Jefferson City, MO

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Except to the extent disclosure is otherwise required by law, the State Committee for Social Workers is authorized to close meetings, records and votes, to the extent they relate to the following: Chapter 610.021 subsections (1), (3), (5), (7), (13), (14), and Chapter 324.001.8 and 324.001.9 RSMo.

The State Committee for Social Workers may go into closed session at any time during the meeting. If the meeting is closed, the appropriate section will be announced to the public with the motion and vote recorded in open session minutes.

TENTATIVE AGENDA
OPEN SESSION
September 7, 2012
8:00 a.m.

State Committee for Social Workers
Division of Professional Registration
3605 Missouri Boulevard
Jefferson City, Missouri

- I. Call to Order
- II. Roll Call
- III. Approval of Agenda
- IV. Introduction of Guests
- V. Approval of Minutes
 - June 6-7, 2012
 - July 19, 2012 Conference Call
- VI. Executive Director Report
 - Board Totals
 - ASWB Spring Meeting 2014
 - Other
- VII. Supervisor Renewal Course
- VIII. Rules Discussion
 - Joel Epstein- Missouri Institute of Mental Health
 - Janey Archey- Practicum Supervisor Continuing Education Hours
 - Professional Accountability Form
 - Other
- IX. Supervision Change of Status form
- X. Steven Haymon Disciplinary Hearing- 1:00 p.m.
- XI. **CLOSED SESSION-** Closed session as per Section 610.021 Subsection (1) for the purpose of discussion of confidential or privileged communication between this agency and its attorney; Section 610.021 Subsection (14) and Section 324.001.8 for the purpose of discussing applicants for licensure. Closed under Sections 610.021 for the purpose of reviewing and approving the closed minutes of one or more previous meetings. Closed under Sections 610.021(14) and 324.001.8, RSMo, for the purpose of discussing investigative reports and/or complaints.
- XII. Adjournment

**Open Minutes
June 6-7, 2012**

**State Committee for Social Workers
Candlewood Suites
3514 Amazonas Drive
Jefferson City, MO 65109**

Members Present

Terri Marty, Chairperson
Kathie Miller, Secretary
M. Jenise Comer
Hal Agler
Laura Neal
Jane Overton, Public Member

Staff Present

Tom Reichard, Executive Director
Elizabeth Willard, Processing Technician
Vicky Steen, Processing Technician
Earl Kraus, Legal Counsel
Julia Hartman, Legal Intern

Guests Present

Mike Cherba, Attorney General's Office
Jonathan Whitfield, Attorney General's Office
Steve Franklin, Missouri Society for Clinical Social Workers
Rose Windmiller, Washington University
Diane O'Brien, Washington University
R. Jan Wilson, St. Louis University
Georgian Rustemeyer, School Social Worker Association of Missouri

Call to Order – Terri Marty, Chairperson

The State Committee for Social Worker's Open Session meeting was called to order by Terri Marty, Chairperson, at 10:15 a.m. on June 7, 2012 at the Candlewood Suites, 3514 Amazonas Drive, Jefferson City, Missouri.

Approval of Agenda

A motion was made by Jane Overton and seconded by Hal Agler to approve the open session agenda. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Approval of Minutes

December 6, 2011

A motion was made by M. Jenise Comer and seconded by Laura Neal to approve the December 6, 2011 open session minutes. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

March 19, 2012 Mail Ballot

A motion was made by Jane Overton and seconded by Hal Agler to approve the March 18, 2012 mail ballot open minutes. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Executive Director Report

Mr. Reichard informed the Committee there are approximately 5700 LCSW's, approximately 700 LMSW's, and around 30 LBSW's.

Mr. Reichard discussed removing street addresses from the Division's website as there have been concerns about protecting licensees. A motion was made by Kathie Miller and seconded by Hal Agler to remove the street address from the online licensee search and require all individuals requesting a licensee's address to submit their request in writing. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Mr. Reichard asked the Committee what type of audit they would like done for the 2012 renewal. A motion was made by Laura Neal and seconded by Jane Overton to require all individuals to submit proof of their continuing education but only have staff audit twenty-five percent. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Mr. Reichard informed the Committee that office staff had some questions concerning LMSWs and how to tell if they are engaging in private practice. A motion was made by M. Jenise Comer and seconded by Laura Neal to remind any applicants staff are concerned about of the rules regarding private practice. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

ASWB Spring Meeting Update

Terri Marty discussed her attendance at the ASWB Spring Meeting. She stated that a major topic that was discussed was the use of social media in practice and jurisdiction issues with providing online therapy.

Legislation Discussion: HB1563

The Committee and guests discussed the recent school social work legislation that was passed and currently awaiting the Governor's signature. Ms. O'Brien, Ms. Windmiller, Ms. Wilson, and Ms. Rustemeyer informed the Committee that the purpose behind the legislation was for students who needed to get a state approved certification so that they can get reciprocity if they practice in other states, mostly with Illinois. A motion was made by Hal Agler and seconded by Jane Overton to create a task force to discuss the exam requirement of the legislation. The task force will include Terri Marty, Kathie Miller, M. Jenise Comer, R. Jan Wilson from St. Louis University, and Diane O'Brien from Washington University. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

2011 ASWB Examination Pass Rates

The Committee reviewed the ASWB exam pass rates for Missouri schools. In 2011, Missouri schools had higher pass rates than previous years.

Barbara Bailey Disciplinary Hearing

A disciplinary hearing was conducted on June 7, 2012 at 1:08 p.m. for Ms. Barbara Bailey, case number 10-0619 SW. Ms. Bailey was not present. Roll call was taken by Ms. Marty, all members were present and no member recused themselves. Ms. Marty stated the Committee will issue an order when the committee has completed deliberations and a copy of the order will be mailed to Ms. Bailey.

Closed Meeting

A motion was made by Jane Overton and seconded by Laura Neal to move to closed session as per Section 610.021 Subsection (1) for the purpose of discussion of confidential or privileged communication between this agency and its attorney; Section 610.021 Subsection (14) and

Section 324.001.8 for the purpose of discussing applicants for licensure. Closed under Sections 610.021 for the purpose of reviewing and approving the closed minutes of one or more previous meetings. Closed under Sections 610.021(14) and 324.001.8, RSMo, for the purpose of discussing investigative reports and/or complaints. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Open Session

The committee reconvened in open session at 1:32 p.m. on June 7, 2012.

NASW Symposium- Session on Dream Therapy

The committee tabled discussion of the NASW symposium training relating to dream therapy until the September meeting.

Janey Archey-Practicum Supervisor Continuing Education Hours

A motion was made by M. Jenise Comer and seconded by Jane Overton to inform Ms. Archey that a change in continuing education hours for supervisors requires a change in the rule and that her request will be reviewed during a later discussion on rule changes. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Missouri Institute of Mental Health- Continuing Education

A motion was made by Hal Agler and seconded by Jane Overton to inform Mr. Epstein that their institution would fall under acceptable providers in the continuing education rules but that they will consider his request to specifically name their institution in the rules at a later discussion on rule changes. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Closed Meeting

A motion was made by Laura Neal and seconded by Jane Overton to move to closed session as per Section 610.021 Subsection (1) for the purpose of discussion of confidential or privileged communication between this agency and its attorney; Section 610.021 Subsection (14) and Section 324.001.8 for the purpose of discussing applicants for licensure. Closed under Sections 610.021 for the purpose of reviewing and approving the closed minutes of one or more previous meetings. Closed under Sections 610.021(14) and 324.001.8, RSMo, for the purpose of discussing investigative reports and/or complaints. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Open Session

The committee reconvened in open session at 10:21 a.m. on June 8, 2012.

Amendment to Open Session Agenda

A motion was made by Hal Agler and seconded by Jane Overton to amend the open session agenda to include election of officers.

Election of Officers

A motion was made by Laura Neal and seconded by Jane Overton to nominate Kathie Miller as committee secretary. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

A motion was made by Kathie Miller and seconded by Laura Neal to nominate Terri Marty as committee chair. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

2012 Meeting Dates

The September and December meeting dates were rescheduled for Friday, September 7, 2012 and Friday, December 14, 2012.

Legislation Proposals/Rule Changes

The Committee discussed if they should propose legislation in the future to make a practice act for all levels of social work licensure. They also discussed making changes in the rules to add the NASW Code of Ethics as the ethical guidelines licensees should follow. The committee also discussed the possibility of adding rules relating to the use of electronic and social media if ASWB changes the Model Practice Act.

Closed Meeting

A motion was made by Hal Agler and seconded by Laura Neal to move to closed session as per Section 610.021 Subsection (1) for the purpose of discussion of confidential or privileged communication between this agency and its attorney; Section 610.021 Subsection (14) and Section 324.001.8 for the purpose of discussing applicants for licensure. Closed under Sections 610.021 for the purpose of reviewing and approving the closed minutes of one or more previous meetings. Closed under Sections 610.021(14) and 324.001.8, RSMo, for the purpose of discussing investigative reports and/or complaints. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Open Session

The committee reconvened in open session at 12:01 p.m. on June 8, 2012.

Newsletter

The committee discussed topics for a newsletter. They would like articles to be submitted to the office by July 1, 2012.

Adjournment

A motion was made by Jane Overton and seconded by Hal Agler to adjourn the meeting. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

The meeting was adjourned at 1:56 p.m. on June 8, 2012.

**Open Minutes
July 19, 2012
Conference Call**

**State Committee for Social Workers
Professional Registration
3605 Missouri Boulevard
Jefferson City, Missouri 65109**

Members Present

Terri Marty, Chairperson
Kathie Miller, Secretary
M. Jenise Comer
Hal Agler
Laura Neal
Jane Overton, Public Member

Staff Present

Tom Reichard, Executive Director
Elizabeth Willard, Processing Technician II
Sarah Ledgerwood, Legal Counsel

Guests Present

Tamitha Price, National Association of Social Workers

Call to Order – Terri Marty, Chairperson

The State Committee for Social Worker's Open Session meeting was called to order by Terri Marty, Chairperson, at 10:07 a.m. on July 19, 2012 at the Division Professional Registration, Jefferson City, Missouri via conference call.

Approval of Agenda

A motion was made by M. Jenise Comer and seconded by Jane Overton to approve the open session agenda. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

School Social Worker Exam

A motion was made by M. Jenise Comer and seconded by Kathie Miller to approve the Praxis Series School Social Worker: Content Knowledge exam as an approved exam for the school social worker verification document. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

School Social Worker Rules

A motion was made by Kathie Miller and seconded by Laura Neal to move forward with the rule making process in order to add an approved school social worker exam to the rules. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

Adjournment

A motion was made by Laura Neal and seconded by M. Jenise Comer to adjourn the meeting. Ms. Comer, Ms. Marty, Mr. Agler, Ms. Neal, Ms. Overton and Ms. Miller voted in favor of the motion.

The meeting was adjourned at 10:14 a.m. on July 19, 2012.



000267 AUG 13 2012

August 8, 2012

Board of Directors

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Patricia Heard, MSW, MBA, LCSW
North Carolina

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Nebraska

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M. Jenise Comer, LCSW, ACSW, MSW
Missouri

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Dorinda Noble, Ph.D., LCSW
Texas

Patricia P. O'Reilly, Ph.D.
Public Member
West Virginia

Executive Director

Donna DeAngelis, LICSW, ACSW

Tom Reichard

Executive Director

Missouri State Committee for Social Workers

3605 Missouri Blvd

PO Box 1335

Jefferson City, MO 65102

Dear Tom:

I am pleased to inform you that at its recent meeting on August 3rd, the Association of Social Work Boards (ASWB) Board of Directors voted in favor of accepting the invitation from the Missouri State Committee for Social Workers to hold the spring 2014 meeting in Kansas. Based on your board's recommendation, every effort will be made to hold the meeting in either St. Louis or Kansas City. Jennifer Hoffman, the meeting planner, will go ahead with the site selection for this meeting. Please feel free to contact Jennifer at 800-225-6880 extension 3007 or via e-mail at jhoffman@aswb.org with any suggestions.

There are no obligations to the hosting board, either financially or in planning the meeting, but we more than welcome any assistance provided by your board. One area of the meeting where we can always use assistance is registration, where we enjoy having the host board act as greeters.

Again, thanks so much for the invitation and we look forward to revisiting the beautiful state of Missouri.

Sincerely,

A handwritten signature in black ink that reads "Donna". The signature is fluid and cursive, with a long, sweeping underline.

Donna DeAngelis, LICSW, ACSW
Executive Director

DD: jah

Willard, Elizabeth

From: Reichard, Tom
Sent: Friday, August 17, 2012 3:23 PM
To: Willard, Elizabeth
Subject: FW: Question [REDACTED]

From: [REDACTED]
Sent: Wednesday, August 15, 2012 8:18 AM
To: Reichard, Tom
Cc: Tamitha Price
Subject: Question [REDACTED]

Tom: I hope all is well for you. I have a question about the qualifications necessary to provide supervision for social work licensees. I have developed and taught the 3 hour supervision refresher course for our [REDACTED] CE program. I taught the course last November and am scheduled to teach the course again this November. I am wondering whether I have to actually take the course from perhaps, NASW, to be qualified to supervise licensees, or does my developing and teaching the course qualify me? Thank you. [REDACTED]

--
[REDACTED]



Missouri Institute of Mental Health
A Center for Policy, Research, and Training

Continuing Education
5400 Arsenal Street
St. Louis, MO 63139-1403
314-877-6421 (v)
314-877-6428 (f)
joel.epstein@mimh.edu
www.mimhtraining.com

000040 JUN 19 2012

4/18/12

Tom Reichard, Executive Director
Committee for Social Workers
3605 Missouri Boulevard
P.O. Box 1335
Jefferson City, MO 65102-1335
573.751.0885 Telephone

Mr. Reichard -

I recently had correspondence with Elizabeth Willard who asked me to resubmit this letter for consideration at your September meeting.

I would like to introduce you to my Continuing Education staff. For years, we have been both a provider and accreditor of CEUs for mental health professionals here in Missouri. You can find out more about our offerings at <http://www.mimhtraining.com>.

In addition to the introduction, I was hoping you might be able to include us as an approved provider in your committee's rules. I see on your website (<http://pr.mo.gov/boards/socialworkers/conteducation.pdf>), that you give a listing of organizations that your membership might turn to for fulfilling their continuing education requirements. We would be grateful if you could include us on that list.

I'd be happy to talk to you more about this if you have additional questions.

Sincerely,

A handwritten signature in black ink that reads "Joel Epstein".

Joel Epstein, PhD
Research Associate Professor
Director, Continuing Education
Missouri Institute of Mental Health
5400 Arsenal
Saint Louis, MO 63139

(314) 877-6421(v)
(314) 877-6428 (f)
www.mimhtraining.com

Willard, Elizabeth

From: Willard, Elizabeth
Sent: Wednesday, June 13, 2012 11:39 AM
To: 'jearchey@sbcglobal.net'
Subject: Committee Meeting

Ms. Archey,

The State Committee for Social Workers met on June 7-8, 2012 and reviewed your request to increase the number of hours awarded for serving as a practicum supervisor. Please be advised that the change you are requesting must go through the rulemaking process. The Committee voted to table your request to consider at a later meeting when changes to the rules will be discussed.

Should you have any questions, feel free to contact our office.

Elizabeth Willard
Processing Technician II
State Committee for Social Workers, State Committee of Dietitians, Office of Endowed Care Cemeteries, Interior Design Council
Missouri Department of Insurance, Financial Institutions, & Professional Registration
p. 573-751-0885
f. 573-526-3489
elizabeth.willard@pr.mo.gov
[Sign up for DIFP news](#)

000108 APR-6 2

Terri Marty, LCSW
Chair
Committee for Social Workers
3605 Missouri Boulevard
PO Box 1335
Jefferson City, MO 65102-1335

Dear Ms. Marty,

Enclosed please find a request from over forty social workers to increase the practicum instructor CEU's for a semester from 3 hours to 5 hours of credit. Most of us attended a recent licensure update at UM-St. Louis and I feel this is justified for the amount of work and time we put in to supervising our students over a semester.

We are hoping you can take this up at your next meeting or send it around to the other members to get approved (Mr. Reichard told us you haven't always had a quorum to meet officially since two seats are currently empty). We are asking that this be an official change after the end of this summer so we can start receiving credit by August, 2012.

Thank you very much for your attention in this matter and we continue to look forward to working with students who are becoming our future leaders in the social work field.

Please send any reply or decision to Janey Archey, MSW, LCSW at jearchey@sbcglobal.net or contact me on my cell at (314) 667-4575. We hope to hear from you at your earliest convenience.

Sincerely,

As Social Work Professionals we would like the state board on licensing to please raise the number of hours granted to those of us who serve as practicum instructors from 3 hours to 5 hours per semester. We feel we put in as much preparation and planning as the 5 hours granted to someone who teaches a semester-long college course. We would appreciate this taking effect starting summer, 2012
Thank you

Name: Credential:

- 1 Janey Arckey MSW, LCSW
- 2 Tanya G. Hutcherson, MSW, LCSW
- 3 Jennifer Neal
- 4 Kathleen Wood, MSW
- 5 Anthony Nave MSW
- 6 JEFF YIN, MSW
- 7 Geoffrey Dote
- 8 Tara Reinhard, MSW, LSW
- 9 Brandy Holley, MSW
- 10 Ellen Thornhill, MSW
- 11 Cheryl Phyns, MSW, LCSW
- 12 Jocelyn R. Jane, LCSW
- 13 Angela Berator
- 14 Judith Woodlark, MSW
- 15 Katherine Downing
- 16 Joan Dougherty
- 17 Justin Bennett, MSW
- 18 Erica Wolff, LMSW
- 19 Roselynn Hagard, LCSW
- 20 Kathleen Jay, MSW
- 21 Kimberly Clinton, student
- 22 Betty Richardson, MSW
- 23 Karen Ferrell, MSW
- 24 Michael Kuba, MSW
- 25 Victor Wenzel, MSW
- 26 Brian Long, MSW, LCSW
- 27 Christina, MSW
- 28 Cindy Malone, MSW, LCSW
- 29 Sheila Sudenbala, MSW

000110 APR-62

As Social Work Professionals we would like the state board on licensing to please raise the number of hours granted to those of us who serve as practicum instructors from 3 hours to 5 hours per semester. We feel we put in as much preparation and planning as the 5 hours granted to someone who teaches a semester-long college course. We would appreciate this taking effect starting summer, 2012.
Thank you.

Name: Credential:

- 30 Megan Pfeil MSW
- 31 Janie Pryor MSW
- 32 Dmitry MSW
- 33 Jean Foster LCSW
- 34 Barbara Burkha LCSW
- 35 Beverly Moore MSW, LCSW
- 36 Wendy Dwyer MSW, LCSW
- 37 Regina Williams
- 38 Bob Egan MSW
- 39 Adrienne Williams MSW, LCSW
- 40 Judy Kessler MSW, LCSW
- 41 Melissa Turner MSW student
- 42 Himyata Young MSW
- 43 _____
- 44 _____
- 45 _____
- 46 _____
- 47 _____
- 48 _____
- 49 _____
- 50 _____

000109 APR-62

Reichard, Tom

From: Tamitha Price [REDACTED]
Sent: Tuesday, June 19, 2012 2:48 PM
To: Reichard, Tom
Subject: RE: Accountability Form

No, I do not have minutes, but my notes indicate that the committee would discuss it as a rule change and draft a disclosure statement form (like Louisiana State Board of Social Work Examiners) while incorporating Ohio's information as well. You were going to have to speak with legal to determine if you could implement the form now or wait until rules were enacted. However since it did not have any financial impact it more than likely was going to be able to be implemented by the end of the year.

That's what I have.

Tamitha R. Price, ACSW, CSWM, LBSW-IP, BSBA
 Executive Director
 NASW-Missouri Chapter
 P.O. Box 2043
 Jefferson City, MO 65102
 B: 573.635.6965
 F: 573.635.6728
 E: [REDACTED]
 W: www.nasw-mo.org

If you wish to be excluded from future emails of this nature from NASW please [<click here>](#), or copy and past the following statement into your browser:

<http://www.socialworkers.org/membercenter/newsprefs.asp>.

SHOW-ME ANNUAL SYMPOSIUM '13—March 15-17—Country Club Hotel and Spa, Lake Ozark

[GoodSearch](#) & [GoodShop](#) for National Association for Social Workers - Missouri (Jefferson City MO)

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From: Reichard, Tom [REDACTED]
Sent: Tuesday, June 19, 2012 2:40 PM
To: 'Tamitha Price'
Subject: RE: Accountability Form

Do you keep minutes for meetings?

My memory of this was that the committee could discuss as part of rules discussion at an upcoming meeting and we did not have rules discussed at the last meeting.

Tom Reichard
 Executive Director
 State Committee for Social Workers
 State Committee of Dietitians
 Office of Endowed Care Cemeteries
 Interior Design Council
 Missouri Department of Insurance, Financial Institutions & Professional Registration
 [REDACTED]

6/19/2012

From: Tamitha Price [REDACTED]
Sent: Tuesday, June 19, 2012 11:42 AM
To: Reichard, Tom
Subject: Accountability Form

Tom,

At the last State Committee meeting, did the board discuss or review a draft of the Professional Accountability Form or rules regarding this issue for licensed social workers to present to clients while under supervision? I know that our groups had worked on this issue in February of this year and wanted to follow up on the State Committee's action of implementing this process for Missouri licensed social workers. Can you give me a timeline of when this will become apart of the process? I need to make sure that we inform our supervisors so that they are assisting their licensees for compliance.

Thanks.

Tamitha R. Price, ACSW, CSWM, LBSW-IP, BSBA
Executive Director
NASW-Missouri Chapter
P.O. Box 2043
Jefferson City, MO 65102
B: 573.635.6965
F: 573.635.6728

[REDACTED]
W: www.nasw-mo.org

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SHOW-ME ANNUAL SYMPOSIUM '13—March 15-17—Country Club Hotel and Spa, Lake Ozark
[GoodSearch](#) & [GoodShop](#) for National Association for Social Workers - Missouri (Jefferson City MO)
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Social workers shall display at the social worker's primary place of practice or make available for all clients the following professional disclosure statement:

Professional Disclosure Statement

**from the
Rules, Standards and Procedures of the
Louisiana State Board of Social Work Examiners**

A social worker shall display at the social worker's primary place of practice or make available for all clients a statement that the client has the right to:

1. Expect that the social worker has met the minimal qualifications of education, training, and experience required by state law;
2. Examine public records maintained by the Board which contain the social worker's qualifications and credentials;
3. Be given a copy of the Standards of Practice upon request;
4. Report a complaint about the social worker's practice to the Board;
5. Be informed of the range of fees for professional services before receiving the services;
6. Privacy as allowed by law, and to be informed of the limits of confidentiality;
7. Expect that the social worker will take reasonable measures consistent with the social worker's duty of confidentiality to limit access to client information and any expressed waivers or authorizations executed by the client. Reasonable measures include restricting access to client information to appropriate agency or office staff whose duties require such access.
8. Receive information that a social worker is receiving supervision and that the social worker may be reviewing the client's case with the social worker's supervisor or consultant. Upon request, the social worker shall provide the name of the supervisor and the supervisor's contact information.
9. Be free from being the object of discrimination while receiving social work services; and,
10. Have access to records as allowed by law.

Louisiana State Board of Social Work Examiners
18550 Highland Road, Suite B
Baton Rouge, LA 70809
Telephone: 225-756-3470 or 800-521-1941 (LA only)
website: www.labswe.org

All Board licensees are required to have a disclosure statement by section 4757.12 of the Revised Code & rule 4757-5-12 of the Administrative Code. The statement should provide your areas of competence for practice that are supported by appropriate education, training and prior supervised practice or consultation by a competent practitioner in each area of competence claimed.

PROFESSIONAL DISCLOSURE STATEMENT

(This is a sample SWA professional disclosure statement)

Jane M. Smith, SWA

Registered Social Worker Assistant (SWA) Registration #: W.0012345

Utopia Mental Health Services - 1 W Broad St, Ste 300 - Happytown, OH 12345 - (123) 456-7890

Formal Education

Columbus State Community College - AAS - June 2010

List areas of competence for which you have training, education and experience, also include whether you are under supervision.

Areas of Competence and Services Provided

- Intake
- Assessment and Referral
- Screening
- Case Management and Outreach
- Record Keeping
- Social Assessment
- Crisis Intervention and Resolution
- Community Support
- Advocacy

This information is required by the State of Ohio Counselor, Social Worker & Marriage and Family Therapist Board, which regulates all licensed and registered counselors, social workers and marriage and family therapists.

If you have complaints about professional services from a counselor, social worker and/or marriage and family therapist contact the:

Ohio Counselor, Social Worker, and Marriage and Family Therapist Board
50 West Broad Street, Suite 1075 Columbus, OH 43215-5919
Phone (614) 466-0912 - Website: www.cswmft.ohio.gov

Willard, Elizabeth

From: Robert Sontag [REDACTED]

Sent: Wednesday, July 18, 2012 12:37 PM

To: State Committee for Social Workers

Subject: Clarification

I noticed on the "Supervision Change of Status" form, on page 2, under the section "Nature of Supervision," there is a statement that says "A Disclosure Statement Must be Presented to Each Client Regarding Supervisory Status and Nature of Supervision."

That isn't on the "Registration of Supervision" form and I hadn't seen it anywhere else, so I was puzzled about the requirement. Does this apply to all supervisees and all clients in all circumstances?

Thanks,

Bob Sontag, LCSW

7/18/2012



STATE OF MISSOURI
DIVISION OF PROFESSIONAL REGISTRATION
SUPERVISION CHANGE OF STATUS

MISSOURI DIVISION OF PROFESSIONAL REGISTRATION
 STATE COMMITTEE FOR SOCIAL WORKERS

INSTRUCTIONS

- All sections must be completed. Additional information may be included by attaching a separate sheet. (573) 751-0885 (Voice Mail)
- Completed form should be mailed to the following central office address: Text Telephone for Hearing Impaired 1-800-735-2966
 - State Committee for Social Workers
 - Post Office Box 1335
 - Jefferson City, Missouri 65102-1335
 - http://www.pr.mo.gov E-mail: lcsw@pr.mo.gov

TYPE OF CHANGE (CHECK APPROPRIATE BOX)

SUPERVISOR(S): ADDITIONAL SUPERVISOR NEW SUPERVISOR SETTING: ADDITIONAL NEW

APPLICANT DATA

NAME (FIRST, MIDDLE, LAST, SUFFIX, FORMER/MAIDEN)

RESIDENCE STREET ADDRESS (IF PO, PLEASE PROVIDE A STREET ADDRESS ALSO)	CITY	STATE	ZIP CODE
SOCIAL SECURITY NUMBER	DATE OF BIRTH	RESIDENCE TELEPHONE NUMBER	
CURRENT PLACE OF EMPLOYMENT	EMPLOYMENT TELEPHONE NUMBER		
EMPLOYMENT ADDRESS	CITY	STATE	ZIP CODE
E-MAIL	U.S. CITIZEN? <input type="checkbox"/> YES <input type="checkbox"/> NO (IF NO, ATTACH COPY OF EVIDENCE OF LEGAL RESIDENT ALIEN STATUS)		

ANSWER THE FOLLOWING QUESTIONS (Yes answers must be explained in sworn affidavit and accompanied by documents as required in the rules.)

- | | YES | NO |
|---|--------------------------|--------------------------|
| a) Have you ever applied for a license as a social worker and been denied? | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Has your license or social work privileges ever been revoked, restricted, or have you ever been the subject of disciplinary action by any licensing agency, institution or any other entity? | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Have you ever entered a plea of guilty or nolo contendere or been convicted of a felony, misdemeanor or received a suspended imposition of sentence? | <input type="checkbox"/> | <input type="checkbox"/> |
| d) Are you presently being investigated or is there any disciplinary action pending against you? | <input type="checkbox"/> | <input type="checkbox"/> |
| e) Are you now or ever have been addicted to or used in excess, any drug or chemical substance including alcohol? | <input type="checkbox"/> | <input type="checkbox"/> |
| f) Are you now being treated or have you ever been treated through a drug or alcohol rehabilitation program? | <input type="checkbox"/> | <input type="checkbox"/> |
| g) Have you ever been named as a party in a civil suit? | <input type="checkbox"/> | <input type="checkbox"/> |
| h) Have you ever been disciplined for unethical behavior or unprofessional conduct? | <input type="checkbox"/> | <input type="checkbox"/> |
| i) Have you ever voluntarily surrendered a professional license? | <input type="checkbox"/> | <input type="checkbox"/> |

SUPERVISED PRACTICE SETTING

INSTITUTION NAME

INSTITUTION ADDRESS

IS INSTITUTION A PRIVATE PRACTICE?
 YES NO (The professional setting shall not include private practice in which the supervisee operates, managers, or has an ownership interest in the private practice)

SUPERVISOR DATA

NAME (LAST, FIRST, MIDDLE, MAIDEN)	IS SUPERVISOR A RELATIVE OF APPLICANT
ADDRESS (STREET, CITY, STATE, ZIP CODE)	DAYTIME TELEPHONE NUMBER

Dates of employment, if not employed by institution. Clearly indicate the nature of affiliation with the institution. (Attach letter of employment verification or photocopy of pertinent contract).

PLEASE CHECK ALL THAT APPLY TO SUPERVISOR:

- Missouri (LCSW) - License Number _____ ;
- Licensed social worker in another state supervising in that state, with an equivalent license - State _____ License Number _____ ; Original Issue Date _____ ;

IDENTIFY INDIVIDUAL(S) WHO HAVE AN OWNERSHIP INTEREST IN THE PRIVATE PRACTICE.

LIST THE INDIVIDUAL(S) ULTIMATELY RESPONSIBLE FOR THE PRIVATE PRACTICE.

NATURE OF SUPERVISION

A Disclosure Statement Must Be Presented To Each Client Regarding Supervisory Status and Name of Supervisor.

APPLICANT'S PROPOSED POSITION	DATE OF APPLICANT'S INITIAL EMPLOYMENT (ATTACH VERIFICATION LETTER)
TOTAL NUMBER OF HOURS/WEEK APPLICANT WILL BE WORKING	NUMBER OF HOURS/WEEK OF INDIVIDUAL FACE-TO-FACE SUPERVISION
ANTICIPATED COMPLETION DATE FOR SUPERVISION	PROPOSED PERIOD OF SUPERVISION

DESCRIBE THE SOCIAL WORK DUTIES TO BE PERFORMED BY THE APPLICANT, INCLUDING SPECIFIC TYPES OF CASES, CLIENTS, ASSESSMENT PROCEDURES, INTERVENTION ACTIVITIES AND THERAPEUTIC APPROACHES.

DESCRIBE THE NATURE OF THE SUPERVISION, SPECIFICALLY HOW WILL THE SUPERVISOR MAINTAIN FULL PROFESSIONAL RESPONSIBILITY FOR THIS APPLICANT? (ATTACH ADDITIONAL SHEET IF NECESSARY)

STATEMENT OF EMPLOYER

(INSERT SOCIAL WORKER IN TRAINING NAME) (SETTING) (DATE OF EMPLOYMENT)

I hereby affirm that _____ is employed at _____ as of _____

EMPLOYER SIGNATURE	DATE
--------------------	------

STATEMENT OF APPLICANT

I hereby affirm under penalties of perjury that I am the applicant named in this registration and that all statements and enclosures herein are true and accurate to the best of my knowledge, information and belief.

DATE	SIGNATURE OF APPLICANT
------	------------------------

STATEMENT OF SUPERVISOR

I have reviewed this proposal for supervised professional experience and accept full professional responsibility for the work this applicant will be performing under my supervision. This work will be performed pursuant to my order, control, oversight and guidance. If I am unable to complete this supervision arrangement I will advise the State Committee for Social Workers.

I hereby affirm under penalties of perjury that I am the supervisor named in this registration and that all the statements and enclosures herein are true and accurate to the best of my knowledge, information and belief.

DATE	SIGNATURE OF SUPERVISOR
------	-------------------------

BEFORE THE MISSOURI STATE COMMITTEE FOR SOCIAL WORKERS

IN THE MATTER OF)
)
Steven Haymon)
Stemen & Associates)
889 South Brentwood Blvd, Ste. LL-102)
St. Louis, MO 63117-1347)
)
License No 000170)
)
Michael Cherba)
Assistant Attorney General)
Post Office Box 861)
St. Louis, MO 63188)
)

Case No. 11-0732 SW

NOTICE OF HEARING

PLEASE TAKE NOTICE that the Missouri State Committee for Social Workers has received the Decision from the Administrative Hearing Commission in the case of State Committee for Social Workers vs. Steven Haymon, Case No. 11-0723 SW, before the Administrative Hearing Commission, State of Missouri, and the Administrative Hearing Commission has found that cause exists under Section 337.630.2 (4), (5), (6), (13), and (15) RSMo and 20 CSR 2263-3.010 (1), to take disciplinary action against the above-referenced license holder as a clinical social worker.

NOW THEREFORE, the Missouri State Committee for Social Workers shall, pursuant to Sections 337.630 and 621.110, RSMo, hold a hearing for the purpose of determining the appropriate disciplinary action on Friday, September 7, 2012, at 1:00 p.m., at the Division of Professional Registration, 3605 Missouri Boulevard, Jefferson City, Missouri.

Please be advised that your failure to appear at the above-noted time and place will result in the hearing being held in your absence.

All parties have the right to be represented by legal counsel, and to a full, fair and open hearing, as provided for in Chapter 536 and Section 621.110 RSMo 2000.

Missouri State Committee for Social Workers

By

A handwritten signature in black ink, appearing to read "Tom Reichard", is written over a horizontal line.

Tom Reichard
Executive Director

Committee Seal

CERTIFICATE OF SERVICE

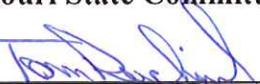
I hereby certify that a true and correct copy of the foregoing Notice was sent this 19th day of June 2012, by certified mail, return receipt:

Steven Haymon
Stemen & Associates
889 South Brentwood Blvd, Ste. LL-102
St. Louis, MO 63117-1347

Mr. Herman Jimerson
The Jimerson Law Firm
225 South Meramec, Suite 508
Clayton, MO 63105

Missouri State Committee for Social Workers

By



Tom Reichard
Executive Director

CERTIFICATE OF SERVICE

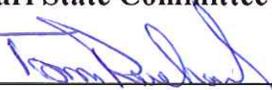
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Mr. Herman Jimerson
The Jimerson Law Firm
225 South Meramec, Suite 508
Clayton, MO 63105

Missouri State Committee for Social Workers

By



Tom Reichard
Executive Director

was filed out of time on April 2, 2012, and, therefore, was not considered. Our Regulation

1 CSR 15-3.446(6)(A) provides:

The commission may grant a motion for summary decision if a party establishes facts that entitle any party to a favorable decision and no party genuinely disputes such facts.

Our regulations require that Haymon file an answer to the complaint.¹ We may on our own motion order that Haymon is deemed to have admitted the facts pleaded in the complaint for failing to file an answer.² We find Haymon to be in default for failing to file an answer to the complaint. Based on Haymon's failure to answer the complaint and the verified contents of the exhibits accompanying the Committee's motion for summary decision, we make the following findings of undisputed fact.

Findings of Fact

1. Haymon was licensed by the Committee as a licensed social worker on March 1, 1991. His license was current, active, and in good standing from that date until on or about May 14, 2008, when it was suspended by the Committee.
2. Haymon maintained a clinical social work practice with Stemen & Associates, L.L.C., located at 7700 Clayton Road, Suite 307, St. Louis, Missouri, and at 889 South Brentwood Boulevard, #LL102, St. Louis, Missouri, at all relevant times.
3. The Missouri Department of Revenue ("DOR") sent Haymon notices that he had outstanding individual income tax deficiencies dating from 1994 through 1996.
4. DOR sent Haymon revised certification notices for individual income tax years 1995 and 1996.

¹Regulation 1 CSR 15-3.380(1).

²Regulation 1 CSR 15-3.380(7).

5. DOR sent Haymon notices of balance due for tax noncompliance for individual income tax years 1994 through 1996.
6. None of the notices DOR sent to Haymon was returned to DOR as undelivered.
7. DOR sent notices of Haymon's state income tax delinquencies to the Committee, as required by § 324.010.³
8. On May 14, 2008, the Committee suspended Haymon's license pursuant to § 324.010 because Haymon failed to file a Missouri income tax return or pay a Missouri tax deficiency.
9. Haymon's license remained suspended until it expired on September 30, 2009.
10. Haymon's license was never renewed after September 30, 2009.
11. Between May 14, 2008, and April 27, 2011, Stemen & Associates submitted bills to Anthem/Blue Cross Blue Shield ("Anthem") with billing codes for the provision of psychiatric and psychotherapy services. The bills list Haymon's office address as the location from which the services were provided, and include Haymon's social worker license number and signature as the "physician or supplier."

Conclusions of Law

We have jurisdiction to hear this case.⁴ The Committee has the burden of proving Haymon has committed an act for which the law allows discipline.⁵ Haymon admitted facts and that those facts authorize discipline. But statutes and case law instruct that we must "separately and independently" determine whether such facts constitute cause for discipline.⁶ Therefore, we independently assess whether the facts admitted allow discipline under the law cited.

³Statutory references, unless otherwise noted, are to RSMo Supp. 2011.

⁴Section 621.045.

⁵*Missouri Real Estate Comm'n v. Berger*, 764 S.W.2d 706, 711 (Mo. App., E.D. 1989).

⁶*Kennedy v. Missouri Real Estate Commission*, 762 S.W.2d 454, 456-57 (Mo. App., E.D. 1988).

Suspension of License for Tax Delinquencies

DOR notified Haymon of outstanding individual tax delinquencies for the 1994, 1995, and 1996 tax years. As the notices were not returned to DOR, we presume Haymon received them.⁷ When Haymon failed to address the delinquencies, his license was suspended pursuant to § 324.010, which provides:

All governmental entities issuing professional licenses, certificates, registrations, or permits pursuant to sections 209.319 to 209.339, sections 214.270 to 214.516, sections 256.010 to 256.453, section 375.014, sections 436.005 to 436.071, and chapter 317 and chapters 324 to 346 shall provide the director of revenue with the name and Social Security number of each applicant for licensure with or licensee of such entities within one month of the date the application is filed or at least one month prior to the anticipated renewal of a licensee's license. If such licensee is delinquent on any state taxes or has failed to file state income tax returns in the last three years, the director shall then send notice to each such entity and licensee. **In the case of such delinquency or failure to file, the licensee's license shall be suspended within ninety days after notice of such delinquency or failure to file, unless the director of revenue verifies that such delinquency or failure has been remedied or arrangements have been made to achieve such remedy.**

(Emphasis added.)

Pursuant to § 324.010, Haymon's license to practice clinical social work was properly suspended on May 18, 2008. His license expired on September 30, 2009, and he did not renew it thereafter.

Practicing Clinical Social Work after Suspension of License (§ 337.603)

The Committee maintains Haymon continued to practice clinical social work after his license had been suspended. We agree. Upon the suspension of his license for failure to address his tax delinquencies in May 2008, Haymon lost the right to practice as a clinical social worker. Section 337.603 states in pertinent part:

⁷*Hughes v. Estes*, 793 S.W.2d 206, 209 (Mo. App., S.D. 1990).

No person shall use the title of "licensed clinical social worker" or "clinical social worker" and engage in the practice of clinical social work in this state, unless the person is licensed as required by the provisions of sections 337.600 to 337.639. Only individuals who are licensed clinical social workers shall practice clinical social work....

Yet according to records produced by the Committee, Haymon continued his practice after suspension of his license for almost three years. Evidence of his practice may be inferred from the dozens of invoices his office submitted to Anthem for "psychiatric and psychotherapy therapy services" performed from May 14, 2008 through April 27, 2011. Section 337.600(2) defines "clinical social work as:

the application of social work theory, knowledge, values, methods, principles, and techniques of case work, group work, client-centered advocacy, community organization, administration, planning, evaluation, consultation, research, psychotherapy and counseling methods and techniques to persons, families and groups in assessment, diagnosis, treatment, prevention and amelioration of mental and emotional conditions[.]

The practice of clinical social work is defined in Section 337.600(15) as:

rendering, offering to render, or supervising those who render to individuals, couples, groups, organizations, institutions, corporations, or the general public any service involving the application of methods, principles, and techniques of clinical social work[.]

Each invoice submitted with Haymon's signature includes his unique clinical social worker license number. Even if the brief description in the invoice of the services performed was not as broad as the statutory descriptions found in § 337.600, the only logical inference from Haymon's use of his license number on the invoices is that he provided and intended to bill Anthem for providing clinical social work services. Because his license was suspended after May 18, 2008, we find Haymon practiced clinical social work without a license from that date through April 27, 2011, in violation of § 337.603.

The Board argues Haymon's practicing clinical social work after suspension and expiration of his license, and seeking and receiving payment for services performed without a license, are cause for discipline under § 337.630.2 and violated the profession's ethical rules.

Section 337.630.2 states:

The committee may cause a complaint to be filed with the administrative hearing commission as provided by chapter 621 against any holder of any license required by sections 337.600 to 337.689 or any person who has failed to renew or has surrendered the person's license for any one or any combination of the following causes:

* * *

(4) Obtaining or attempting to obtain any fee, charge, tuition or other compensation by fraud, deception or misrepresentation;

(5) Incompetency, misconduct, fraud, misrepresentation or dishonesty in the performance of the functions or duties of a social worker licensed pursuant to this chapter;

(6) Violation of, or assisting or enabling any person to violate, any provision of sections 337.600 to 337.689, or of any lawful rule or regulation adopted pursuant to sections 337.600 to 337.689;

* * *

(13) Violation of any professional trust or confidence;

* * *

(15) Being guilty of unethical conduct as defined in the ethical standards for clinical social workers adopted by the committee by rule and filed with the secretary of state.

Haymon misrepresented the status of his license on the invoices submitted to Anthem, and grounds exist for discipline under § 337.630.2(4). Because his unsuspecting patients were also defrauded by his misrepresentation, we find ample evidence to conclude Haymon's actions were dishonest and a violation of professional trust.⁸ Grounds exist for discipline under

⁸We do not know whether Haymon informed his clients his license had been suspended, but even if he did, he should have also advised them he was no longer allowed to practice clinical social work. Either way, we conclude Haymon could only have continued his practice by deceiving his clients into believing he was properly authorized to provide clinical social work services when he was not.

§ 337.630.2(5) and (13). Because Haymon's conduct violated provisions of §§ 337.600 to 337.689, grounds also exist for discipline under § 337.630.2(6).

The Committee argues Haymon's actions violated ethical rules for the profession, found at 20 CSR 2263-3.010, et seq., which provide in pertinent part:

20 CSR 2263-3.010(1): The ethical standards/disciplinary rules for members of the profession, as set forth hereafter by the committee, are mandatory. The failure of a member of the profession to abide by any ethical standard/disciplinary rule in this chapter shall constitute unethical conduct and be grounds for disciplinary proceedings.

20 CSR 2263-3.020(2): No member of the profession shall—

- (A) Violate any ethical standard/disciplinary rule;
- (B) Circumvent any ethical standard/disciplinary rule through the actions of another;
- (C) Engage in conduct which is dishonest, deceitful, or fraudulent....

20 CSR 2263-3.080(1): A member of the profession shall not—

* * *

- (B) Engage in fraud or misrepresentation;

* * *

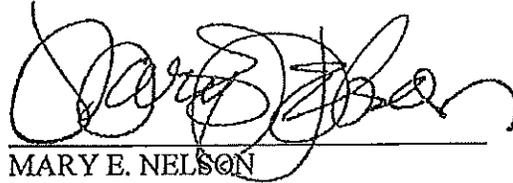
- (5) Social workers whose licenses have lapsed or been revoked shall not hold themselves out to be currently licensed (i.e., "licensed clinical social worker")....

Haymon held himself out to be currently licensed when his license was suspended. By billing his patients and Anthem for services he could not legally provide after loss of his license, Haymon clearly engaged in misrepresentation and in deceitful, and dishonest behavior. He violated 20 CSR 2263-3.080(1)(B) and (5), 20 CSR 2263-3.020(2). His violations of these ethical rules are grounds for discipline under 20 CSR 2263-3.010(1) and § 337.630.2(15).

Summary

We find cause for discipline under § 337.630.2 and 20 CSR 2263-3.010(1).

SO ORDERED on April 5, 2012.

A handwritten signature in black ink, appearing to read "Mary E. Nelson", written over a horizontal line.

MARY E. NELSON
Commissioner