

State Board of Embalmers and Funeral Directors

December 8-9, 2015

Stoney Creek Hotel & Conference Center
18011 Bass Pro Drive
Independence, MO 64055
816-908-9600

OPEN AGENDA

December 8, 2015 - 10:30a.m.

1. Call to Order
2. Roll Call
3. Review and Approval of Agenda
4. CLOSED

December 9, 2015 – 8:30a.m.

5. (Tab 1) Executive Director Report
 - Financial Report
 - License System Update
 - Report on new licenses, closed/ceased licenses, disciplinary actions
 - Upcoming Conferences –
 - 40th Annual FARB Forum – January 28-3, 2016
 - 112th Annual Meeting of The Conference – February 24-25, 2016
6. Legal Counsel Report
7. (Tab 2) Approval of minutes
 - May 28, 2013 Financial Examination Committee
 - June 3, 2013 Financial Examination Committee
 - July 10, 2013 Financial Examination Committee
 - July 25, 2013 Financial Examination Committee
 - August 6, 2013 Financial Examination Committee
 - August 14, 2013 Financial Examination Committee
 - August 27, 2013 Financial Examination Committee
 - September 23, 2013 Financial Examination Committee
 - October 21, 2013 Financial Examination Committee
 - November 6, 2013 Financial Examination Committee
 - December 16, 2013 Financial Examination Committee
 - January 28, 2014 Financial Examination Committee
 - February 10, 2014 Financial Examination Committee
 - March 4, 2014 Financial Examination Committee
 - March 18, 2014 Financial Examination Committee
 - March 26, 2014 Financial Examination Committee
 - April 30, 2014 Financial Examination Committee
 - June 3, 2014 Financial Examination Committee
 - July 15, 2014 Financial Examination Committee
 - July 22, 2014 Financial Examination Committee
 - August 5, 2014 Financial Examination Committee

8.(Tab 3) Review of Proposed Rules

9.(Tab 4)Scope of Financial Examination

10. Open Session/Discussion

11. CLOSED

12. Adjourn

Line	Description	FY 2016 Actual												FY 2016 Projections			
		July	August	September	October	November	December	January	February	March	April	May	June	Lapsed July	YTD Total	Projected	Remaining (Projected - YTD Total)
1	Embalmers - 0633																
2	FY 2016 Monthly Fund Balance Sheet																
3																	
4	Beginning Fund Balance	2,934,077.37	2,854,935.84	2,778,542.43	2,751,837.31	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	641,531.00	0.00	
5	Revenue	4,180.00	7,195.00	60,051.00	570,195.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
6	Start-up Loan Transfer - Lender's Revenue	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
7	Total Revenue	4,180.00	7,195.00	60,051.00	570,195.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
8	Total Funds Available	2,938,257.37	2,862,040.84	2,838,593.43	3,322,032.31	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	641,531.00	0.00	
9																	
10																	
11	Appropriation Costs:																
12	Expense and Equipment	10,531.88	18,932.69	21,091.68	9,793.86	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	60,350.11	0.00	
13	Personal Service and Per Diem	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
14	Total Appropriation Costs	10,531.88	18,932.69	21,091.68	9,793.86	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	60,350.11	(60,350.11)	
15																	
16	PR Appropriated Transfers (HB 7.540):																
17	Licensure System Cost	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
18	Rent	0.00	518.96	0.00	1,043.21	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,562.17	0.00	
19	DJFP Department Cost Allocation	0.00	877.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	877.50	0.00	
20	Licensure Refunds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
21	Start-up Loan - Borrower's Expense	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
22	Division PR Transfer:																
23	Division-Info Costs	0.00	2,572.89	2,625.88	2,414.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,613.17	0.00	
24	Purchasing Staff	0.00	62.52	51.15	53.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	166.69	0.00	
25	PR/T Staff	0.00	553.96	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	553.96	0.00	
26	Legal Team	0.00	4,642.30	4,789.58	3,481.31	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12,953.20	0.00	
27	CRR Staff	0.00	331.95	333.86	666.88	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,332.69	0.00	
28	Board Specific:																
29	Expense/Equipment	0.00	488.63	0.00	12.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	501.21	0.00	
30	Personal Services	0.00	32,033.56	39,621.02	29,866.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	101,521.56	0.00	
31	Fringe Benefits	0.00	13,113.37	15,614.22	12,919.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	41,647.14	0.00	
32	Technical Support Staff	0.00	1,151.80	748.55	635.43	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,535.78	0.00	
33	Central Mail Processing	0.00	161.48	158.38	167.35	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	487.23	0.00	
34	CU Investigations	0.00	5,384.15	5,956.27	5,208.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	16,548.74	0.00	
35	Total Division PR Transfer	0.00	60,496.72	69,908.93	55,435.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	185,841.37	0.00	
36	Total PR Appropriated Transfers (HB 7.540)	0.00	61,883.18	69,908.93	56,478.93	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	188,281.04	0.00	
41																	
42																	
43	GR Transfer (HB 7.535):																
44	Attorney General	0.00	0.00	0.00	3,682.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3,682.78	0.00	
45	Administrative Hearing Comm.	0.00	0.00	9.50	9.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9.50	0.00	
46	Total GR Transfer	0.00	0.00	9.50	3,682.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3,692.28	0.00	
47																	
48	Other Transfers:																
49	Workers Compensation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
50	Unemployment	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
51	Board Staff Fringe Benefits	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
52	Biennium Sweep	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
53	OA Cost Allocation Transfer	3,093.00	0.00	0.00	3,093.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6,186.00	0.00	
54																	
55	FY 2015 Transfers Carried Over:																
56	FY 2015 June PR Transfer	69,053.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	69,053.17	0.00	
57	FY 2015 July Lapse PR Transfer	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
58	FY 2015 PR Transfer Adjustment	0.00	0.00	(4,253.99)	8,507.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	4,253.99	0.00	
59	FY 2015 Final Rent Transfer Adj	0.00	0.00	0.00	0.62	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.62	(129.38)	0.00	
60	FY 2015 DJFP Transfer Adjustment	0.00	(129.38)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3,041.40	0.00	
61	FY 2015 AG - May & June	239.48	2,801.92	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3,041.40	0.00	
62	FY 2015 AHC - June	464.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	464.00	0.00	
63	Total FY 2015 Transfers Carried Over	69,053.17	2,672.54	(4,253.99)	8,508.60	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	76,623.60	0.00	
64	Total Transfers	72,789.65	64,565.72	65,664.44	71,763.31	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	274,783.12	0.00	
65	Total Appropriation Costs and Transfers	83,321.53	83,498.41	86,756.12	81,557.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	335,133.23	0.00	
66	Ending Fund Balance	2,854,935.84	2,778,542.43	2,751,837.31	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	3,240,475.14	0.00	0.00	
67	Please note that the Projected Total Appropriation Costs and Transfers does NOT include the Lapsed Appropriation Costs/Transfers Estimate included on your 5 Year Projections (line 48).																
68	Total PR Transfer - HB 7.540	69,053.17	61,763.80	65,664.44	64,387.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	261,489.44	0.00	
69	Total GR Transfer - HB 7.535	643.48	2,801.92	9.50	3,682.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7,137.68	0.00	
70	Total Appropriation Costs	69,696.65	64,565.72	65,664.44	68,070.31	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	268,627.12	0.00	
71	Total Appropriation Costs	10,531.88	18,932.69	21,091.68	9,793.86	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	60,350.11	(60,350.11)	
72	Total Other Transfers	3,093.00	0.00	0.00	3,093.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6,186.00	0.00	
73	Total	83,321.53	83,498.41	86,756.12	81,557.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	335,133.23	(60,350.11)	

Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Apprentice

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Gross, Ashley Danielle	P O Box 835, Mountain View, MO 65548	Funeral Director	(417) 934-2222	2015037889	10/21/2015	05/31/2016
Hetrick, Glenn Charles	25548 Magnolia Dr, Maryville, MO 64468	Funeral Director	(660) 582-2688	2015037319	10/16/2015	05/31/2016
Miesner, Karen Jo	32361 Walnut School Rd, Sedalia, MO 65301	Funeral Director	() -	2015039452	11/03/2015	05/31/2016
Mueller, Kimberly Anne	30 Morgans Way, PO Box 32, Eisberry, MO 63343	Funeral Director	(636) 358-3540	2015033035	09/16/2015	05/31/2016
Whorton, Corey Dew	680 College St, Union Star, MO 64494	Funeral Director	(816) 351-1778	2015036652	10/14/2015	05/31/2016

Total Newly Licensed by Apprentice: 5

Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Exam

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Arrunada, Adriana	6300 NW Hogan Dr #5, Kansas City, MO 64152	Preneed Agent	(816) 649-4469	2015040202	11/12/2015	11/30/2016
Banks, Margaret Jannetta	3009-1/2 Walker Rd, Avondale, MO 64117	Preneed Agent	(816) 898-7134	2015039515	11/04/2015	11/30/2016
Friedrich, Desiree Faith	2486 Wesford Dr, Maryland Heights, MO 63043	Preneed Agent	(314) 374-1461	2015036948	10/15/2015	11/30/2016
Gross, Sheldon Andrew	11109 NW Lema Dr #1, Parkville, MO 64152	Preneed Agent	(605) 228-9390	2015038417	10/26/2015	11/30/2016
Haffner, Cindy Renee	1600 Stasi Ave, Raymore, MO 64083	Preneed Agent	(816) 223-0509	2015034278	09/25/2015	11/30/2016
Lindsay, Lori Beth	1990 Hunting Field Ct, Mexico, MO 65265	Preneed Agent	() -	2015041012	11/18/2015	11/30/2016
Quigley, Justin A.	8811 N Kirkwood, Kansas City, MO 64154	Preneed Agent	(816) 813-7444	2015036221	10/08/2015	11/30/2016
Rivers, Robert Leonard	2905 NE 78 St, Kansas City, MO 64119	Preneed Agent	(417) 425-1302	2015041008	11/18/2015	11/30/2016
Shults, Jon Albert	2002 Woodbine N Rd #5, Saint Joseph, MO 64506	Preneed Agent	(615) 946-2627	2015039516	11/04/2015	11/30/2016
Worthen, Holly Nichole	19169 W 208th Terr, Spring Hill, KS 66083	Preneed Agent	(620) 344-2609	2015038427	10/09/2015	11/30/2016

Total Newly Licensed by Exam: 10

**Practitioner Newly Licensed
 09/11/2015 - 11/20/2015
 Reciprocity**

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Barnes, Audra Jean	604 Elm St, Coffeyville, KS 67337	Embalmer	(913) 515-8969	2015036880	10/15/2015	05/31/2016
Gaia, Dena Faye	924 S Cullen Ave, Evansville, IN 47715	Embalmer	(573) 631-0002	2015037065	10/16/2015	05/31/2016
Gaia, Jeffrey Clay	924 S Cullen Ave, Evansville, IN 47715	Embalmer	(573) 631-0002	2015037063	10/16/2015	05/31/2016
Barnes, Audra Jean	604 Elm St, Coffeyville, KS 67337	Funeral Director	(913) 515-8969	2015036881	10/15/2015	05/31/2016
Birney, Donald Lloyd	9900 Cedar, Overland Park, KS 66207	Funeral Director	(913) 642-0416	2015036525	10/13/2015	05/31/2016
Gaia, Dena Faye	100 Garson Ln, Leadington, MO 63640	Funeral Director	(573) 327-8449	2015037062	10/16/2015	05/31/2016
Gaia, Jeffrey Clay	100 Garson Ln, Leadington, MO 63640	Funeral Director	(573) 327-8449	2015037064	10/16/2015	05/31/2016
Thaete, Aaron Michael	6600 NE Antioch Rd, Gladstone, MO 64119	Funeral Director	(913) 484-2159	2015040205	11/12/2015	05/31/2016

Total Newly Licensed by Reciprocity: 8

Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Application

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Day, Suzan Elizabeth	6118 E FR 84, Strafford, MO 65757	Embalmer Apprentice	(417) 840-6288	2015039950	11/09/2015	11/08/2020
Lampkin, Deborah Lucille	1635 Arlington Ave, Saint Louis, MO 63112	Embalmer Apprentice	(314) 685-6385	2015033680	09/22/2015	05/17/2017
McCutcheon, Katy Jo.	511 Marshall St, Fredericktown, MO 63645	Embalmer Apprentice	(573) 576-2554	2015041016	11/18/2015	10/31/3020
Reeves-Davis, Keisha	4211 Goodness Ct, Florissant, MO 63034	Embalmer Apprentice	(314) 838-5590	2015033227	09/17/2015	09/16/2020
Baker, Aisha Nicole	619 N 39 St, East Saint Louis, IL 62205	Embalmer Practicum	(618) 271-7342	2015036143	10/07/2015	05/31/2016
Even, Larissa Leigh	2119 Bredell Ave, Maplewood, MO 63143	Embalmer Practicum	(314) 825-2132	2015033516	09/21/2015	05/31/2016
Johnson, Ashley Marie	581 S Juniper St, Gardner, KS 66030	Embalmer Practicum	(913) 954-9058	2015036366	10/09/2015	12/20/2015
Masoner, Christopher Carl	7 NE 64th Terr, Gladstone, MO 64118	Embalmer Practicum	(816) 718-3547	2015037216	10/16/2015	12/21/2015
Mathews, Haylee Christine	502 E 2nd St, Linwood, KS 66052	Embalmer Practicum	(913) 547-4181	2015034277	09/25/2015	12/21/2015
Ramirez, Jovanna Guadalupe	1016 S 55th St, Kansas City, KS 66106	Embalmer Practicum	(913) 645-8199	2015035366	10/01/2015	05/20/2016
Roam, Mary-Theresa Rose	1415 Holden Ave, Saint Louis, MO 63125	Embalmer Practicum	(314) 546-9815	2015033706	09/22/2015	05/31/2016
Shellady, George Emerson	1106 S 4th St, Moberly, MO 65270	Embalmer Practicum	(573) 424-9341	2015033707	09/22/2015	08/07/2016
Simpson, James Dennis, Jr	5145 Cabanne Ave, Saint Louis, MO 63113	Embalmer Practicum	(314) 571-0172	2015035367	10/01/2015	05/31/2016
Tillmon, Inez Amber	675 DeWayne Dr, Florissant, MO 63031	Embalmer Practicum	(314) 532-5833	2015032935	09/15/2015	05/31/2016
Watson, Carissa Marie	715 R St Louis Ave, Valley Park, MO 63088	Embalmer Practicum	(636) 751-6379	2015037876	10/21/2015	05/31/2016
Cashatt, Kelly Jo	7207 Maple Ln, Platte Woods, MO 64151	Funeral Director Apprentice	(816) 584-0559	2015036941	10/15/2015	10/14/2017
Cole, Austin Rolla	320 E Reynolds St, Ironton, MO 63850	Funeral Director Apprentice	(573) 546-0742	2015033681	09/22/2015	09/21/2017
Crawford, Anthony Sevell, Jr	410 S 5th St, Charleston, MO 63834	Funeral Director Apprentice	(314) 920-7045	2015036334	10/09/2015	10/08/2017
Crawford, Marissa Joann	410 S 5th St, Charleston, MO 63834	Funeral Director Apprentice	(314) 458-0826	2015036420	10/09/2015	10/08/2017
Ellis-Reeder, Savannah Joyce	610 Kuhn Heights, Troy, MO 63379	Funeral Director Apprentice	(636) 466-0961	2015041013	11/18/2015	11/17/2017
Garlock, Jonathan Edward, Sr	7391 NW State Rt H, Maysville, MO 64469	Funeral Director Apprentice	(660) 535-4408	2015036944	10/15/2015	10/14/2017
Gray, Lisa Michelle	201 S Main St, Carrollton, MO 64633	Funeral Director Apprentice	(479) 282-6515	2015039953	11/09/2015	11/08/2017
Hibner, Michael William	1105 Northwood Terr, Chillicothe, MO 64601	Funeral Director Apprentice	(660) 247-1466	2015039948	11/09/2015	11/08/2017
MacDonell, Grace Ann Kathleen	2542 Creve Coeur Mill Rd #2, Maryland Heights, MO 63043	Funeral Director Apprentice	(314) 606-8187	2015034274	09/25/2015	09/24/2017
Piggee, Aaron Michael	3966 Utah St Apt A, Saint Louis, MO 63116	Funeral Director Apprentice	(314) 229-5037	2015035502	10/02/2015	10/01/2017
Playle, Zachary Lane	1521 Brook Dr, Kirksville, MO 63501	Funeral Director Apprentice	(660) 988-4050	2015038605	10/27/2015	10/26/2017
Shepherd, Amber Maria	1319 N Pleasant, Independence, MO 64050	Funeral Director Apprentice	() -	2015032934	09/15/2015	09/14/2017
Walter, Michael Louis	13329 County Rd 334, Savannah, MO 64485	Funeral Director Apprentice	(816) 324-0336	2015037875	10/21/2015	10/20/2017
Washburn, Jennie Marie	2346 Speedway Ave, Trenton, MO 64683	Funeral Director Apprentice	(660) 654-2076	2015038608	10/27/2015	10/26/2017
Willingham, Christina Lea	183 Indian Prairie Ln, Union, MO 63084	Funeral Director Apprentice	(636) 583-9546	2015036924	10/15/2015	10/14/2017

Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Application

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Bearden Group, Inc.	1638 E State Hwy 76, Branson, MO 65616	Funeral Establishment	(417) 334-3670	2015039710	11/05/2015	12/31/2017
Butler-Howe, LLC	109 E Buffalo St, Humansville, MO 65674	Funeral Establishment	(417) 754-2215	2015033467	09/18/2015	12/31/2017
Cracraft-Miller, Inc.	708 W Main St, Jackson, MO 63755	Funeral Establishment	(573) 243-2541	2015033534	09/21/2015	12/31/2015
Cremations of the Ozarks, LLC	130 Industrial Park Ste E, Hollister, MO 65672	Funeral Establishment	(417) 544-0218	2015036014	10/07/2015	12/31/2017
Grace Funeral Services, Inc.	16560 US Hwy 160, Forsyth, MO 65653	Funeral Establishment	(417) 546-4757	2015040408	11/13/2015	12/31/2017
Herman H. Lohmeyer Funeral Home, Inc.	500 E Walnut St, Springfield, MO 65806	Funeral Establishment	(417) 862-4433	2015034105	09/24/2015	12/31/2017
O'Donnell Funeral Home, LLC	424 S Main St, Palmyra, MO 63461	Funeral Establishment	(573) 221-8188	2015035792	10/06/2015	12/31/2017
Southeast Missouri Cremation Service, LLC	910 Taylor Ave, Suite A, Park Hills, MO 63601	Funeral Establishment	(573) 431-4273	2015035831	10/06/2015	12/31/2017
St. Louis Cremation Services Inc.	320 Jungermann Rd, Saint Peters, MO 63376	Funeral Establishment	(314) 241-8844	2015038894	10/28/2015	12/31/2017
Stith Family Funeral Home LLC	301 E Elm St, Polo, MO 64671	Funeral Establishment	(660) 973-3566	2015034103	09/24/2015	12/31/2017
Stith Family Funeral Home of Lathrop, LLC	408 E St, Lathrop, MO 64465	Funeral Establishment	(660) 973-3566	2015034102	09/24/2015	12/31/2017
Thomas Family Funeral Homes, Inc	11930 County Rd 2070, Rolla, MO 65401	Funeral Establishment	(573) 341-0220	2015038653	10/27/2015	12/31/2017
Tyler M. Woods Colonial Chapels LLC	560 S Lincoln Ave, Marshall, MO 65340	Funeral Establishment	(660) 886-4040	2015036403	10/09/2015	12/31/2017
Warren Family Funeral Homes, Inc.	837 Mid Rivers Mall Dr, Saint Peters, MO 63376	Funeral Establishment	(785) 233-6655	2015039447	11/03/2015	12/31/2017
Litterest, Kurt Dwayne	1126 Liberty Crossing, Herculaneum, MO 63048	Preneed Agent	(314) 249-6285	2015036896	10/15/2015	11/30/2016
Ballantyne, Keith Stuart	1407 N Cedar, Nevada, MO 64772	Preneed Agent Funeral Director	(918) 680-0034	2015041010	11/18/2015	11/30/2016
Bittiker, Caren Jaye	709 North Folger, Carrollton, MO 64633	Preneed Agent Funeral Director	(816) 808-6282	2015033687	09/22/2015	11/30/2016
Budd, Merle Rex	501 Cottage Ln, Neosho, MO 64850	Preneed Agent Funeral Director	(417) 850-0398	2015039935	11/09/2015	11/30/2016
Campbell, Joseph Scott	407 S. Pine Street, Holden, MO 64040	Preneed Agent Funeral Director	(816) 732-5531	2015036524	10/13/2015	11/30/2016
Cole, Andrew Neal	265 Karina Ln, Arcadia, MO 63621	Preneed Agent Funeral Director	() -	2015033683	09/22/2015	11/30/2016
DeGonia, Chad Alan	25481 Brussels, Joplin, MO 64801	Preneed Agent Funeral Director	(417) 434-6110	2015035528	10/02/2015	11/30/2016
Dillon, Lori Jean	9549 County Rd 464, Portland, MO 65067	Preneed Agent Funeral Director	(573) 220-5531	2015034270	09/25/2015	11/30/2016
Gipson, James Phillip	214 Plain St, Rector, AR 72461	Preneed Agent Funeral Director	(870) 595-3588	2015038415	10/26/2015	11/30/2016
Hall, Alan James	407 E. Broadway, Bolivar, MO 65613	Preneed Agent Funeral Director	(417) 326-5233	2015033451	09/18/2015	11/30/2016
Holder, Kalia Lynn	1301 E 5th, Kennett, MO 63857	Preneed Agent Funeral Director	(870) 926-5064	2015039517	11/04/2015	11/30/2016
Hoover, Carissa Anne	571 Hawthorne Drive, Liberty, MO 64068	Preneed Agent Funeral Director	(816) 792-4456	2015033686	09/22/2015	11/30/2016
Kintner, Kirk Henry	612 W. Foxwood Drive, Raymore, MO 64083	Preneed Agent Funeral Director	(816) 322-5278	2015037874	10/21/2015	11/30/2016
Lewis, Charlotte Irene	107 Morgan Lake Rd, De Soto, MO 63020	Preneed Agent Funeral Director	(636) 524-1614	2015040413	11/13/2015	11/30/2016
Oliver, Anthony L.	4729 Plover, Saint Louis, MO 63120	Preneed Agent Funeral Director	() -	2015036961	10/15/2015	11/30/2016
Phillips, Buffie M.	2641 Iowa Ave, Saint Louis, MO 63118	Preneed Agent Funeral Director	(314) 422-9561	2015037066	10/16/2015	11/30/2016

Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Application

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Sedgwick, Kelly Ann	902 E North St, Eidon, MO 65026	Preneed Agent Funeral Director	(573) 392-3351	2015036142	10/07/2015	11/30/2016
Stahlhut, David Ralph	1318 Mullen Ln, Godfrey, IL 62035	Preneed Agent Funeral Director	(618) 973-3334	2015040411	11/13/2015	11/30/2016
Whorton, Corey Dew	680 College Street, Union Star, MO 64494	Preneed Agent Funeral Director	(816) 351-1778	2015036892	10/15/2015	11/30/2016
Wood, Jeanette	285 S. Gabouri, Sainte Genevieve, MO 63670	Preneed Agent Funeral Director	(573) 883-9444	2015039947	11/09/2015	11/30/2016
Bearden Group, Inc	1638 E Hwy 76, Branson, MO 65616	Preneed Provider	(417) 334-3670	2015039711	11/05/2015	10/31/2016
Butler-Howe, LLC	109 E Buffalo St, Humansville, MO 65674	Preneed Provider	(417) 754-2215	2015033466	09/18/2015	10/31/2016
Grace Funeral Services, Inc.	16560 US Hwy 160, Forsyth, MO 65653	Preneed Provider	(417) 546-4757	2015040410	11/13/2015	10/31/2016
O'Donnell Funeral Home, LLC	424 S Main St, Palmyra, MO 63461	Preneed Provider	(573) 221-8188	2015035793	10/06/2015	10/31/2016
Stith Family Funeral Home of Lathrop, LLC	408 E St, Lathrop, MO 64465	Preneed Provider	(660) 973-3566	2015034101	09/24/2015	10/31/2016
Stith Family Funeral Home, LLC	301 E Elm St, Polo, MO 64671	Preneed Provider	(660) 973-3566	2015034100	09/24/2015	10/31/2016
Thomas Family Funeral Homes, Inc	11930 County Rd 2070, Rolla, MO 65401	Preneed Provider	(573) 341-0220	2015038652	10/27/2015	10/31/2016
Cracraft-Miller Inc	708 W Main St, Jackson, MO 63755	Preneed Seller	(573) 243-2541	2015033533	09/21/2015	10/31/2016
Grace Funeral Services, Inc.	16560 US Hwy 160, Forsyth, MO 65653	Preneed Seller	(417) 546-4757	2015040409	11/13/2015	10/31/2016
O'Donnell Funeral Home, LLC	PO Box 630, Hannibal, MO 63401	Preneed Seller	() -	2015035791	10/06/2015	10/31/2016
Thomas Family Funeral Homes, Inc	11930 CR 2070, Rolla, MO 65401	Preneed Seller	(573) 341-0220	2015038654	10/27/2015	10/31/2016

Total Newly Licensed by Application: 75

**Practitioner Newly Licensed
09/11/2015 - 11/20/2015
Education, Experience & Written Exa**

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Heath, Justin Ian	333 Sauget, Cahokia, IL 62206	Embalmer	() -	2015034265	09/25/2015	05/31/2016
Hurt, Zachary Paul	611 W 5th St, Mountain Grove, MO 65711	Embalmer	() -	2015037877	10/21/2015	05/31/2016

Total Newly Licensed by Education, Experience & Written Exa: 2

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Practitioner Newly Licensed 09/11/2015 - 11/20/2015 Education and Exam

Name	Address	Profession	Phone	License Number	Original Issue Date	Expiration Date
Alexander, Jenna Danielle	509 NE Windrose Dr Apt D, Kansas City, MO 64155	Funeral Director	(816) 550-4816	2015038416	10/26/2015	05/31/2016
Fernandez, Joe Johnray	1907 Apache Ct, Greenwood, MO 64034	Funeral Director	(816) 623-3553	2015041286	11/20/2015	05/31/2016
Heath, Justin Ian	333 Saugel Ave, Cahokia, IL 62206	Funeral Director	(618) 337-6527	2015036877	10/15/2015	05/31/2016
Lane, Taryn Nicole	214 W Insley, Bonner Springs, KS 66012	Funeral Director	(913) 687-1759	2015033513	09/21/2015	05/31/2016
Morrow, Michell M.W.	401 Brady St #305, Davenport, IA 52801	Funeral Director	(314) 660-0349	2015041011	11/18/2015	05/31/2016
Tomasic, Nicolette Renee	518 N Thompson St, Kansas City, KS 66101	Funeral Director	(913) 708-4320	2015041009	11/18/2015	05/31/2016

Total Newly Licensed by Education and Exam: 6

**Closed Funeral Establishments, Preneed Providers, and Preneed Sellers
Between 9/10/2015 and 11/30/2015**

Funeral Establishment	Name	Lic Number	Address	License Status	Exp Date	Closed Date
Buchholz Mortuaries, Inc.		1999134986	837 Mid Rivers Mall Drive	Closed/Change of Owner	12/31/2015	11/3/2015
Forsyth Wheelchel Funeral Chapel LLC		2008020217	St Peters, MO 63376 16560 US Hwy 160	Closed/Change of Owner	12/31/2015	11/13/2015
Lewis Brothers Funeral Chapel, Inc.		002418	Forsyth, MO 65653 424 S. Main	Closed/Change of Owner	12/31/2015	10/6/2015
Bailey & Cox Family Funeral Service, L.L.C.		2002021820	Palmyra, MO 63461 408 East Street	Closed/Change of Owner	12/31/2015	9/24/2015
H.T. May & Son Funeral Home		002380	Lathrop, MO 64465 460 S Lincoln	Closed/Change of Owner	12/31/2015	10/9/2015
Kenneth L. Hulett & Constance W. Hulett, LLC		2011025311	Marshall, MO 653402330 911 W Broadway	Closed/Out of Business	12/31/2015	9/18/2015
Wm. Smith Funeral Home		000513	Bolivar, MO 65613 1125 Hodiament Ave	Closed/Out of Business	12/31/2015	11/18/2015
South Central Missouri Cremation Service, LLC		2010041547	Saint Louis, MO 631122212 11930 County Rd 2070	Closed/Change of Owner	12/31/2015	10/27/2015
Preneed Provider			Rolla, MO 65401			
Forsyth Wheelchel Funeral Chapel, LLC		2009038169	16560 US Hwy 160 PO Box 425	Closed/Change of Owner	10/31/2016	11/13/2015
South Central Missouri Cremation Service, LLC		2010041548	Forsyth, MO 65653 11930 County Rd 2070	Closed/Change of Owner	10/31/2015	10/27/2015
Suvee Smith		2009039516	Rolla, MO 65401 1125 Hodiament Ave	Closed/Out of Business	10/31/2014	11/18/2015
			Saint Louis, MO 63112			

**Closed Funeral Establishments, Preneed Providers, and Preneed Sellers
Between 9/10/2015 and 11/30/2015**

Preneed Provider	Name	Lic Number	Address	License Status	Exp Date	Closed Date
Bailey & Cox Family Funeral Service, LLC		2009039860	301 East Elm PO Box 56	Closed/Change of Owner	10/31/2015	9/24/2015
Bearden Group, Inc		2009036200	Polo, MO 64671 1638 E Hwy 76	Closed/Change of Name	10/31/2016	11/5/2015
Lewis Brothers Funeral Chapel, Inc		2009037970	Branson, MO 65616 424 S Main St	Closed/Change of Owner	10/31/2015	10/6/2015
Bailey & Cox Family Funeral Service, LLC		2009039858	Palmyra, MO 63461 408 East Street PO Box 13	Closed/Change of Owner	10/31/2015	9/24/2015
Kenneth L Hulett & Constance W Hulett LLC		2011025314	Lathrop, MO 64465 911 W Broadway	Closed/Change of Owner	10/31/2015	9/18/2015
Preneed Seller						
Forsyth Wheelchel Funeral Chapel LLC		2009038170	Bolivar, MO 65613 16560 US Hwy 160	Closed/Change of Owner	10/31/2016	11/13/2015
Lewis Brothers Funeral Chapel Inc		2009037972	Forsyth, MO 65653 424 S Main St	Closed/Change of Owner	10/31/2015	10/6/2015
South Central Missouri Cremation Service, LLC		2010041549	Palmyra, MO 63461 11930 County Rd 2070	Closed/Change of Owner	10/31/2015	10/27/2015
Suvee Smith		2009039517	Rolla, MO 65401 1125 Hodliamont Ave	Closed/Out of Business	10/31/2014	11/18/2015
Hidden Valley Funeral Home of Lawson, LLC		2014039683	Saint Louis, MO 63112 418 N Raurn	Closed/Out of Business	10/31/2015	10/27/2015
			Lawson, MO 64062			

Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Complaint: 2010-007773 **Shipman Funeral Home Seller 200939207 - Prov 2009039206 Edmond Shipman 5 years PROBATION**

Sondra Shipman S/C:

DBA:Shipman Funeral Home 09/25/2015

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(573) 751-0293

Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Probation

The Board is an agency of the state of Missouri created and established by Section 333.151, RSMo, for the purposes of executing and enforcing the provisions of Chapter 333, RSMo, and the portions of Chapter 436, RSMo, related to preneed funeral contracts.

Jurisdiction and venue are proper before the Administrative Hearing Commission pursuant to Chapter 621, RSMo, and § 333.330, RSMo.

Sondra Shipman is an individual who has registered her address with the Board as P.O. Box 155, Bismarck, Missouri 63624.

Sondra Shipman holds funeral director license number 004493 that was current and active at all times relevant to this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home is a sole proprietorship that has a registered address with the Board of 449 S. Cedar St., P.O. Box 155, Bismarck, Missouri.

Sondra Shipman d/b/a Shipman Funeral Home ("Shipman Funeral Home") holds funeral establishment license number 001913. This license was current and active at all times relevant to this Agreement.

Shipman Funeral Home was a fictitious name registered with the Missouri Secretary of State by Sondra Shipman until that registration expired on or about May 29, 2012. Sondra Shipman again registered the fictitious name of Shipman Funeral Home with the Missouri Secretary of State on or about February 1, 2013.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed seller license number 2009039207. This license is current and active and has been so at all times relevant to this Agreement, except for when it was suspended for non-renewal as set forth in this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed provider license number 2009039206. This license was current and active and has been so at all times relevant to this Agreement, except for when it was lapsed for non-renewal as set forth in this Agreement.

As owner and operator of, as well as in her capacity as a funeral director for, Shipman Funeral Home, Sondra Shipman is responsible for ensuring that Shipman Funeral Home is in compliance with the law, including the applicable licensure requirements for preneed suppliers and providers.

Derek Shipman serves as Manager in Charge of Shipman Funeral Home's seller business.

The Board conducted a financial examination of Shipman Funeral Home as authorized and mandated by Section 436.470, RSMo.

On or about September 9, 2011, the Board made an on-site visit to examine Shipman Funeral Home's seller's books and records.

On or about September 9, 2011, Derek Shipman signed the Financial Examination Attestation in which he attested that he had "provided the State Board of Embalmers and Funeral Directors full and complete access to all records necessary for the board to conduct a financial examination of the books and records of this

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Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

a financial examination of the books and records of the seller."

Upon completion of the financial examination, the Board's examiner prepared a Financial Examination Report, which was submitted to the Board by the examiner on or about October 6, 2011 (the "Financial Examination Report").

By letter dated October 27, 2011, the Board provided a copy of the Financial Examination Report to Shipman Funeral Home.

In the October 27, 2011 letter, the Board noted the deficiencies identified in the Financial Examination Report and requested that Shipman Funeral Home send a written response to the Board within 30 days, including verification that the deficiencies were corrected or a plan to correct the deficiencies.

Shipman Funeral Home did not respond within 30 days of the October 27, 2011 letter.

By letter dated December 16, 2011, which was sent via certified mail and by first class mail, the Board notified Shipman Funeral Home that the Board had received no response to the October 27, 2011 letter and requested a response no later than January 15, 2012.

The December 16, 2011 letter also notified Shipman Funeral Home that if no response was received, the Board requested Shipman Funeral Home to appear before the Board in person on January 25, 2012 at 1:45 p.m. in Jefferson City, Missouri.

Sondra Shipman signed the "green card" confirming receipt of the December 16, 2011 letter sent via certified mail.

Shipman Funeral Home provided no written response to the Board by January 15, 2012.

Shipman Funeral Home did not appear before the Board at the meeting on January 25, 2012.

By letter dated February 15, 2012, Derek Shipman provided to the Board a written response to the Financial Examination Report.

The February 15, 2012 letter addressed some, but not all, of the deficiencies noted in the Financial Examination Report.

By letter dated February 16, 2012, First Bank, Bismarck, Missouri, confirmed that all joint accounts funding preneed contracts for Shipman Funeral Home were under joint control of Shipman Funeral Home and the consumer.

By letter dated March 8, 2012, the Board again requested that Shipman Funeral Home appear before the Board and requested that Shipman Funeral Home appear on March 21, 2012 at 3:45 p.m. in Jefferson City, Missouri to discuss the Financial Examination Report.

The March 21, 2012 meeting was rescheduled by the Board, and Shipman Funeral Home's appearance was rescheduled to April 25, 2012 at 10:30 a.m.

By letter dated April 11, 2012, the Board notified Shipman Funeral Home of the time, date and location of the requested appearance before the Board on April 25, 2012.

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On or about April 24, 2012 at or about 2:15 p.m., Shipman Funeral Home called the Board office to inform the Board that no one would be available to appear at the board meeting due to receipt of a death call.

On or about April 24, 2012, Shipman Funeral Home faxed to the Board a copy of a blank preneed contract and preneed information sheet.

On April 25, 2012, no one appeared before the Board on behalf of Shipman Funeral Home.

Shipman Funeral Home failed to submit to the Board its completed renewal for its seller license on or before its renewal date of October 31, 2012.

Shipman Funeral Home failed to submit to the Board its completed renewal for its provider license on or before its renewal date of October 31, 2012.

On or about April 17, 2013, the Board received a seller reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board received a provider reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board faxed to Shipman Funeral Home a memo indicating the items the Board needed to complete the seller and provider reinstatements.

By letter dated May 7, 2013, the Board notified Shipman Funeral Home that its seller license had been suspended due to failure to file a completed annual renewal due on or before October 31, 2012 and again informed Shipman Funeral Home what additional steps were required for reinstatement.

By a second letter dated May 7, 2013, the Board notified Shipman Funeral Home that its provider license had expired on October 31, 2012 and had not been renewed and again informed Shipman Funeral Home what additional steps were required for reinstatement.

In the May 7, 2013 letters to Shipman Funeral Home, the Board asked it to report any activities it had performed as either a provider or a seller during the time its licenses were not renewed.

Shipman Funeral Home did not respond to the May 7, 2013 letters.

By letter dated May 29, 2013, Shipman Funeral Home was asked again to provide the records requested in the May 7, 2013 letters, and the Board requested that Shipman Funeral Home appear before the Board at its June 25, 2013 Board meeting.

The reinstatement requests were finalized by Shipman Funeral Home on or about June 17, 2013.

The Board reinstated Shipman Funeral Home's seller license number 2009039207 on or about June 20, 2013.

The Board reinstated Shipman Funeral Home's provider license number 2009039206 on or about June 20, 2013.

On June 25, 2013, Derek Shipman and Sondra Shipman appeared before the Board to discuss the lapsed seller

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Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

and provider licenses. At this meeting, Mr. Shipman and Ms. Shipman discussed with the Board why the licenses had not been renewed timely and gave the Board assurances that future licenses would be renewed timely.

On or about October 31, 2013, Shipman Funeral Home signed its seller and provider renewal forms and its seller annual report.

On or about November 5, 2013, the Board notified Shipman Funeral Home that if the license renewal has not been processed by October 31, then Shipman Funeral Home could not act as a seller or a provider until the licenses were renewed. This notification was sent by facsimile transmission marked "URGENT!!!"

By letters dated November 5, 2013, the Board notified Shipman Funeral Home that its seller and provider licenses could not be renewed because of the noted deficiencies in the renewal information Shipman Funeral Home submitted.

By letter dated November 12, 2013, sent both by regular mail and by certified mail, the Board notified Shipman Funeral Home that its seller license had lapsed due to non-renewal and had been suspended, pursuant to Section 436.460.7, RSMo, for failure to file a complete annual report. This letter was received by Shipman Funeral Home on or about November 19, 2013.

By letter dated November 12, 2013, sent by both regular mail and by certified mail, the Board notified Shipman Funeral Home that its provider license lapsed due to failure to complete the steps necessary to renew the provider license. Shipman Funeral Home received this letter on or about November 19, 2013.

On or about November 22, 2013, the Board mailed Shipman Funeral Home notice of outstanding issues with the renewal forms.

On or about November 21, 2013, Shipman Funeral Home responded via facsimile to the Board's notices and attempts to resolve the outstanding renewal issues.

By letter dated December 4, 2013, the Board invited Shipman Funeral Home to appear before it on December 16, 2013 at 3:15 p.m. to discuss the failure of Shipman Funeral Home to renew its seller license. Shipman Funeral Home received this letter on or about December 10, 2013.

By e-mail dated December 12, 2013, Shipman Funeral Home declined the invitation to appear before the Board and offered an explanation of its attempts to timely complete the renewals.

By Memorandum dated February 4, 2014, the Board noted the remaining deficiencies that needed to be remedied in order for the seller and provider licenses to be reinstated and also requested copies of each preneed contract that had been sold or serviced since November 1, 2013.

Shipman Funeral Home responded with attempts at correcting the deficiencies.

By facsimile transmission on or about February 13, 2014, the Board again notified Shipman Funeral Home of the remaining deficiencies in the renewals.

On or about February 25, 2014, the Board issued

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renewals of Shipman Funeral Home's seller and provider licenses.

Shipman Funeral Home held out to the public and operated as both a seller and a provider during the time when Shipman Funeral Home's licenses were lapsed and the seller license was suspended.

Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

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Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Complaint: 2010-007773 **Shipman Funeral Home Seller 200939207 - Prov 2009039206 Edmond Shipman 5 years PROBATION**

Sondra Shipman

S/C:

DBA:Shipman Funeral Home 09/25/2015

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(573) 751-0293

Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Probation

The Board is an agency of the state of Missouri created and established by Section 333.151, RSMo, for the purposes of executing and enforcing the provisions of Chapter 333, RSMo, and the portions of Chapter 436, RSMo, related to preneed funeral contracts.

Jurisdiction and venue are proper before the Administrative Hearing Commission pursuant to Chapter 621, RSMo, and § 333.330, RSMo.

Sondra Shipman is an individual who has registered her address with the Board as P.O. Box 155, Bismarck, Missouri 63624.

Sondra Shipman holds funeral director license number 004493 that was current and active at all times relevant to this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home is a sole proprietorship that has a registered address with the Board of 449 S. Cedar St., P.O. Box 155, Bismarck, Missouri.

Sondra Shipman d/b/a Shipman Funeral Home ("Shipman Funeral Home") holds funeral establishment license number 001913. This license was current and active at all times relevant to this Agreement.

Shipman Funeral Home was a fictitious name registered with the Missouri Secretary of State by Sondra Shipman until that registration expired on or about May 29, 2012. Sondra Shipman again registered the fictitious name of Shipman Funeral Home with the Missouri Secretary of State on or about February 1, 2013.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed seller license number 2009039207. This license is current and active and has been so at all times relevant to this Agreement, except for when it was suspended for non-renewal as set forth in this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed provider license number 2009039206. This license was current and active and has been so at all times relevant to this Agreement, except for when it was lapsed for non-renewal as set forth in this Agreement.

As owner and operator of, as well as in her capacity as a funeral director for, Shipman Funeral Home, Sondra Shipman is responsible for ensuring that Shipman Funeral Home is in compliance with the law, including the applicable licensure requirements for preneed suppliers and providers.

Derek Shipman serves as Manager in Charge of Shipman Funeral Home's seller business.

The Board conducted a financial examination of Shipman Funeral Home as authorized and mandated by Section 436.470, RSMo.

On or about September 9, 2011, the Board made an on-site visit to examine Shipman Funeral Home's seller's books and records.

On or about September 9, 2011, Derek Shipman signed the Financial Examination Attestation in which he attested that he had "provided the State Board of Embalmers and Funeral Directors full and complete access to all records necessary for the board to conduct a financial examination of the books and records of this

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Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

... financial examination of the books and records of this seller."

Upon completion of the financial examination, the Board's examiner prepared a Financial Examination Report, which was submitted to the Board by the examiner on or about October 6, 2011 (the "Financial Examination Report").

By letter dated October 27, 2011, the Board provided a copy of the Financial Examination Report to Shipman Funeral Home.

In the October 27, 2011 letter, the Board noted the deficiencies identified in the Financial Examination Report and requested that Shipman Funeral Home send a written response to the Board within 30 days, including verification that the deficiencies were corrected or a plan to correct the deficiencies.

Shipman Funeral Home did not respond within 30 days of the October 27, 2011 letter.

By letter dated December 16, 2011, which was sent via certified mail and by first class mail, the Board notified Shipman Funeral Home that the Board had received no response to the October 27, 2011 letter and requested a response no later than January 15, 2012.

The December 16, 2011 letter also notified Shipman Funeral Home that if no response was received, the Board requested Shipman Funeral Home to appear before the Board in person on January 25, 2012 at 1:45 p.m. in Jefferson City, Missouri.

Sondra Shipman signed the "green card" confirming receipt of the December 16, 2011 letter sent via certified mail.

Shipman Funeral Home provided no written response to the Board by January 15, 2012.

Shipman Funeral Home did not appear before the Board at the meeting on January 25, 2012.

By letter dated February 15, 2012, Derek Shipman provided to the Board a written response to the Financial Examination Report.

The February 15, 2012 letter addressed some, but not all, of the deficiencies noted in the Financial Examination Report.

By letter dated February 16, 2012, First Bank, Bismarck, Missouri, confirmed that all joint accounts funding preneed contracts for Shipman Funeral Home were under joint control of Shipman Funeral Home and the consumer.

By letter dated March 8, 2012, the Board again requested that Shipman Funeral Home appear before the Board and requested that Shipman Funeral Home appear on March 21, 2012 at 3:45 p.m. in Jefferson City, Missouri to discuss the Financial Examination Report.

The March 21, 2012 meeting was rescheduled by the Board, and Shipman Funeral Home's appearance was rescheduled to April 25, 2012 at 10:30 a.m.

By letter dated April 11, 2012, the Board notified Shipman Funeral Home of the time, date and location of the requested appearance before the Board on April 25, 2012.

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On or about April 24, 2012 at or about 2:15 p.m., Shipman Funeral Home called the Board office to inform the Board that no one would be available to appear at the board meeting due to receipt of a death call.

On or about April 24, 2012, Shipman Funeral Home faxed to the Board a copy of a blank preneed contract and preneed information sheet.

On April 25, 2012, no one appeared before the Board on behalf of Shipman Funeral Home.

Shipman Funeral Home failed to submit to the Board its completed renewal for its seller license on or before its renewal date of October 31, 2012.

Shipman Funeral Home failed to submit to the Board its completed renewal for its provider license on or before its renewal date of October 31, 2012.

On or about April 17, 2013, the Board received a seller reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board received a provider reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board faxed to Shipman Funeral Home a memo indicating the items the Board needed to complete the seller and provider reinstatements.

By letter dated May 7, 2013, the Board notified Shipman Funeral Home that its seller license had been suspended due to failure to file a completed annual renewal due on or before October 31, 2012 and again informed Shipman Funeral Home what additional steps were required for reinstatement.

By a second letter dated May 7, 2013, the Board notified Shipman Funeral Home that its provider license had expired on October 31, 2012 and had not been renewed and again informed Shipman Funeral Home what additional steps were required for reinstatement.

In the May 7, 2013 letters to Shipman Funeral Home, the Board asked it to report any activities it had performed as either a provider or a seller during the time its licenses were not renewed.

Shipman Funeral Home did not respond to the May 7, 2013 letters.

By letter dated May 29, 2013, Shipman Funeral Home was asked again to provide the records requested in the May 7, 2013 letters, and the Board requested that Shipman Funeral Home appear before the Board at its June 25, 2013 Board meeting.

The reinstatement requests were finalized by Shipman Funeral Home on or about June 17, 2013.

The Board reinstated Shipman Funeral Home's seller license number 2009039207 on or about June 20, 2013.

The Board reinstated Shipman Funeral Home's provider license number 2009039206 on or about June 20, 2013.

On June 25, 2013, Derek Shipman and Sondra Shipman appeared before the Board to discuss the lapsed seller

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and provider licenses. At this meeting, Mr. Shipman and Ms. Shipman discussed with the Board why the licenses had not been renewed timely and gave the Board assurances that future licenses would be renewed timely.

On or about October 31, 2013, Shipman Funeral Home signed its seller and provider renewal forms and its seller annual report.

On or about November 5, 2013, the Board notified Shipman Funeral Home that if the license renewal has not been processed by October 31, then Shipman Funeral Home could not act as a seller or a provider until the licenses were renewed. This notification was sent by facsimile transmission marked "URGENT!!!"

By letters dated November 5, 2013, the Board notified Shipman Funeral Home that its seller and provider licenses could not be renewed because of the noted deficiencies in the renewal information Shipman Funeral Home submitted.

By letter dated November 12, 2013, sent both by regular mail and by certified mail, the Board notified Shipman Funeral Home that its seller license had lapsed due to non-renewal and had been suspended, pursuant to Section 436.460.7, RSMo, for failure to file a complete annual report. This letter was received by Shipman Funeral Home on or about November 19, 2013.

By letter dated November 12, 2013, sent by both regular mail and by certified mail, the Board notified Shipman Funeral Home that its provider license lapsed due to failure to complete the steps necessary to renew the provider license. Shipman Funeral Home received this letter on or about November 19, 2013.

On or about November 22, 2013, the Board mailed Shipman Funeral Home notice of outstanding issues with the renewal forms.

On or about November 21, 2013, Shipman Funeral Home responded via facsimile to the Board's notices and attempts to resolve the outstanding renewal issues.

By letter dated December 4, 2013, the Board invited Shipman Funeral Home to appear before it on December 16, 2013 at 3:15 p.m. to discuss the failure of Shipman Funeral Home to renew its seller license. Shipman Funeral Home received this letter on or about December 10, 2013.

By e-mail dated December 12, 2013, Shipman Funeral Home declined the invitation to appear before the Board and offered an explanation of its attempts to timely complete the renewals.

By Memorandum dated February 4, 2014, the Board noted the remaining deficiencies that needed to be remedied in order for the seller and provider licenses to be reinstated and also requested copies of each preneed contract that had been sold or serviced since November 1, 2013.

Shipman Funeral Home responded with attempts at correcting the deficiencies.

By facsimile transmission on or about February 13, 2014, the Board again notified Shipman Funeral Home of the remaining deficiencies in the renewals.

On or about February 25, 2014, the Board issued

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renewals of Shipman Funeral Home's seller and provider licenses.

Shipman Funeral Home held out to the public and operated as both a seller and a provider during the time when Shipman Funeral Home's licenses were lapsed and the seller license was suspended.

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Disciplinary Actions

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Complaint: 2010-008041 Shipman, Derek FDR 006414 Edmond Shipman 5 years PROBATION

Shipman, Derek S

S/C:

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Disciplinary Actions

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Probation

The Board is an agency of the state of Missouri created and established by Section 333.151, RSMo, for the purposes of executing and enforcing the provisions of Chapter 333, RSMo, and the portions of Chapter 436, RSMo, related to preneed funeral contracts.

Jurisdiction and venue are proper before the Administrative Hearing Commission pursuant to Chapter 621, RSMo, and § 333.330, RSMo.

Derek Shipman is an individual who has registered his address with the Board as P.O. Box 117, Bismarck, Missouri 63624.

Derek Shipman holds funeral director license number 006414 that was current and active at all times relevant to this Agreement, except when it expired between on or about June 1, 2014 and on or about July 11, 2014.

Sondra Shipman d/b/a Shipman Funeral Home is a sole proprietorship that has a registered address with the Board of 449 S. Cedar St., P.O. Box 155, Bismarck, Missouri.

Sondra Shipman d/b/a Shipman Funeral Home ("Shipman Funeral Home") holds funeral establishment license number 001913. This license was current and active at all times relevant to this Agreement.

Shipman Funeral Home was a fictitious name registered with the Missouri Secretary of State by Sondra Shipman until that registration expired on or about May 29, 2012. Sondra Shipman again registered the fictitious name of Shipman Funeral Home with the Missouri Secretary of State on or about February 1, 2013.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed seller license number 2009039207. This license is current and active and has been so at all times relevant to this Agreement, except for when it was suspended for non-renewal as set forth in this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed provider license number 2009039206. This license was current and active and has been so at all times relevant to this Agreement, except for when it was lapsed for non-renewal as set forth in this Agreement.

Derek Shipman serves as Manager in Charge of Shipman Funeral Home's seller business and as Funeral Director in Charge of Shipman Funeral Home's funeral establishment.

As Funeral Director in Charge and Manager in Charge of Shipman Funeral Home, Derek Shipman is responsible for all acts of Shipman Funeral Home related to its establishment and seller activities.

Derek Shipman acts on behalf of Shipman Funeral Home

The Board conducted a financial examination of Shipman Funeral Home as authorized and mandated by Section 436.470, RSMo.

On or about September 9, 2011, the Board made an on-site visit to examine Shipman Funeral Home's seller's books and records.

On or about September 9, 2011, Derek Shipman signed the Financial Examination Attestation in which he attested that he had "provided the State Board of

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requested that he had provided the State Board of Embalmers and Funeral Directors full and complete access to all records necessary for the board to conduct a financial examination of the books and records of this seller."

Upon completion of the financial examination, the Board's examiner prepared a Financial Examination Report, which was submitted to the Board by the examiner on or about October 6, 2011 (the "Financial Examination Report").

By letter dated October 27, 2011, the Board provided a copy of the Financial Examination Report to Shipman Funeral Home.

In the October 27, 2011 letter, the Board noted the deficiencies identified in the Financial Examination Report and requested that Shipman Funeral Home send a written response to the Board within 30 days, including verification that the deficiencies were corrected or a plan to correct the deficiencies.

Shipman Funeral Home did not respond within 30 days of the October 27, 2011 letter.

By letter dated December 16, 2011, which was sent via certified mail and by first class mail, the Board notified Shipman Funeral Home that the Board had received no response to the October 27, 2011 letter and requested a response no later than January 15, 2012.

The December 16, 2011 letter also notified Shipman Funeral Home that if no response was received, the Board requested Shipman Funeral Home to appear before the Board in person on January 25, 2012 at 1:45 p.m. in Jefferson City, Missouri.

Sondra Shipman signed the "green card" confirming receipt of the December 16, 2011 letter sent via certified mail.

Shipman Funeral Home provided no written response to the Board by January 15, 2012.

Shipman Funeral Home did not appear before the Board at the meeting on January 25, 2012.

By letter dated February 15, 2012, Derek Shipman provided to the Board a written response to the Financial Examination Report.

The February 15, 2012 letter addressed some, but not all, of the deficiencies noted in the Financial Examination Report.

By letter dated February 16, 2012, First Bank, Bismarck, Missouri, confirmed that all joint accounts funding preneed contracts for Shipman Funeral Home were under joint control of Shipman Funeral Home and the consumer.

By letter dated March 8, 2012, the Board again requested that Shipman Funeral Home appear before the Board and requested that Shipman Funeral Home appear on March 21, 2012 at 3:45 p.m. in Jefferson City, Missouri to discuss the Financial Examination Report.

The March 21, 2012 meeting was rescheduled by the Board, and Shipman Funeral Home's appearance was rescheduled to April 25, 2012 at 10:30 a.m.

By letter dated April 11, 2012, the Board notified

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Shipman Funeral Home of the time, date and location of the requested appearance before the Board on April 25, 2012.

On or about April 24, 2012 at or about 2:15 p.m., Shipman Funeral Home called the Board office to inform the Board that no one would be available to appear at the board meeting due to receipt of a death call.

On or about April 24, 2012, Shipman Funeral Home faxed to the Board a copy of a blank preneed contract and preneed information sheet.

On April 25, 2012, no one appeared before the Board on behalf of Shipman Funeral Home.

Shipman Funeral Home failed to submit to the Board its completed renewal for its seller license on or before its renewal date of October 31, 2012.

Shipman Funeral Home failed to submit to the Board its completed renewal for its provider license on or before its renewal date of October 31, 2012.

On or about April 17, 2013, the Board received a seller reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board received a provider reinstatement request from Shipman Funeral Home, but the reinstatement was not completed.

On or about April 17, 2013, the Board faxed to Shipman Funeral Home a memo indicating the items the Board needed to complete the seller and provider reinstatements.

By letter dated May 7, 2013, the Board notified Shipman Funeral Home that its seller license had been suspended due to failure to file a completed annual renewal due on or before October 31, 2012 and again informed Shipman Funeral Home what additional steps were required for reinstatement.

By a second letter dated May 7, 2013, the Board notified Shipman Funeral Home that its provider license had expired on October 31, 2012 and had not been renewed and again informed Shipman Funeral Home what additional steps were required for reinstatement.

In the May 7, 2013 letters to Shipman Funeral Home, the Board asked it to report any activities it had performed as either a provider or a seller during the time its licenses were not renewed.

Shipman Funeral Home did not respond to the May 7, 2013 letters.

By letter dated May 29, 2013, Shipman Funeral Home was asked again to provide the records requested in the May 7, 2013 letters, and the Board requested that Shipman Funeral Home appear before the Board at its June 25, 2013 Board meeting.

The reinstatement requests were finalized by Shipman Funeral Home on or about June 17, 2013.

The Board reinstated Shipman Funeral Home's seller license number 2009039207 on or about June 20, 2013.

The Board reinstated Shipman Funeral Home's provider license number 2009039206 on or about June 20, 2013.

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On June 25, 2013, Derek Shipman and Sondra Shipman appeared before the Board to discuss the lapsed seller and provider licenses. At this meeting, Mr. Shipman and Ms. Shipman discussed with the Board why the licenses had not been renewed timely and gave the Board assurances that future licenses would be renewed timely.

On or about October 31, 2013, Shipman Funeral Home signed its seller and provider renewal forms and its seller annual report.

On or about November 5, 2013, the Board notified Shipman Funeral Home that if the license renewal has not been processed by October 31, then Shipman Funeral Home could not act as a seller or a provider until the licenses were renewed. This notification was sent by facsimile transmission marked "URGENT!!!"

By letters dated November 5, 2013, the Board notified Shipman Funeral Home that its seller and provider licenses could not be renewed because of the noted deficiencies in the renewal information Shipman Funeral Home submitted.

By letter dated November 12, 2013, sent both by regular mail and by certified mail, the Board notified Shipman Funeral Home that its seller license had lapsed due to non-renewal and had been suspended, pursuant to Section 436.460.7, RSMo, for failure to file a complete annual report. This letter was received by Shipman Funeral Home on or about November 19, 2013.

By letter dated November 12, 2013, sent by both regular mail and by certified mail, the Board notified Shipman Funeral Home that its provider license lapsed due to failure to complete the steps necessary to renew the provider license. Shipman Funeral Home received this letter on or about November 19, 2013.

On or about November 22, 2013, the Board mailed Shipman Funeral Home notice of outstanding issues with the renewal forms.

On or about November 21, 2013, Shipman Funeral Home responded via facsimile to the Board's notices and attempts to resolve the outstanding renewal issues.

By letter dated December 4, 2013, the Board invited Shipman Funeral Home to appear before it on December 16, 2013 at 3:15 p.m. to discuss the failure of Shipman Funeral Home to renew its seller license. Shipman Funeral Home received this letter on or about December 10, 2013.

By e-mail dated December 12, 2013, Shipman Funeral Home declined the invitation to appear before the Board and offered an explanation of its attempts to timely complete the renewals.

By Memorandum dated February 4, 2014, the Board noted the remaining deficiencies that needed to be remedied in order for the seller and provider licenses to be reinstated and also requested copies of each preneed contract that had been sold or serviced since November 1, 2013.

Shipman Funeral Home responded with attempts at correcting the deficiencies.

By facsimile transmission on or about February 13, 2014, the Board again notified Shipman Funeral Home of the remaining deficiencies in the preneed contracts.

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remaining deficiencies in the renewals.

On or about February 25, 2014, the Board issued renewals of Shipman Funeral Home's seller and provider licenses.

Shipman Funeral Home held out to the public and operated as both a seller and a provider during the time when Shipman Funeral Home's licenses were lapsed and the seller license was suspended.

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Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Complaint: 2010-008042 Shipman, Sondra FDR 004493 5 YEARS PROBATION

Shipman, Sondra L

S/C:

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Probation

The Board is an agency of the state of Missouri created and established by Section 333.151, RSMo, for the purposes of executing and enforcing the provisions of Chapter 333, RSMo, and the portions of Chapter 436, RSMo, related to preneed funeral contracts.

Jurisdiction and venue are proper before the Administrative Hearing Commission pursuant to Chapter 621, RSMo, and § 333.330, RSMo.

Sondra Shipman is an individual who has registered her address with the Board as P.O. Box 155, Bismarck, Missouri 63624.

Sondra Shipman holds funeral director license number 004493 that was current and active at all times relevant to this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home is a sole proprietorship that has a registered address with the Board of 449 S. Cedar St., P.O. Box 155, Bismarck, Missouri.

Sondra Shipman d/b/a Shipman Funeral Home ("Shipman Funeral Home") holds funeral establishment license number 001913. This license was current and active at all times relevant to this Agreement.

Shipman Funeral Home was a fictitious name registered with the Missouri Secretary of State by Sondra Shipman until that registration expired on or about May 29, 2012. Sondra Shipman again registered the fictitious name of Shipman Funeral Home with the Missouri Secretary of State on or about February 1, 2013.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed seller license number 2009039207. This license is current and active and has been so at all times relevant to this Agreement, except for when it was suspended for non-renewal as set forth in this Agreement.

Sondra Shipman d/b/a Shipman Funeral Home holds preneed provider license number 2009039206. This license was current and active and has been so at all times relevant to this Agreement, except for when it was lapsed for non-renewal as set forth in this Agreement.

As owner and operator of, as well as in her capacity as a funeral director for, Shipman Funeral Home, Sondra Shipman is responsible for ensuring that Shipman Funeral Home is in compliance with the law, including the applicable licensure requirements for preneed suppliers and providers.

Derek Shipman serves as Manager in Charge of Shipman Funeral Home's seller business.

The Board conducted a financial examination of Shipman Funeral Home as authorized and mandated by Section 436.470, RSMo.

On or about September 9, 2011, the Board made an on-site visit to examine Shipman Funeral Home's seller's books and records.

On or about September 9, 2011, Derek Shipman signed the Financial Examination Attestation in which he attested that he had "provided the State Board of Embalmers and Funeral Directors full and complete access to all records necessary for the board to conduct a financial examination of the books and records of this

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a financial examination of the books and records of the seller."

Upon completion of the financial examination, the Board's examiner prepared a Financial Examination Report, which was submitted to the Board by the examiner on or about October 6, 2011 (the "Financial Examination Report").

By letter dated October 27, 2011, the Board provided a copy of the Financial Examination Report to Shipman Funeral Home.

In the October 27, 2011 letter, the Board noted the deficiencies identified in the Financial Examination Report and requested that Shipman Funeral Home send a written response to the Board within 30 days, including verification that the deficiencies were corrected or a plan to correct the deficiencies.

Shipman Funeral Home did not respond within 30 days of the October 27, 2011 letter.

By letter dated December 16, 2011, which was sent via certified mail and by first class mail, the Board notified Shipman Funeral Home that the Board had received no response to the October 27, 2011 letter and requested a response no later than January 15, 2012.

The December 16, 2011 letter also notified Shipman Funeral Home that if no response was received, the Board requested Shipman Funeral Home to appear before the Board in person on January 25, 2012 at 1:45 p.m. in Jefferson City, Missouri.

Sondra Shipman signed the "green card" confirming receipt of the December 16, 2011 letter sent via certified mail.

Shipman Funeral Home provided no written response to the Board by January 15, 2012.

Shipman Funeral Home did not appear before the Board at the meeting on January 25, 2012.

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The reinstatement requests were finalized by Shipman Funeral Home on or about June 17, 2013.

The Board reinstated Shipman Funeral Home's seller license number 2009039207 on or about June 20, 2013.

The Board reinstated Shipman Funeral Home's provider license number 2009039206 on or about June 20, 2013.

On June 25, 2013, Derek Shipman and Sondra Shipman appeared before the Board to discuss the lapsed seller

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and provider licenses. At this meeting, Mr. Shipman and Ms. Shipman discussed with the Board why the licenses had not been renewed timely and gave the Board assurances that future licenses would be renewed timely.

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By letter dated November 12, 2013, sent both by regular mail and by certified mail, the Board notified Shipman Funeral Home that its seller license had lapsed due to non-renewal and had been suspended, pursuant to Section 436.460.7, RSMo, for failure to file a complete annual report. This letter was received by Shipman Funeral Home on or about November 19, 2013.

By letter dated November 12, 2013, sent by both regular mail and by certified mail, the Board notified Shipman Funeral Home that its provider license lapsed due to failure to complete the steps necessary to renew the provider license. Shipman Funeral Home received this letter on or about November 19, 2013.

On or about November 22, 2013, the Board mailed Shipman Funeral Home notice of outstanding issues with the renewal forms.

On or about November 21, 2013, Shipman Funeral Home responded via facsimile to the Board's notices and attempts to resolve the outstanding renewal issues.

By letter dated December 4, 2013, the Board invited Shipman Funeral Home to appear before it on December 16, 2013 at 3:15 p.m. to discuss the failure of Shipman Funeral Home to renew its seller license. Shipman Funeral Home received this letter on or about December 10, 2013.

By e-mail dated December 12, 2013, Shipman Funeral Home declined the invitation to appear before the Board and offered an explanation of its attempts to timely complete the renewals.

By Memorandum dated February 4, 2014, the Board noted the remaining deficiencies that needed to be remedied in order for the seller and provider licenses to be reinstated and also requested copies of each preneed contract that had been sold or serviced since November 1, 2013.

Shipman Funeral Home responded with attempts at correcting the deficiencies.

By facsimile transmission on or about February 13, 2014, the Board again notified Shipman Funeral Home of the remaining deficiencies in the renewals.

On or about February 25, 2014, the Board issued

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renewals of Shipman Funeral Home's seller and provider licenses.

Shipman Funeral Home held out to the public and operated as both a seller and a provider during the time when Shipman Funeral Home's licenses were lapsed and the seller license was suspended.

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Complaint: 2014-003039	Sowers Funeral Chapel Inc Williams Funeral Chapel FE 2009030548 1 1/2 Year Probation
Sowers Funeral Chapel In S/C: <input type="checkbox"/>	Probation
DBA: Williams Funeral Chapel	10/10/2015
Complaint: 2015-005377	Nigro, Michael FDA2015010139 DOR HB600
Nigro, Michael Louis S/C: <input type="checkbox"/>	Suspended 324.010
09/30/2015	This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint: 2015-006285	Young & Sons Funeral Home Inc PNS 2009039707- Late Filing Annual Report SUSPENSION
Young & Sons Funeral Ho S/C: <input type="checkbox"/>	Suspension
11/01/2015	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2015-006459	BCG Enterprise LLC/ Family in Crisis Financial Serivce PNS 2015017666- Late Filing Annual Report SUSPENSION
BCG Enterprise LLC S/C: <input type="checkbox"/>	Suspension
DBA: Family in Crisis Funeral S	11/01/2015
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2015-006460	Calvin F Feutz Funeral Home Inc PNS 2009038164- Late Filing Annual Report SUSPENSION
Calvin F Feutz Funeral Ho S/C: <input type="checkbox"/>	Suspension
11/01/2015	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2015-006461	Estelle L. May/ H.T. May & Son Funeral Home PNS 2010003042- Late Filing Annual Report SUSPENSION
Estelle L. May S/C: <input type="checkbox"/>	Suspension
DBA: H. T. May & Son Funeral H	11/01/2015
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

3605 Missouri Blvd.
Jefferson City, Missouri 65102
(573) 751-0293

Disciplinary Actions

Ordered From 09/11/2015 Through 11/20/2015

Complaint: 2015-006462	George H. Treaster/ White Funeral Home PNS 2010003431- Late Filing Annual Report SUSPENSION
George H. Treaster	S/C: <input type="checkbox"/>
DBA: White Funeral Home	11/01/2015
	Suspension
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

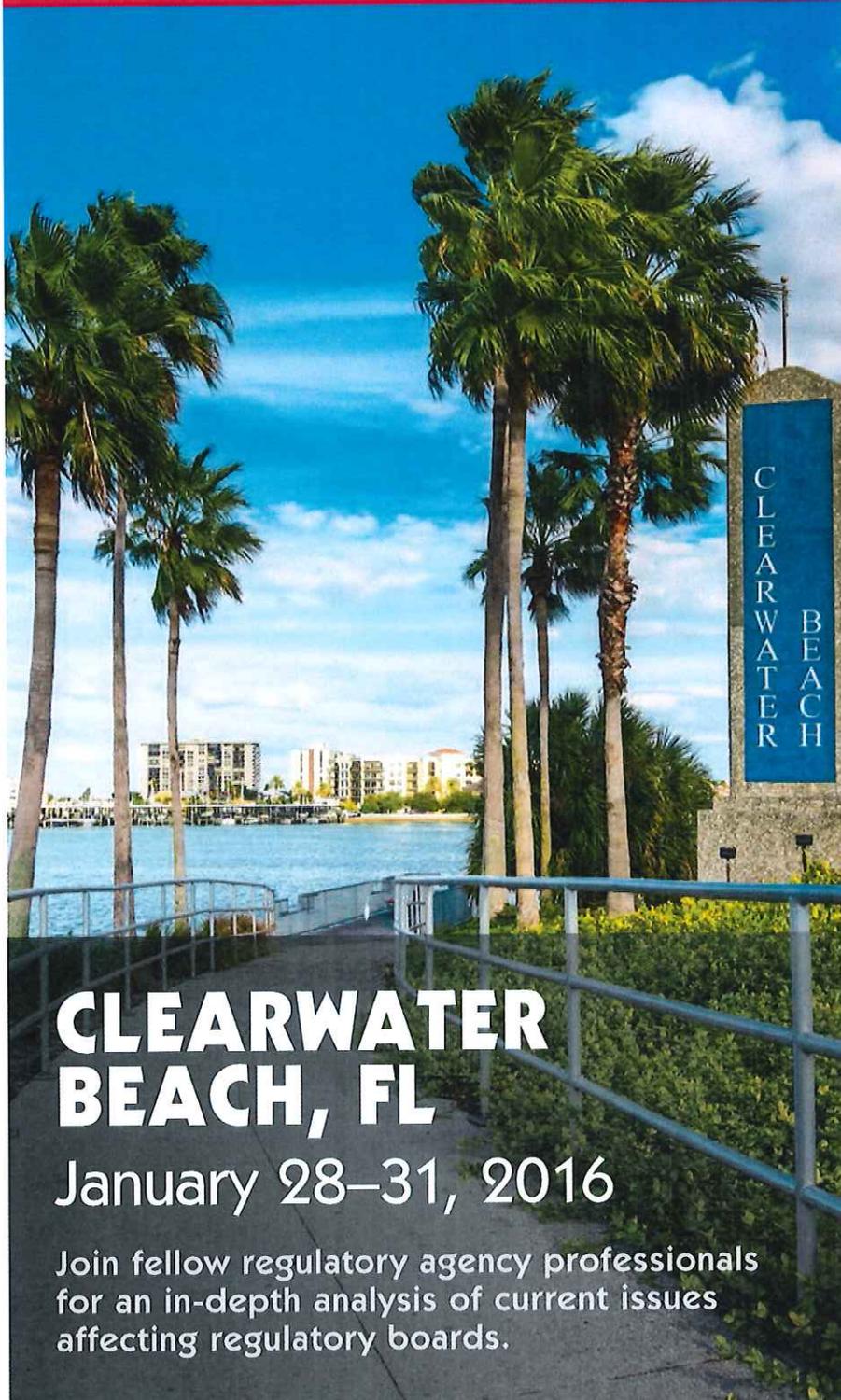
Complaint: 2015-006466	Polley Funeral Homes LLC PNS 2009039723- Late Filing Annual Report SUSPENSION
Polley Funeral Homes LLC	S/C: <input type="checkbox"/>
	11/01/2015
	Suspension
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2015-006467	Sebbeto Funeral Home, Inc PNS 2009039289- Late Filing Annual Report SUSPENSION
Sebbeto Funeral Home, In	S/C: <input type="checkbox"/>
	11/01/2015
	Suspension
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2015-006469	Serenity Memorial Funeral and Cremation Service LLC/Serenity Memorial Funeral and Cremation Service PNS
Serenity Memorial Funeral	S/C: <input type="checkbox"/>
DBA: Serenity Memorial Funeral	11/01/2015
	Suspension
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.



**40th Annual
FARB Forum**



**CLEARWATER
BEACH, FL**

January 28–31, 2016

Join fellow regulatory agency professionals for an in-depth analysis of current issues affecting regulatory boards.

CONFERENCE CONTENT

The 2016 FARB Forum will address relevant topics related to administering regulatory boards. FARB's conferences are intended to improve the effectiveness and efficiency of boards by giving board staff, board members and attorneys the tools and information they need to fulfill their duties as protectors of the public. A four hour Thursday evening Comprehensive Regulatory Training (CRT) has been added as a NEW optional session.

The FARB Forum is always interactive and will open with remarks on the economics and public perception of regulation. The *Friday Focus* will take an in-depth look at mobility and portability with various stakeholder perspectives. On Saturday, sessions will address technology and regulatory boards. To complete Saturday, the popular Top Regulatory Cases session will be presented by Dale Atkinson. The Forum Sunday will include presentations on executive sessions, criminal prosecutions and advisory opinions. As always, throughout the conference, relevant videos and news stories will be presented.



PHOTO CREDIT: COLIN MILLER

COMPREHENSIVE REGULATORY TRAINING (CRT)

This interactive session is designed to provide comprehensive training for board members, staff, investigators, attorneys and other stakeholders in the professional regulatory licensing system. The CRT course has been developed to cover all aspects of regulation and board operations, including scope of authority, responsibilities, conflicts of interest, immunity, discipline and outreach. Attendees may attend just the CRT without registering for the entire conference.

MEETING MATERIALS

Prior to the conference, all attendees will receive an email with instructions on how to access the meeting materials online. The meeting website with the materials will be accessible during the conference via Wi-Fi.

CONTINUING EDUCATION

FARB anticipates that attendees will earn 12 CLE credit hours at the Forum through the Illinois Minimum Continuing Legal Education program. Attendees can anticipate an additional 4 hours of CLE credit if attending the CRT. Other professions may be able to use FARB courses as continuing education. Attendees must submit required information to their appropriate jurisdictions as necessary.

FARB MISSION

To promote excellence in regulation for public protection by providing expertise and innovation from a multi-professional perspective.

In keeping with its mission statement, FARB provides a forum for interaction among individuals and agencies involved in regulatory law and the licensing of professionals with the goal of protecting the public. Member associations, individuals, and other organizations that participate in FARB and seek to strengthen and uphold the standards of licensed professionals are invited to attend. We look forward to seeing you!

Visit the FARB website at www.FARB.org for more information about FARB and its programs.



PHOTO CREDIT: COLIN MILLER

FORUM AGENDA

THURSDAY, JANUARY 28, 2016

2:30 pm – 7:00 pm Early Registration

THURSDAY OPTIONAL SESSION

Comprehensive Regulatory Training (CRT)

3:00 pm – 5:00 pm An Analysis of the Law, Role of the Board,
Role of the Board Member

5:00 pm – 5:30 pm Break

5:30 pm – 7:30 pm Discipline, Appeals, Outreach and Immunity

FRIDAY, JANUARY 29, 2016

7:30 am – 5:00 pm Registration Open

7:30 am – 8:30 am Breakfast

8:30 am – 8:45 am Welcoming Remarks

8:45 am – 9:00 am What's New with FARB

9:00 am – 10:00 am The Economics of Regulation

10:00 am – 10:30 am Break

10:30 am – 11:00 am Public Perception of Regulation

11:00 am – 11:45 pm Practice and Credential Terminology:
First Amendment, Board Certification

11:45 pm – 1:00 pm Lunch

FRIDAY FOCUS

Occupational Mobility and Portability

1:00 pm – 1:30 pm A Statistical Perspective

1:30 pm – 2:00 pm A Legislative Perspective

2:00 pm – 2:30 pm A Legal Perspective

2:30 pm – 3:00 pm A Regulatory Board Perspective

3:00 pm – 3:30 pm Break

3:30 pm – 4:30 pm 31 Flavors of Mobility Models:
Compacts, Temporary Practice, Licensure

4:30 pm – 5:15 pm Friday Top Regulatory Cases

5:15 pm RECESS

5:15 pm – 6:15 pm FARB Reception

SATURDAY, JANUARY 30, 2016

7:30 am – 12:15 pm Registration Open

7:30 am – 8:30 am Breakfast

SATURDAY FOCUS

Technology and the Regulatory Board

8:30 am – 9:15 am Online Applications
for Licensure and Renewal:
Apply, Sign, Issue

9:15 am – 10:00 am Use of Technology in Board Meetings:
Notice, Attend, Record

10:00 am – 10:30 am Break

10:30 am – 11:15 pm New Legislation
Affecting the Regulatory Community

11:15 am – 12:15 pm Saturday Top Regulatory Cases

12:15 pm Recess

SUNDAY, JANUARY 31, 2016

7:30 am – 8:30 am Breakfast

8:30 am – 9:15 am Executive Session:
When, Why, How to Document

9:15 am – 10:00 am Criminal Prosecutions:
Coordinating Concurrent Cases

10:00 am – 10:15 am Break

10:15 am – 11:00 am Advisory Opinions:
Are they advisable?

11:00 am – 11:30 am Regulation in the News; Videos

11:30 pm Recess

Many thanks to our annual sponsors:

ETHICS AND BOUNDARIES
ASSESSMENT SERVICES LLC

www.ebas.org

AEQUO INTERNATIONAL
www.aequointernational.com



REGISTRATION FORM

40th Annual FARB Forum January 28–31, 2016

Space is limited — Please register by Wednesday, January 13, 2016

I am a FARB member

- Payment of \$625 enclosed. Payment of \$625 to follow.

I am NOT a FARB member

- Payment of \$750 enclosed. Payment of \$750 to follow.

I will attend the Thursday

Comprehensive Regulatory Training *(checks may be combined)*

- Payment of \$275 enclosed. Payment of \$275 to follow.

You may also register and pay by credit card on our website, www.FARB.org.
(Please read our Cancellation Policy)

For purposes of Continuing Legal Education credit,
are you licensed to practice law? Yes No

If yes, please identify the state(s) or jurisdiction(s)
in which you are currently licensed. _____

ATTENDEE NAME

ATTENDEE TITLE

ATTENDEE FIRST NAME ON IDENTIFICATION BADGE

ATTENDEE BOARD/FIRM/AGENCY

ATTENDEE MAILING ADDRESS

ATTENDEE CITY/STATE/ZIP

ATTENDEE TELEPHONE

ATTENDEE E-MAIL ADDRESS

FARB FEDERAL I.D. #23-7375992.

To register by fax or mail, please return
the registration form contained in this brochure to:
Federation of Associations of Regulatory Boards (FARB)
1466 Techny Road
Northbrook, IL 60062
Phone: 847-559-FARB (3272)
Fax: 847-714-9796
E-mail: FARB@FARB.org



HOTEL ACCOMMODATIONS & LOCATION

Join FARB in Clearwater Beach, Florida, where white sand meets sparkling waters. Enjoy beautiful scenery and beachside fun from water sports and cruises to dining and dancing hot spots.

FARB has reserved a block of rooms at the Sandpearl specifically for Forum attendees, yet rooms sell out quickly. Please make your hotel reservations promptly.

Attendees are responsible for securing their own hotel reservations. Reservations may be made by contacting the Sandpearl directly:

The Sandpearl
500 Mandalay Avenue
Clearwater Beach, Florida 33767
Reservations: 877-726-3111 or 727-674-4111
Visit www.FARB.org for a link to online reservations

Please refer to the FARB group when making your reservations to ensure you get the reduced room rate of \$209.00 per night plus tax.

The hotel reservation deadline is Tuesday, January 6, 2016. Hotel reservations made after this date are subject to availability and rate increase.

FEES

Registration fee for the Thursday Comprehensive Regulatory Training session is \$275 and includes beverages and light snacks. Registration fee for the Forum is \$625 for members and \$750 for non-members and includes daily breakfast, lunch on Friday, and a reception Friday evening. Please forward the registration fee to FARB as soon as possible. If the fee is not available at the time of registration, it may be sent later or paid upon arrival at the conference.

CANCELLATION POLICY

Notification of cancellation MUST be made in writing and sent to FARB via U.S. mail, e-mail (FARB@FARB.org), or fax.

Refunds:

If a cancellation is received in writing on or before
Wednesday, January 13, 2016, FARB will:

1. Refund the paid registration fee less a \$50 processing charge
2. Charge the registrant a \$50 processing charge if the registration fee has not been paid

Any registrants cancelling after Wednesday, January 13, 2016, will be charged the full registration fee but will receive access to all meeting materials.

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

May 28, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, Committee Chair, at 8:45 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Open Minutes

A motion was made by James Reinhard and seconded by Archie Camden to approve the May 14, 2013 open minutes.

Executive Director Report

Sandy discussed with the committee available dates and the committee set June 3 as the next financial exam call.

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to #1, #2, #7 and #9 of the attached motions to close.

Adjournment

Meeting adjourned at 9:00 a.m.

Executive Director: _____

Approved by the board on: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

State Board of Embalmers and Funeral Directors
Financial Examination Committee

June 3, 2013

State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 8:32 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Approval of Minutes

No minutes to approve

Executive Director Report

No Report

Legal Counsel Report

No Report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to #1, #2, #7 and #9 of the attached motions to close.

Adjournment

Meeting adjourned at 9:15 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

July 10, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 8:34 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon gave an update stating that everyone involved in the National Prearranged Services criminal case has pleaded guilty except for David Wolff who chose not to enter into a plea and will go to trial.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to #1, #2, #7 and #9 of the attached motions to close.

Adjournment

The meeting adjourned at 9:35 a.m.

Approved by the board on _____

Executive Director _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

July 25, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 9:02 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

No Report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to #1, #2, #7 #8 and #9 of the attached motions to close.

Future Meeting Date

It was discussed to plan to schedule the next meeting for August 6, 2013.

Adjournment

Meeting adjourned at 10:15 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

August 6, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee, was called to order via conference call by James Reinhard, committee chair, at 9:05 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported that David Wulff's hearing began yesterday in the National Prearranged Services criminal case.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move close pursuant to #1, #2, #7, #8, and #9 of the attached motions to close.

Future Meeting Dates

There was discussion about scheduling the next meeting on August 14, 2013 at 9 a.m.

Adjournment

Meeting adjourned at 9:28a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

August 14, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 9:12 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported that the trial regarding National Prearranged Services is going forward and that Bob Lock of McBride Lock and Associates testified August 13.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move into closed session pursuant to #1, #2, #7, #8 and #9 of the attached motions to close.

Future Meeting Discussion

There was discussion that the next exam call will be August 27, 2013 at 9:00 a.m.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

August 27, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 9:11 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported that David Wulff was convicted on all 18 counts in the National Prearranged Services trial; will be sentenced November 7th with the rest of defendants in case.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed for #1, #2, #7, #8 and #9 of the attached motions to close.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn at 10:15a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

September 23, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 3:09 p.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member left the meeting at 4:00 p.m.

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported the Cassidy plea agreements in the National Prearranged Services trial. There is a new investment group that owns Forever Cemeteries and the cemetery is in receivership.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2 #7, #8 and #9 of the attached motions to close.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn the meeting at 4:10 p.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

October 21, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 8:40 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

No Report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed pursuant to #1, #2, #7, #8 and #9 of the attached motions to close.

Sharon mentioned the Funeral Consumers Alliance newsletter had comment in it that Josh Slocum stated he did not care for Missouri's rule regarding embalming after 24 hours.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn the meeting at 10:08 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

November 6, 2013

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 8:51 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported that the board received a favorable decision on the Buescher case.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed pursuant to #1, #2, #7, #8 and #9 of the attached motions to close.

Adjournment

A motion was made by James Reinhard and seconded by Archie Camden to adjourn at 9:23 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

December 16, 2013

**Hilton Garden Inn
16631 Chesterfield Grove Road
Chesterfield, MO 63005**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 9:03 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

No Report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed to session for #1, #2, #7, #8, and #9 of the attached motions to close.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn at 10:13 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

January 28, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 9:06 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No Report

Legal Counsel Report

Sharon reported that the Marts trial had been rescheduled.

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to #1, #2, #7, #8 and #9 of the attached motions to close.

Furture Meeting

Members discussed the next potential meeting dates would be February 3, 10 or 11. The date will be finalized later.

Adjournment

A motion was made and seconded to adjourn at 10:53 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

February 10, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 9:03 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2, #7, #8 and #9 of the

Adjournment

A motion was made and seconded to adjourn at 9:38 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

March 4, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 8:51 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

Sharon reported that Doug Cassidy filed for delay of civil case.

Move to Closed

A motion was made by James Reinhard and seconded by Archie Camden to move to close session pursuant to numbers 1, 2, 7, 8, and 9 of the attached motions to close.

Adjournment

A motion was made by James Reinhard and seconded by Archie Camden to adjourn the meeting. 10:15 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

March 18, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 9:06 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda

Executive Director Report

No report

Legal Counsel Report

Sharon reported that the court took default judgment on National Prearranged Services.

Move to Closed

A motion was made by James Reinhard and seconded by Archie Camden to move to closed session for numbers 1, 2, 7, 8, and 9 of the attached motions to close.

Adjournment

A motion made and seconded to adjourn at 9:49 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGE

3. GENERAL COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

4. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

5. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo, for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

6. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

7. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

8. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

9. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

10. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

March 26, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 1:00 p.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for numbers 1, 2, 7, 8, and 9 of the attached motions to close.

Adjournment

Adjourn at 1:50 p.m.

Approved by the board on: _____

Executive Director: _____

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

April 30, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 8:30 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the closed agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2, #7, #8, and #9 of the attached motions to close.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn 8:59 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

June 3, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 8:33 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2, #7, #8, and #9 of the attached motions to close.

Adjournment

A motion was made and seconded to adjourn at 9:22 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

July 15, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, Missouri 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 10:09 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2, #7, #8, and #9 of the attached motions.

Future Meeting

The committee discussed a future meeting date of July 22, 2014 at 10:00a.m.

Adjournment

A motion was made by James Reinhard and seconded by Archie Camden to adjourn at 10:45 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

July 22, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, via conference call at 10:05 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

No report

Move to Closed

A motion was made by Archie Camden and seconded by James Reinhard to move to closed session for #1, #2, #7, #8, and #9 of the attached motions to close.

Adjournment

A motion was made and seconded to adjourn at 11:00 a.m.

Approved by the board on: _____

Executive Director: _____

MOTIONS TO GO INTO CLOSED SESSION

1. DISCIPLINE

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline

2. LEGAL ACTIONS/LITIGATIONS/PRIVILEGED COMMUNICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney

3. PROMOTING/HIRING/DISCIPLINING/FIRING EMPLOYEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency

4. DIAGNOSIS/TREATMENT OF DISCIPLINED LICENSEES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (5) and Section 324.001.8 RSMo. for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees

5. EXAMINATION MATERIALS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (7) RSMo for reviewing testing and examination materials

6. EMPLOYEE PERFORMANCE RATINGS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (13) RSMo for making performance ratings pertaining to individual employees

7. APPLICATIONS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and Section 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure

8. CLOSED MINUTES

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021, Subsection (14) and 324.017 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings

9. COMPLAINTS/ INVESTIGATIVE REPORTS/AUDITS

I move that this meeting be closed and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from this closed meeting be closed under Section 610.021 subsection (14) and section 620.010.14 subsection (7) RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant

Revised 09-11

**State Board of Embalmers and Funeral Directors
Financial Examination Committee**

August 5, 2014

**State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO 65109**

OPEN MINUTES

The meeting of the State Board of Embalmers and Funeral Directors Financial Examination Committee was called to order by James Reinhard, committee chair, at 8:36 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Agenda

A motion was made by James Reinhard and seconded by Archie Camden to approve the open agenda.

Executive Director Report

No report

Legal Counsel Report

Sharon reported that the Special Deputy Receiver in the National Prearranged Services matter dismissed Doug Cassity from the civil suit.

Move to Closed A motion was made by Archie Camden and seconded by James Reinhard to move to closed session pursuant to numbers 1, 2, 7, 8, and 9 of the attached motions to close.

Adjournment

A motion was made by Archie Camden and seconded by James Reinhard to adjourn at 8:56 a.m.

Approved by the board on: _____

Executive Director: _____

**Title 20-DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND
PROFESSIONAL REGISTRATION**

Division 2120-State Board of Embalmers and Funeral Directors

Chapter 3 – Preneed

PROPOSED AMENDMENT

20 CSR 2120-3.525 [Independent Financial Advisor is Agent of Trustee] Authorized External Investment Advisor

PURPOSE: [This rule clarifies that an independent financial advisor is an agent of the trustee in a trust-funded preneed contract.] To set forth the qualifications and duties of an authorized external investment advisor for a preneed trust.

- (1) An [independent financial advisor] **authorized external investment advisor**, as provided in section 436.440.6 **and 436.445**, RSMo, is an agent, as provided in section 436.440, RSMo, of the trustee.
- (2) **A preneed trust may utilize the services of an authorized external investment advisor as provided in Sections 436.435, .440 and .445, RSMo.**
- (3) **Any authorized external investment advisor utilized by a preneed trustee must have a current and active federal or Missouri registration as an investment advisor at all times when he or she serves as an investment advisor for a preneed trust.**
- (4) **Any authorized external investment advisor shall exercise his or her duties in compliance with the provisions of applicable state and federal laws including compliance with his or her fiduciary duties including the duties of loyalty and of care.**
- (5) **Except as provided in Chapter 436, RSMo, only a preneed trustee may retain the services of an authorized external investment advisor to assist the preneed trustee with the investment of preneed trust assets.**
- (6) **If a preneed trustee utilizes the services of authorized external investment advisor, that relationship shall be memorialized in a written agreement that discloses the scope of duties and powers delegated, the compensation to be paid to the authorized external investment advisor, any relationship or contracts between the authorized external investment advisor and the seller, any relationship or contract between the authorized external investment and advisor and any provider of any preneed contract for which funds are held in the seller’s preneed trust, and any other provisions that the trustee deems necessary to meet its fiduciary duty to the preneed trust’s beneficiaries.**
- (7) **Any independent financial advisor, in place before August 28, 2009 in compliance with the provisions of Section 436.440.6, RSMo, must be either a federally registered or Missouri registered independent qualified investment advisor at all times when acting as an investment advisor for a preneed trust and must meet all requirements required of an authorized external investment advisor..**

*AUTHORITY: sections 333.340, 436.440.6, **436.445**, and 436.520, RSMo Supp. 2009.* Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.340, RSMo 2009; 436.440, RSMo 2009; and 436.520, RSMo 2009.*

**Title 20-DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND
PROFESSIONAL REGISTRATION
Division 2120-State Board of Embalmers and Funeral Directors
Chapter 3 – Preneed
PROPOSED RULE**

What Constitutes Adequate Records for a Seller

- 1. A seller shall maintain the basic financial and contract records with regard to all trust and joint accounts to include the standard books of account and the supporting records that are necessary to safeguard and account for the receipt and disbursement of preneed funds.**

- 2. Adequate records for a seller to maintain shall include, at a minimum:**
 - (1) receipt and disbursement journals containing a record of deposits to and withdrawals from both preneed trusts and preneed joint accounts, specifically identifying the date, source, and description of each item deposited as well as the date, payee, and purpose of each disbursement;**

 - (2) ledger records for all preneed trust and preneed joint accounts showing, for each separate preneed contract, the source of all funds deposited, the amount of such funds, the descriptions and amounts of withdrawals, and the names of all persons or entities to whom such funds were disbursed;**

 - (3) preneed contracts, trust agreements, trust administration agreements, provider agreements, preneed agent agreements, and all correspondence related to the preneed contract;**

 - (4) accountings showing the disbursement of funds;**

 - (5) records showing disbursements;**

 - (6) the physical or electronic equivalents of all checkbook registers, bank statements, records of deposit, pre-numbered canceled checks, and substitute checks provided by a financial institution;**

 - (7) records of all electronic transfers from preneed trust or preneed joint accounts, including the name of the person authorizing transfer, the date of transfer, the name of the recipient and confirmation from the financial institution of the trust account number from which money was withdrawn and the date and the time the transfer was completed;**

 - (8) reconciliations of the preneed accounts;**

 - (9) those portions of preneed files that are reasonably related to account transactions; and**

 - (10) records of credit card transactions related to any preneed transaction to the extent permitted by law and the payment card industry data security standard.**

- 3. No seller shall commingle personal funds or other funds in a preneed trust or a preneed joint account.**

Staff Proposed Scope of Financial Examination

December 8-9, 2015

(tabled from August 4-5, 2015 and September 9-10, 2015)

General

1. Is the seller licensed
2. Do the preneed contracts comply with the statutes as to form
3. Are the contracts properly signed
4. Does the seller properly deposit and track payments from consumers
5. Are the books and records sufficient to show compliance
6. Does the contract match the funding source and current location of funds
7. Does the seller have contracts with its providers and are those contracts in compliance with Ch. 436
8. Does the seller maintain its fulfilled files for 5 years
9. Does the seller records match the information provided on the seller annual report. (Review seller annual reports dating from last examination to current)
10. Review 100% of contracts sold since date of last examination materials
11. Were cancellations and/or transfers in compliance with statute
12. Mail consumer letters as needed to confirm data

Joint Accounts

13. Are the funds held jointly as required by statute
14. Are 100% of the funds paid by the consumer in the joint account
15. Are the seller's records adequate to verify compliance

Trust Accounts

16. Are deposits made timely
17. Have the right amounts of money been deposited
18. Does the trust agreement give control of assets to the trustee
19. Does the trust agreement comply with the statutes
20. Have all consumer payments been deposited – to include all contracts not yet fulfilled
21. Has the seller properly requested the 5% origination fee and 10% authorized distribution.
22. Has the trust made proper disbursements

Insurance Accounts

23. Do the policies comply with Ch. 436
24. Is the seller the beneficiary or assignee and not the owner
25. Does the seller properly handle consumer funds

Death Claims

26. Does the seller have proper procedures in place for death claims
27. Does the seller timely request payment from trustee and pay death claims
28. Does the seller pay the providers by the terms of the seller/provider contract
29. Complete a 5% or minimum of 20 contract review as sampling, increasing if concerns identified.

