

State Board of Embalmers and Funeral Directors

December 7, 2011
Hampton Inn & Suites
4600 Summit
Kansas City, MO 64112

AMENDED OPEN AGENDA

December 7, 2011 – 9:00 a.m.

1. Call to Order
2. Roll Call
3. Approval of Open Agenda
4. (Tab 1) Approval of Open Minutes
 - June 14-16, 2010 Board Meeting
 - May 18, 2011 Board Meeting
 - September 27-29, 2011 Board Meeting
 - October 3, 2011 Examination Committee
 - October 6, 2011 Mail Ballot
 - November 4, 2011 Conference Call
 - November 21, 2011 Examination Committee
5. (Tab 2) Executive Director Report
 - *Update on Preneed Renewals*
 - *Update on Funeral Establishment Renewals*
 - *New licensees issued*
 - *Ceased/closed licenses*
 - *Disciplinary actions*
6. Legal Counsel Report
7. Update on death certificates (John McCulloch)
8. Update on Web Casting
9. Discussion regarding Alkaline Hydrolysis – 10:00am-12:00p.m.
 - Presenter:
Dean Fisher, Director of the David Geffen School of Medicine at UCLA, Donated Body Program

LUNCH

10. (Tab 3) Review and discussion of draft rule – “What constitutes a preneed contract”

11. Discussion/Dialogue

CLOSED

12. Adjournment

State Board of Embalmers and Funeral Directors

**June 14-16, 2010
Division of Professional Registration
3605 Missouri Blvd
Jefferson City, Missouri**

OPEN MEETING MINUTES

Monday, June 14, 2010 6:00 p.m.

The meeting of the Missouri State Board of Embalmers and Funeral Directors was called to order by Gary Fraker, Chairman, at 6:00 p.m. on Monday June 14, 2010 at the Division of Professional Registration, 3605 Missouri Blvd, Jefferson City, Missouri.

Roll Call

Board Members Present

Gary Fraker, Chairman
D. Todd Mahn, Vice Chairman
John McCulloch, Secretary
James Reinhard, Member
Martin Vernon, Member
Joy Gerstein, Public Member

Staff Present

Becky Dunn, Executive Director

Approval of Agenda

Motion was made by D. Todd Mahn and seconded by John McCulloch to approve the open agenda. Motion carried with James Reinhard, Martin Vernon and Joy Gerstein voting in favor with no votes in opposition.

Closed Meeting

Motion was made by John McCulloch and seconded by Joy Gerstein to move into closed session and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from the closed meeting be closed pursuant to Section 610.021 Subsection (14) and 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure; Section 610.021 Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney; Section 610.021 Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline; Section 610.021 Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency; Section 610.021 Subsection (13) RSMo for making performance ratings pertaining to individual employees; Section 610.021 Subsection (7) RSMo for reviewing testing and examination materials; Section 610.021 Subsection (14) and Section 324.001.8 RSMo for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees; and Subsection (14) of 610.021 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings.

Motion carried with D. Todd Mahn, Martin Vernon, James Reinhard and Joy Gerstein voting in favor with no votes in opposition.

Tuesday June 15, 2010 – 9:30 a.m.

The meeting of the Missouri State Board of Embalmers and Funeral Directors was called to order by Gary Fraker, Chairman, at 9:45 a.m. on Tuesday, June 15, 2010 at the Division of Professional Registration, Jefferson City, MO.

Roll Call

Board Members Present

Gary Fraker, Chairman
D. Todd Mahn, Vice Chairman
John McCulloch, Secretary
James Reinhard, Member
Martin Vernon, Member
Joy Gerstein, Public Member

Staff Present

Becky Dunn, Executive Director
Lori Hayes, Inspector
Pamela Schnieders, Administrative Assistant
Earl Kraus, Senior Legal Counsel
Sharon Euler, Assistant Attorney General
Connie Clarkston, Director of Budget and Legislation

Public Present

Melissa Palmer, Department of Insurance, Financial Institutions and Professional Registration
Christie Kincannon, Division of Finance
Mark Monia, Liberty Pre-Need Services
Rebecca Blake, Homesteaders Life Insurance Company
Tracy Kelly, Homesteaders Life Insurance Company
Mark Warren, Homesteaders Life Insurance Company
Sherri Arcobasso, Criswell Casket Company

Approval of Agenda

A motion was made by Martin Vernon and seconded by John McCulloch to approve the open agenda. Motion carried with D. Todd Mahn, James Reinhard, and Joy Gerstein voting in favor with no votes in opposition.

Approval of Minutes

A motion was made by Martin Vernon and seconded by John McCulloch to approve the following Open Meeting Minutes as submitted:

March 30-April 1, 2009 Open Meeting Minutes

August 4-5, 2009 Open Meeting Minutes

August 11, 2009 Open Meeting Minutes

August 19, 2009 Open Meeting Minutes
August 25, 2009 Open Meeting Minutes
September 2-3, 2009 Open Meeting Minutes
October 20, 2009 Open Meeting Minutes
November 5, 2009 Open Meeting Minutes

Motion carried with D. Todd Mahn, James Reinhard, and Joy Gerstein voting in favor with no votes in opposition.

Financial Overview

Becky gave a Financial Overview.

Proposed Fee Projections

\$200 Seller License Fee

\$200 Seller Renewal Fee

\$0 Provider Renewal Fee

\$50 Agent Renewal Fee

\$100 Provider Renewal Delinquent Fee

\$200 Seller Renewal Delinquent Fee

\$50 Agent Renewal Delinquent Fee

If considered by the board, we need to submit an emergency rule. Set the fees as proposed and submit an emergency rule.

There is a finding of confident and substantial evidence to support the need for this rule.

Motion was made by Joy Gerstein and seconded by Martin Vernon to approve the proposed fees. Motion carried with John McCulloch voting in favor. D. Todd Mahn and James Reinhard voted in opposition.

Sandy Sebastian- met with the Board and discussed the new full time employee positions and the process of classification of positions and recruitment.

Closed Meeting

Motion was made by Martin Vernon and seconded by D. Todd Mahn to move into closed session and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from the closed meeting be closed pursuant to Section 610.021 Subsection (14) and 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure; Section 610.021 Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney; Section 610.021 Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline; Section 610.021 Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency; Section 610.021 Subsection (13) RSMo for making performance ratings pertaining to individual employees; Section 610.021 Subsection (7) RSMo for reviewing testing and examination materials; Section 610.021 Subsection (14) and Section 324.001.8 RSMo for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees; and Subsection (14) of 610.021 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings.

Motion carried with Gary Fraker, John McCulloch, James Reinhard and Joy Gerstein voting in favor with no votes in opposition.

The Board reconvened in Open Session at 1:40 p.m. on June 15, 2010.

Gary Fraker, Board Chairman announced Sandy Sebastian as the incoming Executive Director.

SB 1 Implementation Update

Connie Clarkston explained the enforcement authority of SB 1 regarding the additional 5 full time employee positions. They are as follows: 2 financial analysts, 1 licensing tech, 1 accounting specialist, 1 investigator.

Legislative Proposals

The board reviewed the current legislative proposals.

Christy Kincannon spoke on Joint Accounts stating that she does not think there is a conflict with the accounts, just practical application issues.

James Reinhard made a motion that for sellers who have 35 contracts and under be audited by verifying cd by inspectors. This motion was withdrawn prior to receiving a second or votes.

333.041- Proposal is attachment A

A motion was made by John McCulloch and seconded by Martin Vernon to accept the proposed legislation to remove the residency requirement language. Motion carried with D. Todd Mahn, James Reinhard, and Joy Gerstein voting in favor with no votes in opposition.

333.041- Proposal is attachment B

A motion was made by James Reinhard and seconded by John McCulloch to accept the proposed legislation to remove the oral examination requirement language. Motion carried with D. Todd Mahn, Martin Vernon and Joy Gerstein voting in favor with no votes in opposition.

333.042- Proposal is attachment C

A motion was made by Martin Vernon and seconded by D. Todd Mahn to accept the proposed legislation to add "consecutive" to twelve month apprenticeship requirement. Motion carried with James Reinhard and Joy Gerstein voting in favor. John McCulloch voted against the approval.

333.051- Proposal is attachment D

A motion was made by Joy Gerstein and seconded by John McCulloch to accept the proposed legislation to remove the residency language and add "embalming" to the legislation. Motion carried with D. Todd Mahn, James Reinhard and Martin Vernon voting in favor with no votes in opposition.

333.061.1- Proposal is attachment E

A motion was made by John McCulloch and seconded by Joy Gerstein to accept the proposed legislation to remove language requiring the register log to be in the preparation or embalming room. Motion carried with D. Todd Mahn, James Reinhard and Martin Vernon voting in favor with no votes in opposition.

333.091- Proposal is attachment F

A motion was made and passed to accept proposed language to eliminate the section. Motion carried with D. Todd Mahn, James Reinhard and Martin Vernon voting in favor with no votes in opposition.

333.151- Proposal is attachment G

A motion was made by James Reinhard and seconded by D. Todd Mahn to????

333.310- Proposal is attachment H

Sharon Euler advised against this proposal due to federal laws, she also reminded the board the order they are under by FTC.

333.315- Proposal is attachment I

A motion was made by Martin Vernon and seconded by Joy Gerstein to accept the proposed legislation requiring all new applicants and registrants to obtain fingerprints. Motion carried with D. Todd Mahn, John McCulloch and James Reinhard voting in favor with no votes in opposition.

333.325.1- Proposal is attachment J

A motion was made by John McCulloch and seconded by James Reinhard to accept the proposed legislation. Motion carried with D. Todd Mahn, Martin Vernon and Joy Gerstein voting in favor with no votes in opposition.

333.325- Proposal is attachment K

A motion was made by James Reinhard and seconded by D. Todd Mahn to accept the proposed legislation to add "possesses a high school diploma or equivalent thereof" for new applicants only. Motion carried with Martin Vernon and Joy Gerstein voting in favor. John McCulloch voted against the legislation.

436.405(3) - Proposal is attachment L

A motion was made by John McCulloch and seconded by Martin Vernon to take out single and leave annuity in legislation. Motion carried with D. Todd Mahn, James Reinhard and Joy Gerstein voting in favor with no votes in opposition.

436.405(4) - Proposal is attachment L

No vote was taken

436.405(2) - Proposal is attachment M

No vote was taken

436.410- Proposal is attachment N

A motion was made by James Reinhard and seconded by D. Todd Mahn to accept the proposed legislation to make it coincide with cemetery statute. Motion carried with John McCulloch, James Reinhard and Joy Gerstein voting in favor with no votes in opposition.

Closed Meeting -4:49 p.m. on June 15, 2010

Motion was made by James Reinhard and seconded by D. Todd Mahn to move into closed session and that all records and votes, to the extent permitted by law, pertaining to and/or resulting from the closed meeting be closed pursuant to Section 610.021 Subsection (14) and 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure; Section 610.021 Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney; Section 610.021 Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline; Section 610.021 Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency; Section 610.021 Subsection (13) RSMo for making performance ratings pertaining to individual employees; Section 610.021 Subsection (7) RSMo for reviewing testing and examination materials; Section 610.021 Subsection (14) and Section 324.001.8 RSMo for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees; and Subsection (14) of 610.021 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings. Motion carried with John McCulloch, Martin Vernon and Joy Gerstein voting in favor with no votes in opposition.

Wednesday June 16, 2010- 9:00 a.m.

The meeting of the Missouri State Board of Embalmers and Funeral Directors was called to order by Gary Fraker, Chairman, at 9:00 a.m. on Wednesday, June 16, 2010 at the Division of Professional Registration, Jefferson City, MO.

Roll Call

Board Members Present

Gary Fraker, Chairman
D. Todd Mahn, Vice-Chairman
James Reinhard, Member
Martin Vernon, Member
Joy Gerstein, Public Member

Board Members Absent

John McCulloch

Staff Present

Becky Dunn, Executive Director
Lori Hayes, Inspector
Pamela Schnieders, Administrative Assistant
Sharon Euler, Assistant Attorney General

Connie Clarkston, Director of Budget

Public Present

Chris Moody

Jim Moody

Jen Jernagan

Don Lakin

Brad Speaks

Jamille Fields, Intern with the Attorney General's Office

James Burgen, Intern with the Attorney General's Office

Kenny Shirley, Intern with the Department Legislation.

Sharon Euler introduced Jamille Fields and James Burgen, law students who are observing the process. They are both law students getting exposure to the practice of law and the different transcripts of proceedings.

Legislative Proposals- Continued from previous days meeting

The board reviewed further the proposed legislation.

436.412- Proposal is attachment O

A motion was made by James Reinhard and seconded by Martin Vernon to do nothing with the proposed legislation. Motion carried with Joy Gerstein voting in favor with no votes in opposition. D. Todd Mahn and John McCulloch were not present for the vote.

Renewal Forms/Voluntary Self Audit Forms

A motion was made by Martin Vernon and seconded by D. Todd Mahn to send out participating auditing and renewals together. To educate through email and website, renewals due back by 10-31 and auditing report up through August 31, 2010 and due back by January 31st. Motion carried with James Reinhard and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.415 - Proposal is attachment P

No vote was taken

436.415- Proposal is attachment Q

A motion was made by D. Todd Mahn and seconded by Martin Vernon to do nothing with the proposed legislation. Motion carried with Joy Gerstein voting in favor with no votes in opposition. John McCulloch and James Reinhard were absent from the vote.

436.420 - Proposal is attachment R

A motion was made by Martin Vernon and seconded by D. Todd Mahn to do nothing with the proposed legislation. Motion carried with Joy Gerstein voting in favor with no votes in opposition. John McCulloch and James Reinhard were absent from the vote.

436.425 - Proposal is attachment S

A motion was made by Martin Vernon and seconded by D. Todd Mahn to do nothing with the proposed legislation. Motion carried with Joy Gerstein voting in favor with no votes in opposition. John McCulloch and James Reinhard were absent from the vote.

436.425- Proposal is attachment T

A motion was made by Martin Vernon and seconded by D. Todd Mahn to accept the proposed legislation. Motion carried with Joy Gerstein voting in favor. James Reinhard voted against the proposed legislation. John McCulloch was absent from the vote.

436.430.2- Proposal is attachment U

Withdrawn by Bill Stalter

436.430.3 - Proposal is attachment U

A motion was made by D. Todd Mahn and seconded by James Reinhard to accept the proposed legislation where the seller is to deposit 100% of trust. Motion carried with Joy Gerstein voting in favor. Martin Vernon voted against the proposed legislation. John McCulloch was absent from the vote.

436.430.4 - Proposal is attachment U

A motion was made by D. Todd Mahn and seconded by Martin Vernon to do nothing with the proposed legislation. Motion carried with James Reinhard and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.430.5 - Proposal is attachment U

A motion was made by Martin Vernon and seconded by Joy Gerstein to do nothing with the proposed legislation. Motion carried with D. Todd Mahn and James Reinhard voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.430.10- Proposal is attachment U

A motion was made by James Reinhard and seconded by D. Todd Mahn to accept the proposed legislation. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.435 - Proposal is attachment V

A motion was made by Martin Vernon and seconded by D. Todd Mahn to accept the proposed legislation. Motion carried with James Reinhard and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.435.6 - Proposal is attachment W

A motion was made by D. Todd Mahn and seconded by James Reinhard to accept the proposed legislation. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.445 - Proposal is attachment X

A motion was made by D. Todd Mahn and seconded by James Reinhard to do nothing with the proposed legislation. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.450 - Proposal is attachment Y

A motion was made by Martin Vernon and seconded by D. Todd Mahn to do nothing with the proposed legislation. Motion carried with James Reinhard, and Martin Vernon voting in favor with no votes in opposition. John McCulloch and Joy Gerstein were absent from the vote.

436.450 - Proposal is attachment Z

A motion was made by D. Todd Mahn and seconded by James Reinhard to not accept the proposed legislation. Motion carried with Martin Vernon voting in favor with no votes in opposition. John McCulloch and Joy Gerstein were absent from the vote.

436.450 - Proposal is attachment AA

A motion was made by D. Todd Mahn and seconded by James Reinhard to recommend changes that are consistent with changes made prior to annuities. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.455 - Proposal is attachment BB

This has been withdrawn by Bill Stalter

436.455 - Proposal is attachment CC

A motion was made by D. Todd Mahn and seconded by James Reinhard to do nothing with the proposed legislation. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.455 - Proposal is attachment DD

A motion was made by Martin Vernon and seconded by D. Todd Mahn for purchaser to be removed and be consistent with other trust account laws with SB 1. Motion carried with James Reinhard and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

John McCulloch's suggestion in lieu of joint accounts- Attachment EE

No votes were taken

436.456 - Proposal is attachment FF

A motion was made by Martin Vernon and seconded by James Reinhard to accept the proposed legislation. Motion carried with D. Todd Mahn and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.456 - Proposal is attachment GG

A motion was made by Martin Vernon and seconded by Todd Mahn to accept changes per Sharon Euler's suggestions. Motion carried with James Reinhard, and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.457- Proposal is attachment HH

This was withdrawn by D. Todd Mahn

436.458- Proposal is attachment II

A motion was made by James Reinhard and seconded by Martin Vernon to do nothing with the proposed legislation. Motion carried with D. Todd Mahn, and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.458.4 - Proposal is attachment JJ

A motion was made by Martin Vernon and seconded by Joy Gerstein to do nothing with the proposed legislation. Motion carried with James Reinhard voting in favor with no votes in opposition. D. Todd Mahn and John McCulloch were absent from the vote.

436.458 - Proposal is attachment KK

A motion was made by Martin Vernon and seconded by James Reinhard to do nothing with the proposed legislation. Motion carried with D. Todd Mahn and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.460- Proposal is attachment LL

This was withdrawn by Mark Warren

436.460 - Proposal is attachment MM

A motion was made by James Reinhard and seconded by Martin Vernon to do nothing with the proposed legislation. Motion carried with D. Todd Mahn and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the vote.

436.485 - Proposal is attachment NN

A motion was made by Martin Vernon and seconded by D. Todd Mahn to accept the proposed legislation. Motion carried with Joy Gerstein voting in favor. James Reinhard voted in opposition. John McCulloch was absent from the vote.

Recess/Closed for lunch at 12:10 p.m. on June 16, 2010

Motion was made by D. Todd Mahn and seconded by James Reinhard to recess for lunch. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was absent from the meeting.

Regulations

The board reviewed regulation proposals, which are attached as OO. Motion was made by Martin Vernon and seconded by James Reinhard to approve the regulations as proposed. Motion carried with Gary Fraker voting in favor with no votes in opposition. D. Todd Mahn, John McCulloch and Joy Gerstein were absent from the vote.

Connie Clarkston gave an update on Rulemaking and drafting a legislative proposal for next year. The board will potentially ask for Legal Counsel and additional FTE.

Funeral Establishment/Preneed Seller/Provider/Funeral Director Agent/Agent-Licensure and Registration update (Lori Hayes)

Lori Hayes gave an update on the registration of funeral establishments, preneed seller/providers, funeral director agents and agent licensure. This was for informational purposes. No vote was taken.

Funeral Director/Embalmer Renewals (Tabatha Lenzini)

Tabatha Lenzini gave an update on renewals of Funeral Directors and Embalmers. There are 164 Funeral Directors and 80 Embalmers that have not renewed at this time. The percentage of renewals complete online was 51% with 48% completing paper renewals. This was for informational purposes. No vote was taken.

Preneed Seller Self Reporting Document

Marvin Vernon requests that every seller complete a Self Reporting Document, for all Active Preneed Contracts. A motion was made by James Reinhard and seconded by D. Todd Mahn to pursue the participating self examination reports. Motion carried with Martin Vernon and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was not present for the vote.

Preneed Provider and Preneed Seller Renewals & Annual Report for August 29, 2009 to August 30, 2010, due in the board office October 31.

Motion was made by Martin Vernon and seconded by James Reinhard to request that all Preneed Provider and Preneed Seller Renewals and Annual Report completed for August 29,2009 to August 30, 2010 be due in the board office no later than October 31, 2010. Motion carried with D. Todd Mahn and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was not present for the vote.

Participating Examination Reports-Complete thru August 31, due back January 31.

Motion was made by Martin Vernon and seconded by James Reinhard that the participating examination reports to be completed thru August 31, 2010 should be due back to the board office by January 31, 2011. Motion carried with D. Todd Mahn and Joy Gerstein voting in favor with no votes in opposition. John McCulloch was not present for the vote.

Preneed Seller/Provider Annual Reporting Renewal Document

The board reviewed the documents for the preneed seller/provider annual reporting renewal.

Closed Meeting

A motion was made by Martin Vernon and seconded by James Reinhard to move into closed session pursuant to Section 610.021 Subsection (14) and 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure; Section 610.021 Subsection (1) RSMo for discussing general legal actions, causes of action or

litigation and any confidential or privileged communications between this agency and its attorney; Section 610.021 Subsection (1) RSMo and 324.001.9 RSMo for deliberation on discipline; Section 610.021 Subsection (3) RSMo discussing hiring, firing, disciplining or promoting an employee of this agency; Section 610.021 Subsection (13) RSMo for making performance ratings pertaining to individual employees; Section 610.021 Subsection (7) RSMo for reviewing testing and examination materials; Section 610.021 Subsection (14) and Section 324.001.8 RSMo for proceedings required pursuant to a disciplinary order concerning medical, psychiatric, psychological, or alcoholism or drug dependency diagnosis or treatment of specific licensees; and Subsection (14) of 610.021 RSMo for the purpose of reviewing and approving the closed minutes of previous meetings. Motion carried with Joy Gerstein voting in favor with no votes in opposition. D. Todd Mahn and John McCulloch were absent for the entirety of the meeting.

Open Discussion – Dialogue with General Public Attending Open Session Continuation and/or Completion of any Unfinished Open Session Business

No discussion was held.

Other

No discussion was held.

Adjournment

A motion was made by Martin Vernon and seconded by Joy Gerstein to adjourn the meeting. Motion carried with Martin Vernon voting in favor with no votes in opposition. D. Todd Mahn and John McCulloch were absent for this portion of the meeting. The meeting adjourned at 2:41 p.m. on Wednesday, June 16, 2010.

Executive Director: _____

Approved by the Board on: _____

LANGUAGE PROPOSED BY BILL STALTER

Applicability of law.

333.310. The provisions of sections 333.310 to 333.340 shall not apply to a cemetery operator licensed pursuant to R.S.Mo. Section 214.275 who sells contracts or arrangements for funeral merchandise or services for which payments received by, or on behalf of, the purchaser are deposited either to an escrow account, or a preneed trust, governed by an agreement approved by the Office of Endowed Care Cemeteries [required to be placed in an endowed care fund or for which a deposit into a segregated account is required under chapter 214, RSMo;], provided that a cemetery operator shall comply with sections 333.310 to 333.340 if the contract or arrangement sold by the operator includes services that may only be provided by a licensed funeral director or embalmer. A cemetery operator claiming exemption pursuant to this section and R.S.Mo. Section 436.410 shall, concurrent with the application for renewal of licensure pursuant to R.S.Mo. Section 214.275 , file a statement of exemption with the State Board and the Office of Endowed Care Cemeteries. If requested by the State Board, the cemetery operator shall provide proof of licensure pursuant to R.S.Mo. 214.275.

Registration as a preneed agent required--application procedure--renewal of registration--expiration of registration.

333.325. 1. No person shall sell, negotiate, or solicit the sale of preneed contracts for, or on behalf of, a seller unless registered with the board as a preneed agent except for individuals who are licensed as funeral directors under this chapter. The board shall maintain a registry of all preneed agents registered with the board. The registry shall be deemed an open record and made available on the board's web site.

2. An applicant for a preneed agent registration shall be an individual who shall:

(1) File an application on a form established by the board and pay an application fee in an amount established by the board by rule which shall not exceed fifty percent of the application fee established by the board under this chapter for a funeral director license;

(2) Be eighteen years of age or older; **and possesses a high school diploma or equivalent thereof;**

(3) Be otherwise eligible for registration under section 333.330;

(4) Have successfully passed the Missouri law examination as designated by the board;

(5) Provide the name and address of each seller for whom the applicant is authorized to sell, negotiate, or solicit the sale of preneed contracts for, or on behalf of.

(6) Upon acceptance of the completed application and fees by the board, the applicant shall have twelve consecutive months to successfully complete the requirements for registration or the application for registration shall be canceled. Applicants shall serve an apprenticeship for at least twelve consecutive months under the supervision of a Missouri licensed preneed seller. Upon successful completion of the Missouri law examination as designated by the Board, the applicant will be eligible for registration and the apprenticeship may cease. Such applicant shall submit proof to the board, on forms provided by the board, that the applicant has served under the supervision of a Missouri licensed preneed seller.

3. Each preneed agent shall apply to renew his or her registration on or before October thirty-first of each year or a date established by the division of professional registration pursuant to section 324.001, RSMo. A registration which has not been renewed prior to the renewal date shall expire. Applicants for renewal shall:

(1) File an application for renewal on a form established by the board by rule;

(2) Pay a renewal fee in an amount established by the board by rule which shall not exceed fifty percent of the application fee established by the board under this chapter for a funeral director license renewal; and

(3) Provide the name and address of each seller for whom the preneed agent is authorized to sell, negotiate, or solicit the sale of preneed contracts for or on behalf of.

4. Any funeral director acting as a preneed agent shall be required to report the name and address of each preneed seller for whom the funeral director is authorized to sell, negotiate, or solicit the sale of

preneed contracts as part of their biennial renewal form. Each funeral director preneed agent shall be included on the board's registry.

5. Any registration which has not been renewed as provided by this section shall expire and the registrant shall be immediately removed from the preneed agent registry by the board. A registrant who fails to apply for renewal may apply for reinstatement within two years of the renewal date by satisfying the requirements of subsection 3 of this section and paying a delinquent fee as established by the board.

Trust-funded preneed contract requirements.

436.430. 1. A trust-funded guaranteed preneed contract shall comply with sections 436.400 to 436.520 and the specific requirements of this section.

2. A seller must deposit all payments received on a preneed contract into the designated preneed trust within sixty days of receipt of the funds by the seller, the preneed sales agent or designee. A seller may not require the consumer to pay any fees or other charges except as authorized by the provisions of chapter 333, RSMo, and this chapter or other state or federal law.

[3. A seller may request the trustee to distribute to the seller an amount up to the first five percent of the total amount of any preneed contract as an origination fee. The seller may make this request at any time after five percent of the total amount of the preneed contract has been deposited into the trust. The trustee shall make this distribution to the seller within fifteen days of the receipt of the request.]

[4. In addition to the origination fee, the trustee may distribute to the seller an amount up to ten percent of the face value of the contract on a preneed contract at any time after the consumer payment has been deposited into the trust. The seller may make written request for this distribution and the trustee shall make this distribution to the seller within fifteen days of the receipt of the request or as may be provided in any written agreement between the seller and the trustee.]

5. The trustee of a preneed trust shall be a state- or federally-chartered financial institution authorized to exercise trust powers in Missouri. The trustee shall accept all deposits made to it for a preneed contract and shall hold, administer, and distribute such deposits, in trust, as trust principal, under sections 436.400 to 436.520.

6. The financial institution referenced herein may neither control, be controlled by, nor be under common control with the seller or preneed agent. The terms "control", "controlled by" and "under common control with" means the direct or indirect possession of the power to direct or cause the direction of the management and policies of a person, whether through the ownership of voting securities, by contract other than a commercial contract for goods or nonmanagement services, or otherwise, unless the power is the result of an official position with or corporate office held by the person. Control shall be presumed to exist if any person, directly or indirectly, owns, controls, holds with the power to vote, or holds proxies representing ten percent or more of the voting securities. This presumption may be rebutted by a showing to the board that control does not in fact exist.

7. Payments regarding two or more preneed contracts may be deposited into and commingled in the same preneed trust, so long as the trustee maintains adequate records that individually and separately identify the payments, earnings, and distributions for each preneed contract.

8. Within a reasonable time after accepting a trusteeship or receiving trust assets, a trustee shall review the trust assets and make and implement decisions concerning the retention and disposition of assets in order to bring the trust portfolio into compliance with the purposes, terms, distribution requirements, other circumstances of the trust, and all other requirements of sections 436.400 to 436.520.

9. All expenses of establishing and administering a preneed trust, including trustee's fees, legal and accounting fees, investment expenses, and taxes may be paid from income generated from the

investment of the trust assets. Principal of the trust shall not be used to pay the costs of administration. If the income of the trust is insufficient to pay the costs of administration, those costs shall be paid as per the written agreements between the seller, provider and the trustee.

10. The seller and provider of a trust-funded guaranteed preneed contract shall be entitled to all income, including, but not limited to, interest, dividends, capital gains, and losses generated by the investment of preneed trust property regarding such contract as stipulated in the contract between the seller and provider **upon performance of the contract**. Income of the trust, excluding expenses allowed under this subsection, shall accrue through the life of the trust, except in instances when a contract is cancelled. The trustee of the trust may distribute market value of all income, net of losses, to the seller upon, but not before, the final disposition of the beneficiary and provision of the funeral and burial services and facilities, and merchandise to, or for, the benefit of the beneficiary. This subsection shall apply to trusts established on or after August 28, 2009.

11. Providers shall request payment by submitting a certificate of performance to the seller certifying that the provider has rendered services under the contract or as requested. The certificate shall be signed by both the provider and the person authorized to make arrangements on behalf of the beneficiary. If there is no written contract between the seller and provider, the provider shall be entitled to the market value of all trust* assets allocable to the preneed contract. Sellers shall remit payment to the provider within sixty days of receiving the certificate of performance.

12. If a seller fails to make timely payment of an amount due a provider under sections 436.400 to 436.520, the provider shall have the right, in addition to other rights and remedies against such seller, to make demand upon the trustee of the preneed trust for the contract to distribute to the provider from the trust all amounts to which the seller would be entitled to receive for the preneed contract.

13. The trustee of a preneed trust, including trusts established before August 28, 2009, shall maintain adequate books and records of all transactions administered over the life of the trust and pertaining to the trust generally. The trustee shall assist the seller who established the trust or its successor in interest in the preparation of the annual report described in section 436.460. The seller shall furnish to each contract purchaser, within thirty days after receipt of the purchaser's written request, a written statement of all deposits made to such trust regarding such purchaser's contract including the principal and interest paid to date.

14. A preneed trust, including trusts established before August 28, 2009, shall terminate when the trust principal no longer includes any payments made under any preneed contract, and upon such termination the trustee shall distribute all trust property, including principal and undistributed income, to the seller which established the trust.

Compliance of contracts entered into prior to effective date--investment of trust property and assets--loans against assets prohibited.

436.435. 1. To the extent that any provisions in this chapter which come into effect on August 28, 2009, apply to trusts governed under this chapter which are in existence on August 28, 2009, such trusts shall be in compliance with this chapter no later than July 1, 2010.

2. All property held in a preneed trust, including principal and undistributed income, shall be invested and reinvested by the trustee thereof and shall only be invested and reinvested in investments which have reasonable potential for growth or producing income. Funds in, or belonging to, a preneed trust shall not be invested in any term life insurance product.

3. A trustee shall invest and manage trust assets as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the trust. In satisfying this standard, the trustee shall exercise reasonable care, skill, and caution. A trustee who has special skills or expertise, or is named trustee in reliance upon the trustee's representation that the trustee has special skills or expertise, has a duty to use those special skills or expertise when investing and managing trust assets.

4. A trustee shall diversify the investments of the trust unless the trustee reasonably determines that, because of special circumstances, the purpose of the trust is better served without diversification. **The trustee shall set out such determination in writing, to be included in the books and records that are made available to the Board and its agents and designees.**

5. In investing and managing trust assets, a trustee shall consider the following as are relevant to the trust:

- (1) General economic conditions;
- (2) The possible effect of inflation or deflation;
- (3) The expected tax consequences of investment decisions or strategies;
- (4) The role that each investment or course of action plays within the overall trust portfolio;
- (5) The expected total return from income and the appreciation of capital;
- (6) Needs for liquidity, regularity of income, and preservation or appreciation of capital.

6. No seller, provider, or preneed agent shall procure or accept a loan against any investment or asset of or belonging to a preneed trust. As of ~~[August 29, 2009]~~ **August 28, 2009**, no preneed seller, provider, or agent shall use any existing preneed contract as collateral or security pledged for a loan or take preneed funds of any existing preneed contract as a loan or for any purpose other than as authorized by this chapter.

No funeral establishment to be operated by unlicensed person--license requirements, application procedure--license may be suspended or revoked or not renewed.

333.061. 1. No funeral establishment shall be operated in this state unless the owner or operator thereof has a license issued by the board.

2. A license for the operation of a funeral establishment shall be issued by the board, if the board finds:

(1) That the establishment is under the general management and the supervision of a duly licensed funeral director;

(2) That all embalming performed therein is performed by or under the direct supervision of a duly licensed embalmer;

(3) That any place in the funeral establishment where embalming is conducted contains a preparation room with a sanitary floor, walls and ceiling, and adequate sanitary drainage and disposal facilities including running water, and complies with the sanitary standard prescribed by the department of health and senior services for the prevention of the spread of contagious, infectious or communicable diseases;

(4) Each funeral establishment shall have available in the preparation or embalming room a register book or log which shall be available at all times in full view for the board's inspector and the name of each body embalmed, place, if other than at the establishment, the date and time that the embalming took place, the name and signature of the embalmer and the embalmer's license number shall be noted in the book; and

(5) The establishment complies with all applicable state, county or municipal zoning ordinances and regulations.

3. The board shall grant or deny each application for a license pursuant to this section within thirty days after it is filed. The applicant may request in writing up to two thirty-day extensions of the application, provided the request for an extension is received by the board prior to the expiration of the thirty-day application or extension period.

4. Licenses shall be issued pursuant to this section upon application and the payment of a funeral establishment fee and shall be renewed at the end of the licensing period on the establishment's renewal date.

5. The board may refuse to renew or may suspend or revoke any license issued pursuant to this section if it finds, after hearing, that the funeral establishment does not meet any of the requirements set forth in this section as conditions for the issuance of a license, or for the violation by the owner of the funeral establishment of any of the provisions of section [333.121] **333.330**. No new license shall be issued to the owner of a funeral establishment or to any corporation controlled by such owner for three years after the revocation of the license of the owner or of a corporation controlled by the owner. Before any action is taken pursuant to this subsection the procedure for notice and hearing as prescribed by section [333.121] **333.330** shall be followed.

Provider license required--application procedure--renewal of licensure--expiration of license.

333.315. 1. No person shall be designated as a provider or agree to perform the obligations of a provider under a preneed contract unless, at the time of such agreement or designation, such person is licensed as a preneed provider by the board. Nothing in this section shall exempt any person from meeting the licensure requirements for a funeral establishment as provided in this chapter.

2. An applicant for a preneed provider license shall:

(1) File an application on a form established by the board and pay an application fee in an amount established by the board by rule;

(2) Be authorized and registered with the Missouri secretary of state to conduct business in Missouri;

(3) Identify the name and address of a custodian of records responsible for maintaining the books and records of the provider relating to preneed contracts;

(4) Identify the name and address of each seller authorized by the provider to sell preneed contracts in which the provider is designated or obligated as the provider;

(5) File with the state board a written consent authorizing the state board to inspect or order an investigation, examination, or audit of the provider's books and records which contain information concerning preneed contracts sold for or on behalf of a seller or in which the applicant is named as a provider; and

(6) If the applicant is a corporation, each officer, director, manager, or controlling shareholder shall be eligible for licensure if they were applying for licensure as an individual.

3. Each preneed provider shall apply to renew his or her license on or before October thirty-first of each year or a date established by the division of professional registration pursuant to section 324.001. A license which has not been renewed prior to the renewal date shall expire. Applicants for renewal shall:

(1) File an application for renewal on a form established by the board [by rule];

(2) Pay a renewal fee in an amount established by the board by rule, however no renewal fee shall be required for any funeral establishment whose Missouri license is current and active;

(3) Be authorized and registered with the Missouri secretary of state to conduct business in Missouri;

(4) File an annual report with the state board which shall contain:

- (a) The name and address of a custodian of records responsible for maintaining the books and records of the provider relating to preneed contracts;
- (b) The business name or names used by the provider and all addresses from which it engages in the practice of its business;
- (c) The name and address of each seller with whom it has entered into a written agreement since last filing an annual report with the board authorizing the seller to designate or obligate the licensee as the provider in a preneed contract; and
- (d) Any information required by any other applicable statute or regulation enacted pursuant to state or federal law.

4. A license which has not been renewed as provided by this section shall expire. A licensee who fails to apply for renewal may apply for reinstatement within two years of the renewal date by satisfying the requirements of subsection 3 of this section and paying a delinquent fee as established by the board by rule.

Seller license required--application procedure--renewal of licensure--expiration of license.

333.320. 1. No person shall sell, perform, or agree to perform the seller's obligations under, or be designated as the seller of, any preneed contract unless, at the time of the sale, performance, agreement, or designation, such person is licensed by the board as a seller and authorized and registered with the Missouri secretary of state to conduct business in Missouri.

2. An applicant for a preneed seller license shall:

- (1) File an application on a form established by the board and pay an application fee in an amount established by the board by rule;
- (2) Be an individual resident of Missouri who is eighteen years of age or older, or a business entity registered with the Missouri secretary of state to transact business in Missouri;
- (3) If the applicant is a corporation, each officer, director, manager, or controlling shareholder, shall be eligible for licensure if they were applying for licensure as an individual;
- (4) Meet all requirements for licensure;
- (5) Identify the name and address of a custodian of records responsible for maintaining the books and records of the seller relating to preneed contracts;
- (6) Identify the name and address of each licensed provider that has authorized the seller to designate such person as a provider under a preneed contract;

(7) Have established, as grantor, a preneed trust or an agreement to utilize a preneed trust with terms consistent with sections 436.400 to 436.520. A trust shall not be required if the applicant certifies to the board that the seller will only sell insurance-funded or joint account-funded preneed contracts;

(8) Identify the name and address of a trustee or, if applicable, the financial institution where any preneed trust or joint accounts will be maintained; and

(9) File with the board a written consent authorizing the state board to inspect or order an investigation, examination, or audit of the seller's books and records which contain information concerning preneed contracts sold by or on behalf of the seller.

3. Each seller shall apply to renew his or her license on or before October thirty-first of each year or a date established by the division of professional registration pursuant to section 324.001. A license which has not been renewed prior to the renewal date shall expire. Applicants for renewal shall:

(1) File an application for renewal on a form established by the board [by rule];

(2) Pay a renewal fee in an amount established by the board by rule; and

(3) File annually with the board a signed and notarized annual report as required by section 436.460.

4. Any license which has not been renewed as provided by this section shall expire. A licensee who fails to apply for renewal [within two years of the renewal date] may apply for reinstatement **within two years of the renewal date** by satisfying the requirements of subsection 3 of this section and paying a delinquent fee as established by the board by rule.

Registration as a preneed agent required--application procedure--renewal of registration--expiration of registration.

333.325. 1. No person shall sell, negotiate, or solicit the sale of preneed contracts for, or on behalf of, a seller unless registered with the board as a preneed agent except for individuals who are licensed as funeral directors under this chapter. The board shall maintain a registry of all preneed agents registered with the board. The registry shall be deemed an open record and made available on the board's web site.

2. An applicant for a preneed agent registration shall be an individual who shall:

(1) File an application on a form established by the board and pay an application fee in an amount established by the board by rule which shall not exceed fifty percent of the application fee established by the board under this chapter for a funeral director license;

(2) Be eighteen years of age or older;

- (3) Be otherwise eligible for registration under section 333.330;
- (4) Have successfully passed the Missouri law examination as designated by the board;
- (5) Provide the name and address of each seller for whom the applicant is authorized to sell, negotiate, or solicit the sale of preneed contracts for, or on behalf of.

3. Each preneed agent shall apply to renew his or her registration on or before October thirty-first of each year or a date established by the division of professional registration pursuant to section 324.001. A registration which has not been renewed prior to the renewal date shall expire. Applicants for renewal shall:

- (1) File an application for renewal on a form established by the board [by rule];
- (2) Pay a renewal fee in an amount established by the board by rule which shall not exceed fifty percent of the application fee established by the board under this chapter for a funeral director license renewal; and
- (3) Provide the name and address of each seller for whom the preneed agent is authorized to sell, negotiate, or solicit the sale of preneed contracts for or on behalf of.

4. Any funeral director acting as a preneed agent shall be required to report the name and address of each preneed seller for whom the funeral director is authorized to sell, negotiate, or solicit the sale of preneed contracts as part of their [biennial] renewal form. Each funeral director preneed agent shall be included on the board's registry.

5. Any registration which has not been renewed as provided by this section shall expire and the registrant shall be immediately removed from the preneed agent registry by the board. A registrant who fails to apply for renewal may apply for reinstatement within two years of the renewal date by satisfying the requirements of subsection 3 of this section and paying a delinquent fee as established by the board.

ALSO

333.315, 333.320, 333.325.....remove the October 31st deadline for renewal - especially 333.325 to allow sellers to renew prior to agents to facilitate the relationship process in PROMO

State Board of Embalmers and Funeral Directors

May 18, 2011
Division of Professional Registration
3605 MO Blvd
Jefferson City, Missouri 65109

OPEN MEETING MINUTES

The meeting of the State Board of Embalmers and Funeral Directors was called to order by D. Todd Mahn, Chairman at 1:10 p.m. on May 18, 2011.

Roll Call

Board Members Present

D. Todd Mahn, Vice Chairman
John McCulloch, Secretary by Conference Call
Martin Vernon, Member
Archie Camden, Public Member
James Reinhard, Member

Staff Present

Sandy Sebastian, Executive Director
Lori Hayes, Inspector
Tabatha Lenzini, Administrative Assistant
Lisa Wildhaber, Examiner Supervisor
Randall Jennings, Examiner
Michelle Hankinson, Examiner
Sharon Euler, Division Legal Counsel

A motion was made by Gary Fraker and seconded by John McCulloch to move into closed session. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

The Board reconvened in Open Session at 1:40 p.m. on May 18, 2011.

A motion was made by Gary Fraker and seconded by Martin Vernon to approve the open agenda. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

SB 325

Discussion was held in regard to legislation proposed by the division which would notify an employer of changes in a licensee's status. This legislation has not yet been signed. Should it be signed it would be implemented in the fall.

Discussion Regarding Legislative Proposals for 2012

There was discussion held by the Board and the public in attendance regarding the following (Attachment A):

333.310
333.325
436.410
436.430
436.435

The Board has tabled these items to allow the public to get concerns in to the Board office in order to discuss further at the June, 2011 meeting.

Rule Review and Discussion

The Board reviewed and discussed the following proposed new rules, amendments and rescissions (Attachment B):

- 20 CSR 2120 1.010
- 20 CSR 2120 1.040
- 20 CSR 2120 2.020
- 20 CSR 2120 2.030
- 20 CSR 2120 2.040
- 20 CSR 2120 2.060
- 20 CSR 2120 2.070
- 20 CSR 2120 2.071
- 20 CSR 2120 2.080
- 20 CSR 2120 2.090
- 20 CSR 2120 2.160
- 20 CSR 2120 3.010
- 20 CSR 2120 3.020
- 20 CSR 2120 3.030
- 20 CSR 2120 3.205
- 20 CSR 2120 3.520

The Board has tabled these items to allow the public to get concerns in to the Board office in order to discuss further at the June, 2011 meeting.

Determination of Sub-Committees

John McCulloch and Martin Vernon were appointed by the chairman to a sub committee to look at establishments and providers.

James Reinhard and Archie Camden were appointed by the chairman to a sub committee to look into posting discipline on the website.

A motion was made by Gary Fraker and seconded by James Reinhard to move into closed session for legal advice. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

A motion was made by Gary Fraker and seconded by Archie Camden to adjourn the meeting at 5:15 p.m. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

Executive Director _____

Approved by the Board _____

State Board of Embalmers and Funeral Directors

September 27-29, 2011
Division of Professional Registration
3605 MO Blvd
Jefferson City Missouri 65109

Open Meeting Minutes

September 27, 2011 10:00 a.m.

The meeting of the State Board of Embalmers and Funeral Directors was called to order by D. Todd Mahn at 10: 28 p.m. on Tuesday, September 27, 2011.

Roll Call

Board Members Present

D. Todd Mahn, Chair
James Reinhard, Vice Chair
John McCulloch, Secretary
Gary Fraker, Member
Archie Camden, Member
Martin Vernon, Member- Via Conference Call- attended September 27, 2011 only

Staff Present

Sandy Sebastian, Executive Director
Lori Hayes, Inspector
Katie Ortals, Licensure Technician
Sharon Euler, Division Legal Counsel
Connie Clarkston, Director of Budget and Legislation

A motion was made by Gary Fraker and seconded by Archie Camden to approve the amended open agenda. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

The board reviewed the following proposed rules. Some of these rules were previously reviewed and approved in part by the board but had not been finalized in the rule making process. In light of the changes to Chapter 436, the board reviewed the previously approved language as well as any new suggestions/recommendations made by the staff.

Review and Discussion of Proposed Rules

20 CSR 2120-2.005 General Rule

- **All licenses and registrations issued to any practicum, apprentice, registrant and/or licensee shall be displayed, at all times, in a conspicuous location accessible to the public at each funeral establishment where the person is working.**
- **Each Missouri licensed apprentice, registrant and licensee shall comply with all applicable state, county or municipal zoning ordinances and regulations.**

- The licensee's failure to receive the renewal notice shall not relieve the licensee of the duty to pay the renewal fee and renew his/her license.
- The Missouri Law exam covers knowledge of Chapter 333, RSMo, and the rules governing the practice of embalming, funeral directing, and funeral home licensing, along with government benefits, statutes and rules governing the care, custody, shelter, disposition, and transportation of dead human bodies. The Missouri Law section also contains questions regarding Chapter 436, RSMo, relating to pre-need statutes and Chapters 193 and 194, RSMo, relating to the Missouri Department of Health and Senior Services statutes, as well as questions regarding Federal Trade Commission rules and regulations requirements as they apply to Missouri licensees.

A motion was made by Archie Camden and seconded by Gary Fraker to approve proposed regulation changes to 20 CSR 2120-2.005 regarding general rules. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-1.010 General Organization

PURPOSE: This rule describes the board's operation and the methods and procedures where the public may obtain information and make submissions or requests.

[(1) Whenever used in this division, the word board means the State Board of Embalmers and Funeral Directors.

(2)](1) The board is a unit of the Division of Professional Registration.

[(3)] (2) The board is authorized [by section 333.111.1, RSMo] to adopt rules necessary for the transaction of its business and for the standards of service and practice to be followed in the professions of embalming and funeral directing.

*[(4)] (3) The board has at least two (2) regularly scheduled business meetings each year and such other meetings as determined by the board. The time and location for all board meetings may be obtained by contacting the board office at PO Box 423, Jefferson City, MO 65102-0423[.] **or consult the boards website***

*[(5)] (4) The meetings of the board shall be conducted in accordance with *Robert's Rules of Order*, Newly Revised, 10th Edition, so far as it is compatible with the laws of Missouri governing this board or the board's own resolutions as to its conduct.*

[(6)] (5) All board meetings will be open to the public except as provided by law.

*[(7)] (6) [Members of the public may obtain information from the board, or make submissions to the board, by writing the board at] **The boards address is** PO Box 423, Jefferson City, MO 65102-0423 *[or consulting the board's website.]* *[or by visiting* <http://pr.mo.gov/embalmers.asp> *].**

[(8)] (7) Examinations. After verification and approval by the board, application, scheduling, administration and payment for any examination required for licensure from the board shall be

made to the board's testing service, [, currently the International Conference of Funeral Service Examining Boards, Inc]. [,or its designee]. The testing service shall approve applications upon the board's verification and approval.

(A) Notification of intent to take an examination shall be received by the board at least fifteen (15) working days prior to the date the candidate plans to sit for the examination, unless otherwise stated in a specific regulation. At its discretion, the board may waive such notice requirement for examination candidates for good cause, provided that no waiver can be provided by the board that may violate the rules of the testing service. If a reexamination is required or requested, there is a mandatory thirty (30)-day waiting period between each Missouri reexamination date.

(B) All Missouri examinations may be provided in a computer-based testing format, . [*except oral examination. Oral examinations will be held at the location designated by the board.*] [Other] E[e]xaminations shall be held at the locations designated by the testing service. A complete listing of the [*conference's*] **boards'** examination sites [*is at <http://www.cfseb.org> or*] is available at the board's [*office*] **website**.

[(9)] **(8)** The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

*AUTHORITY: sections 333.111 and 333.151.1, RSMo 2000, **436.400-436.520**, and 536.023.3, RSMo Supp. 2006.* This rule originally filed as 4 CSR 120-1.010. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed June 9, 1982, effective Sept. 12, 1982. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-1.010, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007.*

**Original authority: 333.111, RSMo 1965, amended 1981, 1993, 1995; 333.151, RSMo 1965, amended 1981, 1999; and 536.023, RSMo 1975, amended 1976, 1997, 2004.*

A motion was made by Archie Camden and seconded by Gary Fraker to approve proposed regulation changes to 20 CSR 2120-1.010 regarding general organization. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-1.020 Board Member Compensation

PURPOSE: This rule fixes the compensation for the members of the State Board of Embalmers and Funeral Directors in compliance with the mandates of section 333.221.1, RSMo.

(1) Each member of the State Board of Embalmers and Funeral Directors shall receive **up to** the sum of fifty dollars (\$50) as compensation for each day that member devotes to the affairs of the board.

(2) In addition to the compensation fixed in this rule, each member is entitled to reimbursement of his/her expenses necessarily incurred in the discharge of his/her official duties.

(3) No request for compensation provided in this rule shall be processed for payment unless sufficient funds are available for that purpose within the appropriations for this board.

(4) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.111 and 333.221, RSMo 2000. This rule originally filed as 4 CSR 120-1.020. Emergency rule filed Sept. 17, 1981, effective Sept. 28, 1981, expired Dec. 28, 1981. Original rule filed Sept. 17, 1981, effective Feb. 11, 1982. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-1.020, effective Aug. 28, 2006*

**Original authority: 333.111, RSMo 1965, amended 1981, 1993 and 333.221, RSMo 1965, amended 1980, 1981.*

A motion was made by James Reinhard and seconded by Gary Fraker to approve proposed regulation changes to 20 CSR 2120-1.020 regarding Board Member compensation. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-1.030 Election and Removal of Officers

PURPOSE: This rule sets out the term of office and outlines the duties of the officers of the board and establishes a procedure for removal of a board member from serving as an officer of the board.

(1) Prior to April 1 of each year at a regularly scheduled meeting, the board shall elect a chairman, vice-chairman and secretary to serve as its officers.

(2) Any board member duly nominated and receiving a majority vote of the members serving on the board shall be considered elected as an officer.

(3) The terms of the officers elected shall commence on April 1 and expire on March 31 of the succeeding year.

(4) The officers elected by the board shall have the following duties:

(A) Chairman—shall chair the board meetings; advise the board's staff on the handling of complaints; call special board meetings; appoint committees of the board; may order, as s/he deems necessary, investigation of any complaint; may act on matters requiring immediate and necessary attention; make board member assignments; and any other duty which from time-to-time may be delegated by consent of the board;

(B) Vice-chairman—shall serve as chairman in the absence of the chairman; review all licensees' compliance with the terms of any disciplinary order or agreement; and any other duty which may from time-to-time be delegated by consent of the board; and

(C) Secretary—shall perform any duties that may from time-to-time be delegated by consent of the board.

(5) Any officer may be removed from office at any time upon a vote of a majority of the members of the board.

(6) The board, in its discretion, may have a special election to fill any office which for any reason becomes vacant.

(7) Each board member, whether or not an officer of the board, may participate in any vote relating to the election or removal of officers.

AUTHORITY: sections 333.111.1, RSMo Supp. 1999 and 333.181, RSMo 1994. This rule originally filed as 4 CSR 120-1.030. Original rule filed Dec. 2, 1993, effective July 30, 1994. Amended: Filed March 10, 2000, effective Sept. 30, 2000. Moved to 20 CSR 2120-1.030, effective Aug. 28, 2006.*

**Original authority: 333.111.1., RSMo 1965, amended 1981, 1993, 1995 and 333.181, RSMo 1965.*

No Changes were made, this was informational purposes only.

20 CSR 2120-1.040 Definitions

PURPOSE: This rule defines terms used throughout the board's regulations consistent with the practice act.

(1) Apprentice embalmer—an individual who is being trained as an embalmer under the immediate direction and personal supervision of a Missouri licensed embalmer for the “practice of embalming,” the work of preserving, disinfecting, and preparing by arterial embalming, or otherwise, of dead human bodies or the holding of oneself out as being engaged in such work and has met the requirements for registration pursuant to sections 333.041 and 333.042, RSMo, and 20 CSR 2120-2.010.

(2) Apprentice funeral director—an individual who is being trained as a funeral director in a Missouri licensed funeral establishment under the supervision of a Missouri licensed funeral director in the “practice of funeral directing,” the business of preparing, otherwise than by embalming, for the burial, disposal, or transportation out of this state of, and the directing and supervising of the burial or disposal of, dead human bodies or engaging in the general control, supervision, or management of the operations of a Missouri licensed funeral establishment and has met the requirements for registration pursuant to **333.042** and 20 CSR 2120-2.060.

(3) Board—Missouri State Board of Embalmers and Funeral Directors created by the provisions of Chapter 333, RSMo.

(4) Conference- The International Conference of Funeral Service Examining Boards

~~[(4)]~~ **(5)** Corporation—a business entity incorporated under the laws of Missouri or any other state with authority to do business in the state of Missouri.

~~[(5)]~~ **(6)** Cremation log—a written record or log kept in the cremation area available at all times in full view for a board inspector[, which shall include the following:

(A) *The name of the deceased to be cremated;*

- (B) *The name of the Missouri licensed establishment where the body is cremated;*
- (C) *The date and time the body arrived at the crematory;*
- (D) *The date and time the cremation took place;*
- (E) *The name and signature of the Missouri licensed funeral director or Missouri licensed embalmer supervising the cremation;*
- (F) *The supervising Missouri licensed funeral director's license number or the supervising Missouri licensed embalmer's license number; and*
- (G) *The name of the Missouri licensed funeral establishment, or other that was in charge of making the arrangements if from a different location] .*

(7) Cremains- *[Cremated remains]*—**the bone fragments which remain after the cremation process is completed.**

(8)- Cremation—**the technical***[heating]* **process which reduces remains to bone fragments through heat** *[and]* **evaporation and/or chemical process including alkaline hydrolysis; a final disposition of dead human remains.**

(9)Cremation box—**a container into which** *[cremated remains]* **cremains are placed for transportation or short-term storage.**

(10)Cremation chamber—**the total functioning mechanical unit for the actual cremation process.**

(11) Cremation container—**the case in which the human remains are delivered to the crematory area for cremation.**

(12) Crematory area—**the building or portion of a building which houses the cremation chamber and the holding facility and includes the entire room where a cremation chamber is located and any rooms used for viewing or visitation of a dead human body awaiting cremation or that is being cremated or being removed from the cremation chamber.**

(13) Crematory Holding facility—**the area within the crematory area in which dead bodies are placed while awaiting cremation.**

(14) Cremation Urn—**the receptacle into which the** *[cremated remains]* **are placed for other than transportation or short-term storage.**

[(6)] **(15) Disinterment**—**removal of dead human remains from the ground, grave, or tomb mausoleum, niche, columbarium, or other place where dead human remains are interred.**

[(7)] **(16) Embalmer**—**an individual holding an embalmer's license issued by the** *[State]* **Board** *[of Embalmers and Funeral Directors].*

[(8)] **(17) Embalmer examination**—**an examination consisting of the following:**

(A) **National Board Funeral Service Arts Section developed and furnished by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board;**

(B) In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination;

(C) National Board Funeral Service Science Section developed and furnished by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board; and

(D) Missouri Law Section.

[(9)] **(18)** Executive director—executive secretary of the board.

(19) External Investment Advisor- any licensed, qualified investment advisor approved and authorized by the trustee of the preneed trust and who holds no personal interest in any assets of the preneed trust and has no relationship, business or personal, with any person or entity who has any relationship, business or personal, with the preneed seller.

[(10)] **(20)** Function—the purpose for which a *[physical location]* funeral establishment may be used as set forth in these rules.

[(11)] **(21)** Funeral ceremony—a religious service or other rite or memorial ceremony for a decedent.

[(12)] **(22)** Funeral director—an individual holding a funeral director license issued by the *[State]* Board *[of Embalmers and Funeral Directors]*.

[(13)] **(23)** Funeral director examination—an examination consisting of the following:

[(A) Missouri Law Examination; and

(B) Missouri Funeral Service Arts Examination developed and furnished by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board; or

(C) National Board Funeral Service Arts Examination developed and furnished by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board]

(A) National Board Funeral Service Arts Examination developed and furnished by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board.

(B) In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination; and

(C) Missouri Law Examination.

[(14)] **(24)** Funeral director-in-charge—an individual licensed as a funeral director by the State Board of Embalmers and Funeral Directors responsible for the general management and supervision of a Missouri licensed funeral establishment in the state of Missouri. Each Missouri licensed funeral establishment shall have a Missouri licensed funeral director designated as the funeral director-in-charge.

[(15)] **(25)** Funeral establishment—a building, place, or premises licensed by the Missouri State Board of Embalmers and Funeral Directors devoted to or used in the care and preparation for burial, cremation, or transportation of the human dead and includes every building, place, or premises maintained for that purpose or held out to the public by advertising or otherwise to be used for that purpose.

[(16)] **(26)** Funeral service—any service performed in connection with the care of a dead human body from the time of death until final disposition including, but not limited to:

- (A) Removal;
- (B) Entering into contractual agreements for the provision of funeral services;
- (C) Arranging, planning, conducting, and/or supervising visitations and funeral ceremonies;
- (D) Interment;
- (E) Cremation;
- (F) Disinterment;
- (G) Burial; and
- (H) Entombment.

[(17)] **(27)** Interment—burial **of dead human remains** in the ground *[or]*, entombment*[of dead human remains]*, **mausoleum, niche or other place where dead human remains are interred.**

[(18)] **(28)** Limited license—allows a person to work only in a funeral establishment which is licensed for only cremation including transportation of dead human bodies to and from the funeral establishment.

[(19)] **(29)** Preparation room—refers to the room in a Missouri licensed funeral establishment where dead human bodies are embalmed, bathed, and/or prepared for final disposition.

[(20)] **(30)** Reciprocity examination—shall consist of the Missouri Law Examination.

[(21)] **(31)** Register log—a written record or log *[must be]* **maintained** *[kept in the reparation/embalming room of]* **in all** Missouri licensed funeral establishment available at all times in full view for a board inspector*[, which shall include the following:*

- (A) *The name of the deceased;*
- (B) *The date and time the dead human body arrived at the funeral establishment;*
- (C) *The date and time the embalming took place, if applicable;*
- (D) *The name and signature of the Missouri licensed embalmer, if applicable;*
- (E) *The name and signature of the Missouri registered apprentice embalmer, if any;*
- (F) *The Missouri licensed embalmer's license number, if applicable;*
- (G) *The Missouri apprentice embalmer registration number, if any; and*
- (H) *The name of the licensed funeral establishment, or other that was in charge of making the arrangements if from a different location].*

(22) Temporary Funeral Director – license valid for six months, to the surviving spouse or next of kin or the personal representative of a licensed funeral director, or to the spouse, next of kin, employee or conservator of a licensed funeral director disabled because of sickness, mental incapacity or injury.

~~[(22)]~~ (23) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.011, SB 1, Ninety-fifth General Assembly 2009 and section 333.111, RSMo 2000. This rule originally filed as 4 CSR 120-1.040. Original rule filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-1.040, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007. Amended: Filed July 22, 2009, effective Jan. 30, 2010.*

**Original authority: 333.011, RSMo 1965, amended 2007, 2008, 2009 and 333.111, RSMo 1965, amended 1981, 1993, 1995.*

A motion was made by John McCulloch and seconded by Archie Camden to maintain language in (7) as is, leaving as “cremated remains”. Motion carried with Gary Fraker, John McCulloch, Archie Camden and Martin Vernon voting in favor. James Reinhard voted in opposition.

A motion was made by James Reinhard and seconded by Archie Camden to add mechanical process to (8). Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

A motion was made by Gary Fraker to approve the remaining definitions. This motion was withdrawn for further discussion.

A motion was made by James Reinhard and seconded by Gary Fraker to remove “Niche and columbarium” from [6] (15). Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

Chair Directive to further review (19) for revisions limiting the definition; have staff rework and bring back to Board.

A motion was made by Gary Fraker and seconded by James Reinhard to amend proposed rule to be consistent with 20 CSR 2120-1.040 [6] (15). Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

A motion was made by John McCulloch and seconded by Gary Fraker to add the definition of “person,” which is the proposed (29) in the rule. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

A Chair Directive was made to review this section again once corrections have been made.

20 CSR 2120-2.010 Embalmer’s Registration and Apprenticeship

PURPOSE: This rule establishes the procedures to be used to secure an embalmer’s license.

(1) Every person desiring to enter the profession of embalming dead human bodies within Missouri, and who is enrolled in an accredited institution of mortuary science, shall complete a practicum as required by the accredited institution of mortuary science education.

(2) For every person desiring to enter the profession of embalming dead human bodies within Missouri the board may conduct a criminal history background check through the Missouri State Highway Patrol. Applicants shall submit to the board the applicable fee for the criminal history background check as determined by the Missouri State Highway Patrol.

(3) After registration with the board as a practicum student in an accredited institution of mortuary science education, the student may assist in a Missouri licensed funeral establishment preparation room only under the direct supervision of a Missouri licensed embalmer and may assist in the direction of funerals only under the direct supervision of a Missouri licensed funeral director. Each person desiring to be a practicum student shall register with the board as a practicum student on the form provided by the board in accordance with the requirements of the accredited institution of mortuary science prior to beginning the practicum. Applications shall be accompanied by the applicable fee. . **If during the course of the practicum, the practicum student wishes to work at a Missouri licensed funeral establishment other than as designated on the certificate of registration, the practicum student shall notify the board in writing of the name, location, and Missouri licensed funeral establishment license number of the new Missouri licensed funeral establishment within [ten (10)] fifteen (15) days of the change.**

[(4) During the period of the practicum, the certificate of registration issued to the practicum student shall be displayed, at all times, in a conspicuous location accessible to the public at each funeral establishment where the practicum student is working.]

(5) The practicum student registration authorizes the registrant to engage in the practice of embalming only at the Missouri licensed funeral establishment(s) designated on the certificate of registration and only under the direct supervision of a Missouri licensed embalmer. The practicum student may assist in the practice of funeral directing only under the direct supervision of a Missouri licensed funeral director and only at the Missouri licensed funeral establishment(s) designated on the certificate of registration. If during the course of the practicum, the practicum student wishes to work at a Missouri licensed funeral establishment other than as designated on the certificate of registration, the practicum student shall notify the board in writing of the name, location, and Missouri licensed funeral establishment license number of the new Missouri licensed funeral establishment within ten (10) days of the change.]

[[6] (4) If the practicum student needs to extend the practicum a new application and fees will be applicable. Upon successful completion of the practicum, the practicum student registration shall become null and void. A practicum shall be deemed successfully completed when the practicum student has achieved a passing grade on the practicum from the institution of mortuary science at which the practicum student is enrolled.

[(7)] (5) After graduating from an accredited institution of mortuary science education, the applicant then shall file, with the board, an official transcript of his/her embalming school grades showing *[s/he]* **he/she** is a graduate of that school. *[In addition, the applicant shall ensure that his/her official copy of the national board examination results are provided to the board in writing by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board.]* **An applicant shall submit proof of having satisfied the requirements of the National Board Funeral Service Arts section and the National Board Funeral Service Science section of the examination by having his/her official copy of the scores from the International Conference of Funeral Service Examining Boards, Inc., or designee of the board transmitted to the board from the Conference. In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination.**

[(8)] (6) Effective July 30, 2004, the Missouri State Board embalmers' examination shall consist of the National Board Funeral Service Arts section, the National Board Funeral Service Science section, and Missouri Law section. Application, payment, scheduling, and administration for the *[n]***National** *[b]***Board** examinations will be made directly through the International Conference of Funeral Service Examining Boards, Inc., or other designee of the board. An applicant shall be exempt from the requirement of successful completion of the Missouri Law section if the applicant has successfully completed the Missouri Law section for another Missouri license within the jurisdiction of the board *[and]* if the **current** license *[is]* **remains** in active status **or the applicant was a registered embalmer apprentice or a registered funeral director apprentice at the time of the examination and such examination occurred within the last twenty-four (24) months.** In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination results will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination.

[(9)] 7) The embalm*[ing]***er** examination shall cover knowledge of the subjects of embalming, anatomy, pathology, bacteriology, mortuary administration, chemistry, restorative arts, together with statutes, rules, and regulations governing the care, custody, shelter, and disposition of dead human bodies and the transportation thereof.

[(10) An applicant shall submit proof of having satisfied the requirements of the National Board Funeral Service Arts section and the National Board Funeral Service Science section of the examination by having his/her official copy of the scores from the International Conference of Funeral Service Examining Boards, Inc., or designee of the board transmitted to the board from the Conference. In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination.

(11)] (8) Those applicants achieving seventy-five percent (75%) **or greater** on each of the *[three (3)]* sections of the embalm*[ing]er* examination will be deemed to have passed the board's embalming examination. Any applicant who scores less than seventy-five percent (75%) on any section of the embalming examination may retake the failed section, upon application and payment of the administration and reexamination fees. On any reexamination of a single failed section, the applicant shall score at least seventy-five percent (75%) to pass.

[(12)] (9) After the applicant has made a passing grade on the National Board Funeral Service Arts section and the National Board Funeral Service Science section of the *[ing]er* examination, *[s/he] he/she* then may apply for registration as an apprentice embalmer. *[In lieu of the National Board Funeral Service Arts examination, successful completion of the Missouri Funeral Service Arts examination will be accepted, or the board may accept successful completion of an examination administered by another state, territory, or province of the United States that is substantially equivalent or more stringent than the Missouri Funeral Service Arts examination. This application shall contain the name(s) of the Missouri licensed embalmer(s) under whom s/he will serve. Each supervisor must be licensed and registered with and approved by the board. Any change in supervisor shall also be registered and approved within ten (10) business days after the change has been made. Applications shall be submitted on the forms provided by the board and shall be accompanied by the applicable fee. Application forms are available from the board office or the board's website at <http://pr.mo.gov/embalmers.asp>].*

[(13)] (10) Each apprentice embalmer shall provide to the board, on the application provided by the board, **the name(s) of the Missouri licensed embalmer(s) under whom [s/he] he/she will serve**, the name(s), location(s), and license number(s) of the licensed funeral establishment(s) where *[s/he] he/she* is serving as an apprentice. **Each supervisor must be licensed and registered with and approved by the board.** If the apprentice embalmer begins work at any other licensed funeral establishment **or has a change in supervisors** during the period of apprenticeship, the apprentice embalmer shall notify the board, on the form provided by the board, within *[ten (10)] fifteen (15)* business days after the change has been made. **Applications shall be submitted on the forms provided by the board and shall be accompanied by the applicable fee. Application forms are available from the board office or the board's website.**

[(14)] (11) The period of apprenticeship under this rule shall be at least twelve (12) consecutive months. The apprentice embalmer shall devote at least thirty (30) hours per week to his/her duties as an apprentice embalmer **and embalm twenty-five (25) dead human bodies.** *[During the period of the apprenticeship, the certificate of registration issued to the apprentice shall be displayed, at all times, in a conspicuous location accessible to the public at each funeral establishment where the apprentice is working].*

[(15)] Prior to completion of the period of apprenticeship, the apprentice embalmer shall achieve a grade of seventy-five percent (75%) or greater on the Missouri Law exam. This exam may be taken any time after graduating from an accredited institution of mortuary science, but shall be successfully completed prior to appearing before the board for oral examination. The Missouri Law exam covers knowledge of Chapter 333, RSMo, and the rules governing the practice of embalming, funeral directing, and funeral home licensing, along with government benefits, statutes and rules governing the care, custody, shelter, disposition, and transportation of dead human bodies. The Missouri Law section also contains questions regarding Chapter 436, RSMo, relating to pre-need statutes and Chapters 193 and 194, RSMo, relating to the Missouri Department of Health and Senior Services statutes, as well as questions regarding Federal Trade Commission rules and regulations and Occupational Safety and Health Administration (OSHA) requirements as they apply to Missouri licensees. Notification of intent to take this section of the examination shall be received by the board at least fifteen (15) working days prior to the date the candidate plans to sit for the examination.]

[(16)] (12) An affidavit provided by the board, signed by both the apprentice and the supervisor(s) verifying that the applicant has successfully completed the embalming of twenty-five (25) dead human bodies, **devoted at least thirty (30) hours per week to his/her duties as an apprentice embalmer and has served at least twelve (12) consecutive months**, shall be submitted to the board at the time of completion of the apprenticeship period *.[and prior to the oral examination.]*

[(17)] (13) After successful completion of the embalmer's examination and the embalmer apprenticeship as provided in these rules, the embalmer applicant shall *[appear for the oral examination. To appear for the oral examination, the embalmer applicant shall]:*

[(A)] Submit an application **for licensure as an embalmer** on a form supplied by the board and pay the applicable fees to the board*]; and*

(B) Successfully pass the oral examination administered by the board for licensure.

(18) The oral examination shall be conducted by one (1) or more board members who hold a Missouri state embalmer license, or a member of the board staff that is a licensed embalmer, and shall be conducted in person at a place and time established by the board. The oral examination shall consist of no fewer than five (5) substantive questions related to the practice of embalming and/or the statutes, rules, and regulations governing embalming practice in the state of Missouri. Whether the applicant satisfactorily completes the oral examination shall be in the sole discretion of the board.]

[(19)] (14) After satisfactory completion of these requirements, an embalmer's license shall be issued to an *[apprentice]* embalmer **applicant** upon payment of the applicable fee and subject to the provisions of section 333. *.[121]330*, RSMo.

[(20)] (15) An applicant shall meet the requirements of the board for licensure within five (5) years of his/her graduation from an accredited institution of mortuary science. If the applicant fails to meet the requirements of the board within the required time, a new application and applicable fees shall be filed with the board *[and the applicant shall be required to appear for the oral examination]* within five (5) years of the new date of application. No previous practicum, apprenticeship *[,] or* application *[,or Missouri Law section]* will be considered for a new application. However, the successful examination results of the National **or Missouri [Board]**Funeral Service Arts section, *[and]* the National Board Funeral Service Science section, **and the Missouri Law Section (if applicable) developed and furnished by the International Conference of Funeral Service Examining Boards, Inc.,** or designee of the board will be accepted.

[(21)] **(16)** A Missouri licensed embalmer may engage in the practice of embalming *[in the state of Missouri]* only in a Missouri licensed funeral establishment[s]. Each embalmer shall inform the board in writing of each funeral establishment name(s), location(s), and license number(s) where the embalmer is performing embalming.

[(22)] **(17)** A Missouri licensed embalmer has the ongoing obligation to keep the board informed if the licensee has been finally adjudicated or found guilty, or entered a plea of guilty or *nolo contendere*, in a criminal prosecution under the laws of any state or of the United States, whether or not sentence was imposed. This information shall be provided to the board within thirty (30) days of being finally adjudicated or found guilty.

[(23)] **(18)** Any embalmer licensed by the board in the state of Missouri who wishes to become a licensed funeral director shall be required to comply with all requirements necessary for licensure as a funeral director, except, the Missouri licensed embalmer shall be exempt from the requirement of a funeral director apprenticeship.

[(24)] **(19)** Should an individual desire to obtain a Missouri embalmer's license after his/her license has become void under section 333.081.3, RSMo, the individual shall be required to make application, obtain a passing grade on the embalmer examination, **(if applicable)** , and shall be required to complete a six (6) consecutive month period of apprenticeship during which time *[s/he]* **he/she** shall be required to embalm at least twelve (12) dead human bodies under the supervision of a Missouri licensed embalmer. The applicant shall be required to pay the current applicable apprenticeship and application fees to obtain a new embalmer's license under this section. No previous apprenticeship, application[,], or examination**(if applicable)** will be considered for a new application under this section. However, the successful examination results of the National Board Funeral Service Arts section ,*[and]* the National Board Funeral Science section, **and the Missouri Law Section (if applicable) developed and furnished by the International Conference of Funeral Service Examining Boards, Inc.,** or designee of the board will be accepted.

[(25)] *After successful completion of the applicant shall appear for the oral examination at a location specified by the board. To arrange for the oral examination, the embalmer applicant shall submit an application of a form supplied by the board and pay the applicable fees to the board. Applicants shall successfully pass the oral examination administered by the board for licensure.*

(26) All certificates, registrations, and licenses, or duplicate copies thereof, issued by the State Board of Embalmers and Funeral Directors shall be displayed at all times in a conspicuous location accessible to the public in each office or place of business where they work, for inspection by any duly authorized agent of the board.

[(27)] **(20)** The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.041, 333.081, [and 333.121, RSMo Supp. 2008, section] 333.091, [SB 1, Ninety-fifth General Assembly 2009, and section 333.111,] and 43.543, RSMo[2000] Supp2009.. This rule originally filed as 4 CSR 120-2.010. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Refined March 26, 1976. Amended: Filed Aug. 16, 1976, effective Dec. 11, 1976. Amended: Filed April 6, 1978, effective July 13, 1978. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb.*

11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Rescinded and readopted: Filed Dec. 3, 1982, effective March 11, 1983. Amended: Filed July 17, 1989, effective Oct. 12, 1989. Amended: Filed March 26, 1991, effective Sept. 30, 1991. Amended: Filed Aug. 13, 1991, effective Jan. 13, 1992. Amended: Filed Oct. 16, 1991, effective Feb. 6, 1992. Amended: Filed Feb. 1, 1994, effective July 30, 1994. Amended: Filed Aug. 30, 1995, effective Feb. 25, 1996. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Amended: Filed March 24, 1999, effective Oct. 30, 1999. Amended: Filed March 10, 2000, effective Sept. 30, 2000. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Rescinded and readopted: Filed Dec. 31, 2003, effective July 30, 2004. *Moved to 20 CSR 2120-2.010, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007. Amended: Filed July 22, 2009, effective Jan. 30, 2010.*

**Original authority: 333.041, RSMo 1965, amended 1969, 1977, 1981, 1983, 1993, 1998, 2001; 333.081, RSMo 1965, amended 1981, 2001; 333.091, RSMo 1965, amended 1981, 2009; and 333.111, RSMo 1965, amended 1981, 1993, 1995; 333.121, RSMo 1965, amended 1981, 2007.*

A motion was made by James Reinhard and seconded by Gary Fraker to approve the proposed regulation changes to 20 CSR 2120-2.010 regarding Embalmer's registration and apprenticeship and for staff to ensure that military disclaimer is added or covered by Division Rule. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-2.020 Biennial License Renewal

PURPOSE: This rule outlines the requirements and procedures for the renewal of embalmer's, funeral director's and funeral establishment's licenses.

(1) Each Missouri licensed embalmer or Missouri licensed funeral director shall notify the board within **[thirty (30)] fifteen (15)** days of each address change of the Missouri licensed funeral establishment at which **[s/he] he/she** is practicing and shall notify the board within **[thirty (30)] fifteen (15)** days of any termination or creation of an employment relationship with a Missouri licensed funeral establishment. Each holder of a Missouri funeral establishment license shall notify the board at least sixty (60) days prior to any change of address of the Missouri licensed funeral establishment, sale of the Missouri licensed funeral establishment or termination of business of the Missouri licensed funeral establishment.

(2) A nonrenewable license, not valid for active practice in Missouri, will be issued at no charge to a currently licensed embalmer, funeral director, or both, upon presentation of a signed notarized statement from the licensee attesting to the fact that the licensee is disabled and is no longer active in the practice of embalming, funeral directing, or both. If the licensee desires at some future date to return to active practice in Missouri, the board shall issue a valid renewal license upon payment of the current renewal fee and completion of the applicable renewal application form.

(3) The holders of expired Missouri embalmer's and funeral director's licenses which are not renewed will be notified that their licenses have expired. The holder of an expired license shall be issued a new license by the board within two (2) years of the renewal date after the proper **[reactivation]** **delinquent** fees have been paid. Any Missouri embalmer's license and Missouri funeral director's license not renewed within two (2) years shall be void.

(4) The licensee's failure to receive the renewal notice shall not relieve the licensee of the duty to pay the renewal fee and renew his/her license.

(5) The biennial license renewal date for Missouri licensed embalmers and Missouri licensed funeral directors shall be designated as June 1. The biennial license renewal date for Missouri licensed funeral establishments shall be designated as January 1.

(6) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.081, RSMo Supp. [2003] 2009 and 333.111.1, RSMo 2000. This rule originally filed as 4 CSR 120-2.020. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Refiled March 24, 1976. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed June 9, 1982, effective Sept. 12, 1982. Amended: Filed Jan. 13, 1986, effective April 25, 1986. Amended: Filed April 2, 1992, effective Sept. 6, 1992. Amended: Filed March 24, 1999, effective Oct. 30, 1999. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.020, effective Aug. 28, 2006.*

**Original authority: 333.081, RSMo 1965, amended 1981, 2001 and 333.111, RSMo 1965, amended 1981, 1993, 1995.*

A motion was made by James Reinhard to accept. Motion withdrawn and further discussion was held. A motion was made by James Reinhard and seconded by Gary Fraker to approve the proposed regulation changes to 20 CSR 2120-2.020 regarding biennial license renewal. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-2.022 Retired License

PURPOSE: This rule clarifies the requirements to retire from the practice of funeral directing and/or embalming.

(1) Any person licensed to practice as a funeral director and/or embalmer in Missouri who is over sixty-five (65) years of age and who retires from such practice, shall file with the board an affidavit, on a form to be furnished by the board, which states the date on which s/he retired from such practice, that s/he will not practice such profession and such other facts as tend to verify the retirement as the board may deem necessary; but if s/he thereafter wishes to reengage in the practice, s/he shall renew his/her registration with the board as provided in section 333.081.1, RSMo.

(2) For purposes of this section, a retired Missouri licensed funeral director and/or Missouri licensed embalmer is one who is neither engaged in the active practice of funeral directing/embalming nor holds him/herself out as an actively practicing funeral director/embalmer and has executed and filed with the board a retirement affidavit. A retired Missouri licensed funeral director/embalmer may keep his/her wall-hanging certificate after execution of a retirement affidavit but shall surrender, upon retirement, all other indicia of licensure.

(3) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.081, RSMo Supp. 2003 and 333.111, RSMo 2000. This rule originally filed as 4 CSR 120-2.022. Original rule filed July 15, 1996, effective Jan. 30, 1997. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.022, effective Aug. 28, 2006.*

**Original authority: 333.081, RSMo 1965, amended 1981, 2001 and 333.111.1, RSMo 1965, amended 1981, 1993, 1995.*

No changes were made

20 CSR 2120-2.030 Registration of Licensees with Local Registrars of Vital Statistics

PURPOSE: This rule outlines the procedure for registering renewal licenses with local registrars.

[(1) Pursuant to section 333.091, RSMo every holder of a Missouri embalmer's or funeral director's license, upon receiving his/her initial or renewal license(s), shall register his/her signature, name, address and license number with the local registrar of vital statistics for the registration district in which the licensee practices.

(2) Whenever a licensee changes his/her place of employment from the jurisdiction of one (1) registration district to another registration district, within ten (10) days after that change, s/he shall register with the local registrar to whose jurisdiction s/he has moved.

(3) The boundaries of the registration district shall be determined as required by the rules promulgated by the Department of Health.

*(4) (1) Each licensed embalmer who embalms a dead human body shall state on the death certificate that [s/he] **he/she** embalmed the dead human body described on the death certificate. Each statement **shall be attested to its accuracy either by signature or an electronic process approved by the board.** [must be signed by the licensed embalmer.]. If the body was not embalmed, the fact that the body was not embalmed shall be stated on the death certificate prior to the filing of the death certificate by the licensed funeral director.*

*5) (2) Each **authentication [signature]** of a licensed embalmer on a death certificate must correspond with the [same] licensee's **authentication as approved and licensed by the board.** [signature as registered with the local registrar of vital statistics.]*

[(6) (3) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

*AUTHORITY: : sections 194.119, RSMo Supp.[2003] **2009**, [and] 333.091, **SB1, Ninety-fifth General Assemble 2009**, and 333.111, RSMo 2000.* This rule originally filed as 4 CSR 120-2.030. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Refiled March 24, 1976. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Amended: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed June 9, 1982, effective Sept. 12, 1982. Amended: Filed Jan. 13, 1986, effective April 24, 1986. Amended: Filed Aug. 4, 1986, effective Oct. 11, 1986. Amended: Filed Jan. 15, 1988, effective April*

11, 1988. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Amended: Filed Dec. 31, 2003, effective July 30, 2004. *Moved to 20 CSR 2120-2.030, effective Aug. 28, 2006.*

**Original authority: 194.119, RSMo 2003; 333.091, RSMo 1965, amended 1981; and 333.111, RSMo 1965, amended 1981, 1993, 1995.*

The Board reviewed proposed regulation regarding registration of licensees with local registrars of vital statistics. D. Todd Mahn, Chair, recommended that this item be tabled for further discussion with vital records.

20 CSR 2120-2.040 Licensure by Reciprocity

PURPOSE: This rule outlines procedures for obtaining an embalmer or funeral director license by reciprocity.

(1) Applications for a Missouri embalmer's or funeral director's license by reciprocity shall be made on the forms provided by the board and shall be accompanied by the applicable fee. Application forms are available from the board office or the board's website [*at <http://pr.mo.gov/embalmers.asp>*].

Licensure by reciprocity may be given only for like license(s). An embalmer licensed in another state may obtain an embalmer license by reciprocity, but not a funeral director license unless that person is licensed as a funeral director in another state. A funeral director licensed in another state may obtain a funeral director license by reciprocity, but not an embalmer license unless that person is licensed as an embalmer in another state.

(2) Any person holding a valid unrevoked and unexpired license to practice embalming or funeral directing in another state or territory is eligible to obtain licensure by reciprocity by meeting the following requirements of the board:

(A) Evidence satisfactory to the board that the reciprocity applicant holds a valid, unrevoked, and unexpired license as an embalmer or funeral director in another state having substantially similar requirements to the requirements for licensure as either an embalmer or funeral director in this state including a copy of his/her original license issued by the other state;

(B) Proof of his/her educational and professional qualifications, which shall be substantially equivalent to the requirements existing in Missouri at the time s/he was originally licensed;

(C) A certificate of state endorsement from the examining board of the state or territory in which the applicant holds his/her license showing the grade rating upon which his/her license was granted, a statement whether the reciprocity applicant has ever been subject to discipline or if there are any complaints pending against the reciprocity applicant and a recommendation for licensure in Missouri;

(D) Evidence sufficient to the board that the applicant has achieved a score of seventy-five percent (75%) or better on the National Board Funeral Service Arts Examination and the National Board Funeral Service Science Examination provided by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board, if applying for an embalmer license or an embalmer and funeral director license; or

(E) Evidence sufficient to the board that the applicant has achieved a score of seventy-five percent (75%) or better on the National Board Funeral Service Arts Examination provided by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board, if applying for only a funeral director license; and

(F) The reciprocity applicant will be required to successfully complete the *[reciprocity]* **Missouri Law Exam** examination with a score of seventy-five percent (75%) or better within twenty-four (24) months after the board's receipt of the reciprocity application. If an applicant by reciprocity has received either an embalmer or funeral director license from the board for which the reciprocity examination is required, that applicant will be exempt from taking the reciprocity examination for the second license if the original Missouri license remains in active status **or the applicant successfully completed the reciprocity examination within the last twenty-four (24) months;**

(G) A completed application for licensure for reciprocity provided by the board; and

(H) Payment of applicable fees including the fee charged by the Missouri State Highway Patrol for a criminal history background check, as required by the board.

(3) If the reciprocity applicant holds a license as an embalmer or funeral director in another state or territory with requirements less than those of this state, they may seek licensure in this state by meeting the following requirements of the board:

(A) An official certification from another state or territory which verifies that the licensee holds a valid, unrevoked, and unexpired funeral director or embalmer license in the other state or territory;

(B) A copy of his/her original funeral director or embalmer license from the other state or territory in which the applicant is licensed;

(C) Proof of his/her educational and professional qualifications;

(D) The reciprocity applicant will be required to successfully complete the reciprocity examination with a score of seventy-five percent (75%) or better within twenty-four (24) months after the board's receipt of the reciprocity application. If an applicant by reciprocity has received either an embalmer or funeral director license from the board for which the reciprocity examination is required, that applicant will be exempt from taking the reciprocity examination for the second license if the original Missouri license remains in active status **or the applicant successfully completed the reciprocity examination within the last twenty-four months;**

(E) A completed application for licensure for reciprocity provided by the board; and

(F) Payment of applicable fees including the fee charged by the Missouri State Highway Patrol for a criminal history background check, as required by the board.

[(4) Licensure by reciprocity may be given only for like license(s). An embalmer licensed in another state may obtain an embalmer license by reciprocity, but not a funeral director license unless that person is licensed as a funeral director in another state. A funeral director licensed in another state may obtain a funeral director license by reciprocity, but not an embalmer license unless that person is licensed as an embalmer in another state.]

[(5)] (4) Applications for reciprocity licensure shall be completed and received by the board at least *[thirty (30)] fifteen 15* days prior to the date the candidate plans to sit for the examination and shall be accompanied by the applicable fee. Applications are deemed complete upon subcommission of any and all requisite forms required by the board, payment of requisite fees, and submission of all materials required by this rule or supplemental materials requested by the board. Application forms can be obtained from the board office or the board's website *[at <http://pr.mo.gov/embalmers.asp>].*

[(6)](5) The board shall determine the sufficiency of the materials provided in the application for reciprocity and shall have the authority to make the final determination as to the standards and qualifications of the various states from which the applicants may be accepted by reciprocity and may reject any applicant on any lawfully permitted grounds.

[(7) All certificates, registrations, and licenses, or duplicate copies thereof, issued by the State Board of Embalmers and Funeral Directors shall be displayed at all times in a conspicuous location accessible to the public in the office(s) or place(s) of business, for inspection by any duly authorized agent of the board.

(8) (6) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.051 and 333.111, RSMo 2000 and sections 333.091, 091 and **43.543**, [SB 1, Ninety-fifth General Assembly] **RSMo Supp** 2009.* *This rule originally filed as 4 CSR 120-2.040. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Amended: Filed Aug. 16, 1976, effective Dec. 11, 1976. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Sept. 5, 1990, effective March 14, 1991. Amended: Filed March 4, 1991, effective July 8, 1991. Amended: Filed Feb. 1, 1994, effective July 30, 1994. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Rescinded and readopted: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.040, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007. Amended: Filed July 22, 2009, effective Jan. 30, 2010.*

**Original authority: 333.051, RSMo 1965, amended 1981, 1998; 333.091, RSMo 1965, amended 1981, 2009; and 333.111, RSMo 1965, amended 1981, 1993, 1995.*

A motion was made by John McCulloch and seconded by Gary Fraker to replace “reciprocity” with “Missouri Law” in proposed regulation in 20 CSR 2120-2.040 and approve other changes as proposed. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-2.050 Miscellaneous Rules

PURPOSE: This rule incorporates miscellaneous rules pertaining to embalmer’s and funeral director’s licenses.

(1) All licensees may be represented before the board by an attorney. If the licensee desires to be represented by an attorney, the attorney shall be licensed to practice law in Missouri or meet the requirements of the Supreme Court with respect to nonresident attorneys.

(2) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

(3) All documents filed with the board shall become a part of its permanent files.

AUTHORITY: section 333.111, RSMo 2000.* *This rule originally filed as 4 CSR 120-2.050. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Amended: Filed Aug. 16, 1976, effective Dec. 11, 1976. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.050, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007.*

*Original authority: 333.111, RSMo 1965, amended 1981, 1993, 1995.

No changes were made

20 CSR 2120-2.060 Funeral Directing

PURPOSE: This rule outlines the provisions for the practice of funeral directing.

(1) Every person who desires to enter the profession of funeral directing in Missouri and who is not entitled to a license under section 333.051, RSMo shall provide the following to the board:

- (A) Proof of being at least eighteen (18) years of age;
- (B) Proof of possession of a high school diploma or equivalent;
- (C) Evidence of being a person of good moral character;
- (D) Proof of satisfactory completion of each section of the funeral director's examination;
- (E) Affidavit of completion of a twelve (12) consecutive month apprenticeship; or official transcript and documentation indicating he/she is a graduate of an institute of mortuary science accredited by the American Board of Funeral Service Education or any successor organization recognized by the United States Department for Funeral Service Education; or has successfully completed a course in funeral directing offered by a college accredited by a recognized national, regional, or state accrediting body and approved by the State Board of Embalmers and Funeral Directors; or proof of being a Missouri licensed embalmer;
- (F) Completed application form provided by the board;
- (G) Proof of successful completion of the National Board Funeral Service Arts examination or the Missouri Funeral Service Arts examination, if applicable;
- (H) Payment of all applicable fees;
- (I) Satisfactory criminal history background check as provided to the board by the Missouri State Highway Patrol. Applicants shall submit to the board the applicable fee for the criminal history background check as determined by the Missouri State Highway Patrol; and
- (J) Any other information the board may require.

(2) Every person who desires to enter the profession of funeral directing in Missouri and who is not entitled to a license under section 333.051, RSMo, shall make application with the board for a Missouri funeral director license on the forms provided by the board and shall pay the funeral director application fee directly to the board. If the applicant has successfully completed the National Board Funeral Service Arts examination, no Missouri Funeral Service Arts examination is required. Application forms can be obtained from the board office or the board's website [\[at http://pr.mo.gov/embalmers.asp\]](http://pr.mo.gov/embalmers.asp).

(3) Effective July 30, 2004, the funeral director examination developed by the International Conference of Funeral Service Examining Boards, Inc., or designee of the board, shall consist of the Missouri Law section and the Missouri Funeral Service Arts section. In lieu of the Missouri Funeral Service Arts examination, successful completion of the National Board Funeral Service Arts examination results will be accepted.

(4) To serve as an apprentice funeral director, the applicant shall file with the board a completed funeral director application on the form prescribed by the board **and pay applicable fees [and also shall complete an application to be registered as an apprentice funeral director on the form prescribed by the board and pay all applicable fees.]** Application forms and a list of fees can be obtained from the board office or on the board's website [\[at http://pr.mo.gov/embalmers.asp\]](http://pr.mo.gov/embalmers.asp).

(5) The funeral director apprenticeship is not intended as a long-term method of practicing as a funeral director in the absence of progress toward licensure. *[Accordingly, e]* Effective February 28, 2010, an apprentice shall not be allowed to register with the board for more than two (2) apprenticeship periods that begin on or after February 28, 2010, unless otherwise approved by the board for good cause. **If the applicant fails to complete the apprenticeship; the applicant is not eligible to re-apply as a funeral director apprentice for two (2) years from the end date of the second apprenticeship.**

(6) **Each registered funeral director apprentice shall provide to the board, on the application prescribed by the board, the name(s), location(s), and license number(s) of each funeral establishment(s) where ~~they are~~ s/he he/she is serving as an apprentice.** Upon **completed application, registration** *[Upon registration]* and payment in full of all applicable fees, the board shall issue the apprentice funeral director applicant a funeral director apprentice registration. This registration authorizes the apprentice registrant to engage in the practice of funeral directing under the supervision of a Missouri licensed funeral director. *[The funeral director apprentice registration, or a copy thereof, shall be displayed, at all times, in a conspicuous location accessible to the public at each establishment where the apprentice is working.]*

(7) The funeral director apprentice registration authorizes the registrant to engage in the practice of funeral directing only during the period of apprenticeship. Once the apprenticeship is successfully completed as defined in this rule, the funeral director apprentice registration shall become null and void. Any Missouri licensed funeral director who allows a former apprentice who has completed his/her apprenticeship to engage in the practice of funeral directing before that apprentice is fully licensed shall be subject to discipline for misconduct under section 333.121.2, RSMo.

(8) *[Each registered funeral director apprentice shall provide to the board, on the application prescribed by the board, the name(s), location(s), and license number(s) of each funeral establishment(s) where they are serving as an apprentice.]* The funeral director apprenticeship may be served at a funeral establishment licensed by a state, other than Missouri, upon submission of proof to the board that the out-of-state funeral home is licensed for the care and preparation for burial and transportation of human dead in this state or another state which has established standards for admission to practice funeral directing equal to, or more stringent than, the requirement for admission to practice funeral directing in this state. The funeral director apprenticeship shall be served under the supervision of a Missouri licensed funeral director. If the funeral director apprentice changes funeral establishments during the course of the apprenticeship, the apprentice shall notify the board, on the form prescribed by the board, of the name(s), location(s), and funeral establishment(s) license number of the new apprenticeship location within ten (10) business days after the change has been made.

(9) Successful completion of a funeral director apprenticeship shall consist of the following:

- (A) Completed service as an apprentice funeral director for a period consisting of at least twelve (12) consecutive months in a Function C funeral establishment; and
- (B) Filing with the board a notarized affidavit(s) signed by the apprentice and his/her supervisor(s) that he/she has arranged for and conducted a minimum of ten (10) funeral ceremonies under the supervision of a Missouri licensed funeral director.
- (C) Devoted at least a minimum of 15 hours per week to his or her duties as an apprentice under the supervision of a Missouri licensed funeral director.**

(10) An apprentice will be eligible to take the funeral director examination after completion of the twelve (12) consecutive month period of apprenticeship.

(11) An applicant will be deemed to have successfully completed the funeral director examination when a score of seventy-five percent (75%) or better is achieved on each section. If the applicant fails a section of the examination, the applicant shall be permitted to retake that section of the examination. **A mandatory thirty (30) day waiting period is required between all examinations.**

(12) All notifications for the funeral director's examination shall be in writing and received by the board at least ~~forty-five (45)]~~ **fifteen (15)** days prior to the date the candidate plans to sit for the examination.

(13) A college accredited by a recognized national, state, or regional accrediting body may seek the approval of the State Board of Embalmers and Funeral Directors for a course of study in funeral directing by submitting a description of the program, the college catalog listing the course of study, and evidence that the program has been approved to be offered in that institution by the administration of the college and the Missouri Coordinating Board for Higher Education.

(14) An applicant shall be exempt from the requirement of successful completion of the Missouri Law examination if the applicant has successfully completed the Missouri Law examination for another Missouri license within the jurisdiction of the board if the current license remains in active status **or the applicant was a registered funeral director apprentice or an embalmer apprentice at the time of the examination and such examination occurred within the last twenty-four (24) months.**

(15) Any funeral director **or funeral establishment** that allows an unlicensed person to make at-need arrangements for the transportation or removal of a dead human body for or on behalf of the funeral director shall supervise the unlicensed person and shall be responsible for the conduct of the unlicensed person. This section shall not be construed to allow any unlicensed person to perform any other act for which a license is required by Chapter 333, RSMo.

(16) A Missouri licensed funeral director shall be present and personally shall supervise or conduct each funeral ceremony conducted by or from a Missouri licensed funeral establishment. A violation of this section will be considered misconduct in the practice of funeral directing.

(17) A Missouri licensed funeral director shall be present and personally shall supervise any disinterment, interment, entombment, or cremation as defined in 20 CSR 2120-1.040 conducted by a Missouri licensed funeral establishment. However, nothing in this rule shall be interpreted as requiring the presence of a Missouri licensed funeral director if the person(s) having the right to control the incidents of burial request otherwise. If the disinterment does not require legal notification to the county coroner or medical examiner, a funeral director's presence may not be required. A violation of this section shall be deemed misconduct in the practice of funeral directing.

(A) Once the body has been delivered to a cemetery for the purpose of interment or to a crematory for the purpose of cremation and after any funeral ceremonies have been complete, the Missouri licensed funeral director is not required to stay with the body.

(B) Nothing in this rule shall be interpreted as requiring the Missouri licensed funeral director to leave the cemetery before disposition is complete. Furthermore, nothing in this rule shall be interpreted as relieving the Missouri licensed funeral director of any responsibilities he/she has under his/her contract with the person(s) having the right to control the incidents of burial.

(18) Any licensed funeral establishment or funeral director that makes arrangements for an unlicensed person to transport dead human bodies within the state of Missouri, or out of this state, is responsible for the conduct of the unlicensed person.

(19) A funeral director or funeral establishment licensed in another state that enters the state of Missouri solely for the purpose of transporting a dead human body through Missouri to another state, country, or territory shall not be deemed to be in the practice of funeral directing or required to obtain a license from the board. This regulation does not exempt any person or entity from complying with any applicable statutes or regulations governing the transportation of dead human bodies, including, but not limited to, Chapters 193 and 194, RSMo.

(20) A Missouri licensed funeral establishment or funeral director shall not allow an unlicensed person to make the following at-need arrangements with the person having the right to control the incidents of disposition:

(A) Arrangements for final disposition, supervision of visitation and memorial ceremony, grave attendance, cremation, entering into a contractual relationship for performance of any other funeral services;

(B) Embalming, cremation, care, or preparation; and

(C) Nothing in this subsection shall be construed to apply to persons exempt from Chapter 333, RSMo.

(21) The taking of preliminary information by an unlicensed person will not be construed as the making of at-need funeral arrangements under this rule.

(22) No temporary Missouri funeral director license authorized under section 333.041.7, RSMo, will be issued until the board has been advised as to the location of the Missouri licensed funeral establishment at which the temporary funeral director's license will be used. The holder of the temporary license shall be authorized to only work at the Missouri licensed funeral establishment(s) where the deceased and/or disabled Missouri licensed funeral director was authorized to work. Violation of this rule will be deemed unauthorized practice of funeral directing.

(23) The business and practice of funeral directing may be conducted only from a fixed place or establishment which has been licensed by the board.

(24) Limited License.

(A) A person holding a limited license shall only be allowed to work in a funeral establishment that is licensed as a Function B establishment (cremation only). A limited *[funeral director]* licensee shall only engage in the activities of funeral directing authorized for a Function B funeral establishment.

(B) Every person desiring a limited license shall provide the following to the board:

1. Proof of being at least eighteen (18) years of age;
2. Proof of possession of a high school diploma or its equivalent;
3. Evidence of being a person of good moral character;
4. Proof of successful completion by achieving a score of seventy-five percent (75%) or better on the Missouri Law examination;
5. Completed application form as provided by the board;
6. Payment of applicable fees;
7. Payment of any fee charged by the Missouri Highway Patrol for a criminal history background check; and
8. Any other information the board may require.

(C) Every limited licensee shall provide the board with the name, location, and license number of each Function B funeral establishment where he/she is employed.

(D) A limited licensee shall be obligated to comply with all Missouri laws governing funeral directors subject to the limitations imposed by this rule and section 333.042.2, RSMo.

(E) If a limited licensee desires to obtain a full funeral director's license, the licensee shall be required to complete an apprenticeship consisting of at least twelve (12) consecutive months as required by section 333.042.2, RSMo, and accompanying regulations OR fulfill the education requirements set forth in section 333.042.3, RSMo. The limited licensee shall also provide to the board proof of successful completion of the remaining sections of the funeral director examination as required by these regulations. The applicant shall be exempt from the requirement of successful completion of the Missouri Law *[section within twelve (12) months of the date that the board receives the new application]* **examination for another Missouri license within the jurisdiction of the board if the examination for another Missouri license within the jurisdiction of the board if the current license remains in active status or the applicant was a registered funeral director apprentice or an embalmer apprentice at the time of the examination and such examination occurred within the last twenty-four (24) months.**

[(25) All certificates, registrations, and licenses, or duplicate copies thereof, issued by the State Board of Embalmers and Funeral Directors shall be displayed at all times in a conspicuous location accessible to the public in each office(s) or place(s) of business where they work, for inspection by any duly authorized agent of the board.]

[(26) (25) Should an individual desire to obtain a Missouri funeral director's license after his/her license has become void under section 333.081.3, RSMo, the individual shall be required to make new application and pay all applicable fees to the board. No previous apprentice[,] application, [, or examination] will be considered for the new application. However, the board shall accept the successful completion of the National Board Funeral Service Arts or the Missouri Funeral Service Arts examination for new application.

[(27) (26) A Missouri licensed funeral director may engage in the practice of funeral directing in the state of Missouri only in Missouri licensed funeral establishments. Each Missouri licensed funeral director shall inform the board in writing, in a timely manner, of each Missouri licensed funeral establishment name(s), location(s), and license number(s) where the Missouri licensed funeral director is engaged in funeral directing.

[(28) (27) A Missouri licensed funeral director has the ongoing obligation to keep the board informed if the licensee has been finally adjudicated or found guilty, or entered a plea of guilty or *nolo contendere*, in a criminal prosecution under the laws of any state or of the United States, whether or not sentence was imposed. This information shall be provided to the board within thirty (30) days of being finally adjudicated or found guilty.

[(29) (28) Person Deemed to be Engaged in the Practice of Funeral Directing.

(A) No person shall be deemed by the board to be engaged in the practice of funeral directing or to be operating a funeral establishment if the person prepares, arranges, or carries out the burial of the dead human body of a member of one's own family or next of kin as provided by section 194.119, RSMo, provided that the activity is not conducted as a business or for business purposes.

(B) The board shall not deem a person to be engaged in the practice of funeral directing or to be operating a funeral establishment if the person prepares, arranges, or carries out the burial of a dead human body pursuant to the religious beliefs, tenets, or practices of a religious group, sect, or organization, provided that the activity is not conducted as a business or for business purposes.

[(30) (29) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.041, 333.042, [and 333.121, RSMo Supp. 2008, section]

333.091 and 43.543,[SB 1, Ninety-fifth General Assembly] **RSMO Supp 2009**, and section 333.111, RSMo 2000.* This rule originally filed as 4 CSR 120-2.060. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Amended: Filed Aug. 16, 1976, effective Dec. 11, 1976. Emergency amendment filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Dec. 2, 1983, effective April 12, 1984. Amended: Filed July 29, 1988, effective Dec. 11, 1988. Amended: Filed Sept. 6, 1988, effective Dec. 11, 1988. Amended: Filed Sept. 6, 1989, effective Dec. 28, 1989. Amended: Filed Sept. 5, 1990, effective March 14, 1991. Amended: Filed March 4, 1991, effective July 8, 1991. Amended: Filed March 26, 1991, effective Sept. 30, 1991. Amended: Filed Feb. 1, 1994, effective July 30, 1994. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Rescinded and readopted: Filed Sept. 8, 1998, effective Jan. 30, 1999. Amended: Filed July 26, 1999, effective Jan. 30, 2000. Amended: Filed March 10, 2000, effective Sept. 30, 2000. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Rescinded and readopted: Filed Dec. 31, 2003, effective July 30, 2004. Amended: Filed Sept. 15, 2004, effective April 30, 2005. Moved to 20 CSR 2120-2.060, effective Aug. 28, 2006. Amended: Filed Feb. 16, 2006, effective Sept. 30, 2006. Amended: Filed Nov. 15, 2007, effective May 30, 2008. Amended: Filed July 22, 2009, effective Jan. 30, 2010.

*Original authority: 333.041, RSMo 1965, amended 1969, 1977, 1981, 1983, 1993, 1998, 2001; 333.042, RSMo 1993, amended 1998, 2001; 333.091, RSMo 1965, amended 1981, 2009; 333.111, RSMo 1965, amended 1981, 1993, 1995; and 333.121, RSMo 1965, amended 1981, 2007.

A motion was made by John McCulloch and seconded by Gary Fraker to remove the added language for (5) and leave it up to the Board to review on a case by case basis when the third application for apprenticeship is received. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

A motion was made by John McCulloch and seconded by Gary Fraker to approve with corrections to (24) and (24E). Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

CLOSED SESSION

A motion was made by John McCulloch and seconded by Gary Fraker to move into closed session at 11:55 p.m. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

The Board resumed in Open Session at 1:00 p.m.

20 CSR 2120-2.070 Funeral Establishments

PURPOSE: This rule clarifies establishment license classifications, establishment names, and the documents to be maintained by licensed funeral homes.

(1) Application for a Missouri licensed funeral establishment license shall be made on the forms provided by the board and shall be accompanied by the appropriate fee. Applications are available from the board's office or the board's website [at <http://pr.mo.gov/embalmers.asp>]. Each application shall indicate which license classification is being sought.

(2) There shall be the following license classifications:

(A) Function A establishments shall have authority to embalm dead human bodies and to transport dead human bodies to and from the funeral establishment. An establishment licensed only as a Function A establishment is prohibited from the care and preparation of dead human bodies other than by embalming, and shall also be prohibited from making funeral arrangements or embalming arrangements with any unlicensed person, cremating, conducting visitations and funeral ceremonies, and furnishing any funeral service in connection with the disposition of dead human bodies, or selling of funeral merchandise. **Each Missouri licensed funeral establishment used solely or partially for embalming shall contain a preparation room that shall be devoted to activities incident or related to the preparation or the embalming, or both, of dead human remains and shall be equipped and maintained as described in 20 CSR 2120-2.090. Each Function A funeral establishment licensed for embalming shall maintain on the premises ~~[in the preparation room]~~ a register log.**

(B) Function B establishments shall have authority to cremate **through the use of any mechanical or chemical means, including alkaline hydrolysis**, dead human bodies and to transport dead human bodies to and from the funeral establishment. This establishment shall have a functioning cremation chamber **and/or functioning facilities for alkaline hydrolysis or other chemical process for final disposition of a dead human body**, except as otherwise provided by Chapter 333, RSMo, and the rules of the board. An establishment licensed only as a Function B establishment is prohibited from the care and preparation of dead human bodies other than by cremating, and shall also be prohibited from embalming, making funeral arrangements or cremation arrangements with any unlicensed person, conducting visitations and funeral ceremonies, and furnishing any funeral service in connection with the disposition of dead human bodies or selling funeral merchandise. In no event shall any licensee or licensed establishment cremate human remains in the same retort used for cremating non-human remains. **Each Missouri licensed funeral establishment which is used solely or partially for cremations shall be equipped and maintained as described in 20 CSR 2120-2.071. This function shall maintain on the premises in the cremation area a cremation log.**

(C) Function C establishments shall have authority for the care and preparation of dead human bodies, other than by embalming or cremating, authority to transport dead human bodies to and from the funeral establishment, make funeral arrangements, and furnish any funeral services in connection with the disposition of dead human bodies or the sale of funeral merchandise. **This function shall contain a separate area for the care and custody of dead human remains that is secured with a functioning lock and a separate area for confidential conferences to arrange funeral services. The Function C establishment shall have on-site equipment necessary for arranging funeral services including tables or desks and chairs for funeral service arrangement conferences and file cabinets for the confidential storage of funeral records. This function shall contain a restroom, available drinking water, and an area where funeral ceremonies or visitations may be conducted. The establishment shall be equipped with seating for visitations or funeral ceremonies, casket bier, register book stand, officiate stand, flower display stands, and music-producing equipment.**

This function shall maintain on the Missouri premises the following documents:

- (A) General price list;**
- (B) Preneed contracts which have been cancelled or fulfilled;**

- (C) ~~[Purchase agreements]~~ **Written Statements of Goods and Services;**
(D) **Authorizations to embalm or cremate.**
(E) **Register Log;**
~~[(F) Preneeds Contracts]~~

(D) Function D establishments shall have authority to conduct visitations and funeral ceremonies only. A Function D license is dependent upon and shall be operated under the supervision and ownership of a Function C establishment. **This function shall contain a restroom, available drinking water, and an area where funeral ceremonies or visitations may be conducted. The establishment shall be equipped with seating for visitations or funeral ceremonies, casket bier, register book stand, officiate stand, flower display stands, and music-producing equipment. Each Function D funeral establishment shall maintain on the premises a register log.**

(E) Function E establishment shall have authority to make funeral arrangements, and furnish any funeral services in connection with the disposition of dead human bodies or the sale of funeral merchandise. This function shall not have the authority for the care and preparation of dead human bodies. This function shall a separate area for confidential conferences to arrange funeral services. The Function E establishment shall have on site tables or desks and chairs for funeral service arrangement conferences and file cabinets for the confidential storage of funeral records. This function shall contain a restroom, available drinking water. The establishment is to be used only for arranging funerals and visitations to be conducted at another facility, no services shall be held at this establishment. Each Function E funeral establishment shall maintain on the premises a register log.

(3) If a Missouri licensed funeral establishment wishes to *[change or]* add to its classification, it shall file a new application for a Missouri licensed funeral establishment indicating its new classification. If a Missouri licensed funeral establishment desires to eliminate one (1) of its functions, *[,other than a Function C,]*, it shall notify the board in writing of its intention to surrender the function, but is not required to file a new application for a new Missouri licensed funeral establishment.

[(4) A Missouri licensed funeral establishment shall not be used for any other business purpose other than as a Missouri licensed funeral establishment. It shall be permissible for a Missouri licensed funeral establishment to be in the same building as another business so long as the Missouri licensed funeral establishment has a separate entrance and a separate street address.]

(4)A Missouri licensed funeral establishment shall not be used for any business purpose other than as a Missouri licensed funeral establishment, except as otherwise permitted by statute or rule.

A. It shall be permissible for a Missouri licensed funeral establishment to be in the same building as another business so long as the Missouri licensed funeral establishment has a separate entrance, a separate street address, and no areas of the funeral home are shared with the other businesses.

B. It shall be permissible to engage in the business of being a preneed seller, preneed provider, preneed agent and/or the sale of life insurance at a Missouri licensed funeral establishment so long as all persons involved in such business hold the necessary licenses to engage in such business lawfully.

(5) A Missouri licensed funeral establishment shall be used only for the function for which it is licensed.

(6) Each application for a funeral establishment shall be made in the name of the person or business entity authorized to conduct business in Missouri. No license shall be issued to an establishment that has no legal recognition. A Missouri licensed funeral establishment shall maintain a current and active authorization to conduct business in Missouri with the Missouri Secretary of State.

(7) A funeral establishment application shall indicate the name and license number of the Missouri licensed funeral director-in-charge, as defined by 20 CSR 2120-1.040. When the Missouri licensed funeral director-in-charge changes *[for a period of more than thirty (30) days]*, the new Missouri licensed funeral director-in-charge and the former Missouri licensed funeral director-in-charge, jointly or individually, shall notify the board of the change within *[thirty (30)] fifteen (15)* days of the date when the change first occurs. Failure to notify the board shall be considered a violation of this rule on the part of each Missouri funeral director licensee and on the part of the Missouri licensed funeral establishment. A change in the Missouri licensed funeral director-in-charge does not require a new Missouri licensed funeral establishment license.

(8) Within thirty (30) days after an application for a Missouri licensed funeral establishment has been received in the board's office, the board shall cause the establishment to be inspected. The board shall act on the application and, within thirty (30) days after the application was received in the board's office, the applicant will be advised whether the license is granted or denied. If an applicant determines the establishment will not meet the qualifications for inspection or licensure within the thirty (30)-day application period, up to two (2) thirty (30)-day extensions of the application may be requested by the applicant in writing to the board before the application expires. Each request for an extension shall be received by the board prior to the expiration of the application or extension period.

(9) The establishment license issued by the board is effective for a fixed place or establishment and for a specific name of a person or entity authorized to conduct business in Missouri and may include one (1) "doing business as" name. The license issued by the board shall be displayed in a conspicuous location accessible to the general public at that location. Whenever the ownership, location, or name of the Missouri licensed establishment is changed, a new license shall be obtained. If the Missouri licensed funeral establishment maintains a chapel, preparation room, or other facility in a building or portion physically separated from and located at a place designated by an address differing from the office, chapel, or other facilities of the applicant, the chapel, preparation room, or other funeral facility otherwise located shall be deemed to be a separate funeral establishment. Nothing contained in this rule shall be construed or interpreted to require a separate registration for a building if it is joined or connected by a private passage, walk or driveway existing between the registered establishment and the other building.

(A) If a change of ownership is caused by the elimination of one (1) or more owners, for whatever reason (death, sale of interest, divorce, etc.) without the addition of any new owner(s), it is not necessary to obtain a new establishment license. However, a new application for an establishment license form shall be filed as an amended application *[within thirty (30) days] prior to [after]* the change of ownership. This form shall be filled out completely with correct, current information.

(B) A corporation is considered by law to be a separate person. If a corporation owns a Missouri licensed funeral establishment, it is not necessary to obtain a new establishment license or to file an amended application for an establishment license if the owners of the stock change.

(C) However, as a separate person, if a corporation begins ownership of a Missouri licensed funeral establishment or ceases ownership of a Missouri licensed funeral establishment, a new establishment license shall be obtained regardless of the relationship of the previous or subsequent owner to the corporation.

(10) The professional business and practice of funeral directing shall be conducted only from a fixed place or establishment that has been licensed by the board except as permitted by section 333.071, RSMo. The Missouri licensed funeral establishment physical facility shall be under the general management and supervision of the Missouri licensed funeral director-in-charge. Every Missouri licensed funeral establishment shall provide and allow access to any member or *[duly authorized]* agent of the board for the purpose of inspection , **investigation, examination or audit as authorized in Chapters 333 and 436** *[as provided by sections 333.061 and 333.101]*, RSMo. If any representative of the Missouri licensed funeral establishment fails or refuses to provide or allow access, it shall be considered a violation of this rule by the Missouri licensed funeral establishment and by the Missouri licensed funeral director-in-charge of the Missouri licensed funeral establishment. Additionally, if the Missouri licensed funeral establishment representative who fails or refuses to provide or allow access holds any license or registration issued by this board, that person shall be in violation of this rule.

(11) No one licensed by this board may be employed in any capacity by an unlicensed funeral establishment. Violation of this section will be deemed misconduct in the practice of embalming or funeral directing.

(12) Only one (1) **Missouri funeral establishment** license will be issued by this board for any physical facility that is considered to be a Missouri licensed funeral establishment as defined by statute and rule. If a Missouri funeral establishment licensed by this board is destroyed by fire or some other disaster or act of God, the board, in its discretion, for a period of not more than six (6) months, may allow the Missouri licensed funeral establishment to continue its operation from another Missouri licensed funeral establishment or from a facility that has not been licensed as a funeral establishment if the facility meets the minimum requirements for the functions outlined in section (2) of this rule. **A letter must be received by the board from the establishment indicating the address of the facility they wish to operate from, a letter will be issued by the board upon approval, until that time the establishment shall not operate from the proposed location. A letter from the owner of the proposed site must also be sent to the board office.**

(13) A Missouri licensed funeral establishment may use only its registered name in any advertisement or holding out to the public.

(A) All signs, stationery and any advertising in newspapers, publications or otherwise, shall include the name(s) of the Missouri licensed funeral establishment registered with the board. Advertisements that do not comply with this section shall be deemed misleading for the purposes of section 333 **.~~121~~330**, RSMo.

(B) It shall not be deemed to be misleading if a listing appears in a telephone directory or national directory if the name of the Missouri licensed funeral establishment changes after the listing has been placed, but before a new directory is published.

(14) The interior and exterior of the Missouri licensed funeral establishment physical plant shall be **maintained in a manner that does not present a potential or actual hazard to the health, safety, or welfare of the public** and kept free and clean of litter, dirt, debris, and clutter *[or other objects or conditions which present a potential or actual hazard to the health, safety, or welfare of the public.]*

[(15) The interior and exterior of the Missouri licensed funeral establishment physical plant shall be maintained in a manner that does not present a potential or actual hazard to the health, safety, or welfare of the public.]

[(16) Each Missouri licensed funeral establishment used solely or partially for embalming shall contain a preparation room that shall be devoted to activities incident or related to the preparation or the embalming, or both, of dead human remains and shall be equipped and maintained as described in 20 CSR 2120-2.090.]

[(17)] (15) No person shall be permitted in a preparation room during the course of embalming a dead human body except the employees of the Missouri licensed funeral establishment in that the human body is being embalmed, members of the family of the deceased, and persons authorized by the members of the family of the deceased, or any person otherwise authorized by law.

[(18) Each Missouri licensed funeral establishment which is used solely or partially for cremations shall be equipped and maintained as described in 20 CSR 2120-2.071.]

(19) Each Function C establishment shall contain a separate area for the care and custody of dead human remains and a separate area for confidential conferences to arrange funeral services. The Function C establishment shall have on-site equipment necessary for arranging funeral services including tables or desks and chairs for funeral service arrangement conferences and file cabinets for the confidential storage of funeral records.

(20) Each Function C or Function D establishment shall contain a restroom, available drinking water, and an area where funeral ceremonies or visitations may be conducted. The establishment shall be equipped with seating for visitations or funeral ceremonies, casket bier, register book stand, officiate stand, flower display stands, and music-producing equipment.

(21)] (16) According to section 333.~~121.2(17)~~**330**, RSMo, the State Board of Embalmers and Funeral Directors may impose disciplinary action for failure to obtain authorization to embalm from the person entitled to custody or control of the body, if the body is embalmed. If the body is not embalmed, a Missouri licensed funeral establishment shall not hold the unembalmed body for any longer than twenty-four (24) hours unless the unembalmed body is refrigerated in a cooling unit at a temperature of forty degrees Fahrenheit (40° F) or cooler or encased in an airtight metal or metal-lined burial case, casket or box that is closed and hermetically sealed. If the deceased gave written authorization to embalm and did not revoke the authorization, the authorization shall satisfy this requirement. If the deceased did not give written authorization to embalm, the next of kin of the deceased may give authorization to embalm. Authorization to embalm may be given by the next of kin prior to the death of the person whose body is to be embalmed. Authorization to embalm given prior to death may be in any written document, including a preneed contract.

(A) The next of kin, for purposes of this rule, shall be as defined in section 194.119.2, RSMo.

(B) Any person or friend who assumes responsibility for the disposition of the deceased's remains if no next of kin assumes such responsibility may authorize to embalm the deceased;

(C) The county coroner or medical examiner pursuant to the provisions of Chapter 58, RSMo may authorize to embalm the deceased;

(D) If the body is required to be buried at public expense, the body shall be disposed of according to the terms of section 194.150, RSMo;

(E) If the Missouri licensed funeral establishment receives no authorization to embalm from any of the persons identified in subsections (21)(A), (B), or (C) of this rule, the Missouri licensed funeral establishment may proceed with embalming if it has attempted to locate a person from whom authorization to embalm may be obtained for at least six (6) hours and it has a written statement from city, county, or state law enforcement officials that they have assisted the Missouri licensed funeral establishment in attempting to locate such a person. However, the Missouri licensed embalmer may proceed to embalm sooner if the condition of the body is such that waiting for six (6) hours would substantially impair the ability to effectively embalm the body or if the deceased died as a result of a communicable disease, was subject to isolation at the time of death; and

(F) If a Missouri licensed embalmer proceeds to embalm a body under the provisions of subsection (21)(E), the Missouri licensed funeral establishment which employs the Missouri licensed embalmer shall not require payment for the embalming unless the funeral arrangements that are subsequently made authorized the embalming.

(22)] (17) Each Missouri licensed funeral establishment shall maintain documentation of the following information regarding authorization to embalm a body which is embalmed by or on behalf of the Missouri licensed funeral establishment—

(A) When authorization to embalm is given in writing:

1. The name of the deceased

[1] (2). The name and signature of the person who is authorizing embalming;

[2] (3).. The relationship of that person to the deceased;

[3] (4). The time and date authorization to embalm was given; and

[4] (5). The name and title of the person receiving authorization to embalm on behalf of the Missouri licensed funeral establishment; and

(B) Authorization to embalm shall be given in writing if the person authorizing embalming is present in the Missouri licensed funeral establishment or in the physical presence of the person receiving authorization to embalm on behalf of the Missouri licensed funeral establishment. If verbal authorization to embalm is given, the Missouri licensed funeral establishment shall document:

1. The name of the person who is actually authorizing embalming, if different from the person who is verbally communicating authorization to embalm to the Missouri licensed funeral establishment;

2. The relationship of that person to the deceased;

3. The name of the person who is verbally communicating authorization to embalm and that person's relationship to the person who is actually authorizing embalming;

4. The time and date authorization to embalm was given; and

5. The name and title of the person receiving authorization to embalm on behalf of the Missouri licensed funeral establishment.

[(23) Each Function C funeral establishment shall maintain on the Missouri premises the following documents:

(A) General price list;

(B) Preneed contracts which have been cancelled or fulfilled;

(C) Purchase agreements; and

(D) Authorizations to embalm or cremate.]

(24) Each Function A funeral establishment licensed for embalming shall maintain on the premises in the preparation room a register log.

[(25) Each Function B funeral establishment licensed for cremation shall maintain on the premises a completely functioning cremation chamber, as defined by 20 CSR 2120-2.071(1)(D), and maintain on the premises in the cremation area a cremation log. A Function B establishment shall not be in violation of this rule if the cremation chamber is completely restored to functioning capacity within one hundred twenty (120) days from the date the cremation chamber ceases to be in compliance with this section. However, if there are extenuating circumstances, and the cremation chamber could not be repaired, documentation of such shall be provided to the board for review and approval. Cremation chambers shall be maintained in proper working order and in compliance with all applicable Missouri Department of Health and Senior Services statutes, rules and regulations; Missouri Department of Natural Resources, statutes, rules and regulations; and all other applicable federal, city, county, and municipal statutes, rules and regulations.

(A) If a Function B establishment has only one (1) cremation chamber, and that chamber is not functioning, notification from the establishment shall be made to the board within ten (10) business days after the cremation chamber stops functioning.

(B) A Function B establishment that has a nonfunctioning cremation chamber may arrange for cremation at another licensed establishment, if the use of an alternate establishment for purposes of cremation is disclosed to the third party making the arrangements on the cremation authorization form.

1. Cremation areas shall contain only the articles, instruments, and items that are necessary for the preparation and cremation of dead human bodies. For purposes of this section, the cremation area shall include the entire room where the retort is located and any rooms used for viewing or visitation of a dead human body awaiting cremation or that is being cremated or being removed from the cremation chamber. This paragraph (25)(B)1. shall not apply to establishments containing retorts or cremation areas for the cremation of non-human remains prior to April 1, 2008, provided that such establishment shall be prohibited from cremating human remains at the same time or in the same retort as non-human remains.

2. Any establishment containing an area for the cremation of non-human remains prior to April 1, 2008, shall be required to comply with this rule if a change of ownership is caused by the addition or replacement of one (1) or more owners or a new corporation begins ownership of the establishment. This paragraph (25)(B)2. shall not apply if only the owners of the stock of a corporation changes.

3. In no event shall any licensee or licensed establishment cremate human remains in the same retort used for cremating non-human remains.]

[26] (19) All documents required by this rule to be maintained, shall be maintained on the premises of the Missouri licensed funeral establishment for two (2) years from the date the record was created. All documents required to be maintained by this rule may be maintained electronically, but all documents shall be stored in such a manner to allow access by the board and so the board, or its assignee, may easily and timely obtain hard copies or electronic copies in a format easily readable by the board, or its assignee.

[27] (20) Each funeral establishment shall maintain a register log *[as defined by 20 CSR2120-1.040(21).] [The log shall be kept in the preparation or embalming room of the Missouri licensed funeral establishment at all times and in full view for a board inspector. If the funeral establishment does not contain a preparation or embalming room,] [T][t]he log shall be kept]* on the premises of the licensed funeral establishment and shall be easily accessible and in full view for a board inspector. A register log shall *[contain the information required by 20 CSR 2120-1.040(21)]. , include the following:*

(A) The name of the deceased;

- (B) The date and time the dead human body arrived at the funeral establishment;*
- (C) The date and time the embalming took place, if applicable;*
- (D) The name and signature of the Missouri licensed embalmer, if applicable;*
- (E) The name and signature of the Missouri registered apprentice embalmer, if any;*
- (F) The Missouri licensed embalmer's license number, if applicable;*
- (G) The Missouri apprentice embalmer registration number, if any; and*
- (H) The name of the licensed funeral establishment, or other that was in charge of making the arrangements if from a different location.*

[28] (21) No dead human body shall be buried, disinterred, interred, or cremated within this state or removed from this state, unless the burial, disinterment, interment, cremation, removal, or other authorized disposition, is performed under the direction of a Missouri licensed funeral establishment or Missouri licensed funeral director, unless otherwise authorized by law. If the disinterment does not require legal notification to the county coroner or medical examiner, a funeral director's presence may not be required. Nothing in this rule shall be interpreted to require the use of a Missouri licensed funeral establishment or director if the person(s) having the right to control the incidents of burial request or determine otherwise, provided that this provision does not exempt any person from licensure as required by Chapter 333, RSMo. **[(A)] Any licensed funeral establishment or funeral director that makes arrangements for an unlicensed person to transport dead human bodies within the state of Missouri, or out of this state, is responsible for the conduct of the unlicensed person.**

[29] (22) A licensee shall be prohibited from knowingly using, placing, or including any false, misleading, deceptive or materially incorrect information, or assisting or enabling any person to provide such information, on a death certificate filed in the state of Missouri.

[30] (23) Whenever a dead human body is donated to a medical or educational institution for medical and/or scientific study and arrangements for return of the body to the legal next of kin have not been made, then delivery of the body to the medical or educational institution shall constitute final disposition. If, however, arrangements for return of the body to the legal next of kin have been made, then final disposition shall be the burial, interment, cremation, or removal of the body out of this state, after the medical or educational institution has returned the body.

[31] (24) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.061 and 333.121, RSMo Supp. 2008 and sections 333.091, 333.111, and 333.145, RSMo 2000. This rule originally filed as 4 CSR 120-2.070. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Amended: Filed Aug. 16, 1976, effective Dec. 11, 1976. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Aug. 6, 1982, effective Nov. 11, 1982. Amended: Filed Sept. 12, 1985, effective Dec. 26, 1985. Rescinded and readopted: Filed May 28, 1987, effective Sept. 11, 1987. Amended: Filed June 24, 1988, effective Sept. 29, 1988. Amended: Filed Sept. 6, 1988, effective Dec. 11, 1988. Amended: Filed Sept. 6, 1989, effective Dec. 28, 1989. Amended: Filed Dec. 4, 1989, effective March 11, 1990. Amended: Filed Sept. 5, 1990, effective March 14, 1991. Amended: Filed March 4, 1991, effective Sept. 30, 1991. Amended: Filed Aug. 15, 1991, effective Jan. 13, 1992. Amended: Filed Dec. 14, 1992, effective June 7, 1993. Amended: Filed Nov. 29, 1994, effective July 30, 1995. Amended: Filed Sept. 3, 1996, effective April 30, 1997. Amended: Filed Nov. 1, 2001, effective April 30, 2002. Rescinded and readopted: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.070, effective Aug. 28, 2006. Amended: Filed Nov. 15, 2007, effective May 30, 2008. Amended: Filed April 8, 2009, effective Oct. 30, 2009.*

**Original authority: 333.061, RSMo 1965, amended 1981, 2001; 333.091, RSMo 1965, amended 1981; 333.111, RSMo 1965, amended 1981, 1993, 1995; 333.121, RSMo 1965, amended 1981, 2007; and 333.145, RSMo 1981.*

A Chair recommendation was made to table this item and direct staff to rework and look at functions for clarification.

20 CSR 2120-2.071 Funeral Establishments Containing a Crematory Area

PURPOSE: This rule outlines the requirements and procedures for operating a crematory establishment in Missouri.

(1) Definitions.

(A) **Cremains**—~~[Cremated remains]~~—the bone fragments which remain after the cremation process is completed.

(B) **Cremation**—the technical ~~[heating]~~ process which reduces remains to bone fragments through heat ~~[and]~~ evaporation **and/or chemical process including alkaline hydrolysis**; a final disposition of dead human remains.

(C) **Cremation box**—a container into which ~~[cremated remains]~~ **cremains** are placed for transportation or short-term storage.

(D) **Cremation chamber**—the total functioning mechanical unit for the actual cremation process.

(E) **Cremation container**—the case in which the human remains are delivered to the crematory area for cremation.

(F) **Crematory area**—the building or portion of a building which houses the cremation chamber and the holding facility.

(G) **Holding facility**—the area within the crematory area in which dead bodies are placed while awaiting cremation.

(H) **Short-term storage**—storage for a period of thirty (30) days or fewer.

(I) **Urn**—the receptacle into which the ~~[cremated remains]~~ **cremains** are placed for other than transportation or short-term storage.

(2) No body shall be cremated in this state except in a funeral establishment licensed by the board as a Function B establishment.

(3) Each Missouri licensed funeral establishment that contains a crematory area shall maintain permanent records which shall include:

(A) A written authorization for cremation executed prior to cremation by the person entitled to custody or control of the body which shows the time and date when authorization for cremation was given; and

(B) Information regarding the cremation which shall include:

1. The full name of the deceased;

2. The last place of residence of the deceased;

3. The place of death of the deceased;

4. The place of birth of the deceased;

5. The date and place of the funeral;

6. The name of the Missouri licensed funeral director, other than a limited license funeral director, with whom the arrangements were made;

7. The name of the person(s) who made the arrangements with the Missouri licensed funeral director and the relationship to the deceased;

8. The date and time when cremation was begun;

9. The name and address of the person to whom the *[cremated remains]* **cremains** were released or the location where the *[cremated remains]* **cremains** were placed; and

10. If the *[cremated remains]* **cremains** were delivered or placed other than by an employee of the Missouri licensed funeral establishment, the name of the person who made the delivery or placement or the name of the business by which the *[cremated remains]* **cremains** were shipped along with the receipt number.

11. If cremation is to be performed by chemical disposition, the written authorization shall also include:

a. Specific consent for cremation by chemical process with an explanation of the process to be utilized; and

b. Disclosures on the disposition of personal property including , but not limited to , jewelry, medical devices and any other items that might remain after the chemical disposition process is complete.

(4) Cremation log—a written record or log kept in the cremation area available at all times in full view, which will include the following:

(A) The name of the deceased to be cremated;

(B) The name of the Missouri licensed establishment where the body is cremated;

(C) The date and time the body arrived at the crematory;

(D) The date and time the cremation took place;

(E) The name and signature of the Missouri licensed funeral director or Missouri licensed embalmer supervising the cremation;

(F) The supervising Missouri licensed funeral director's license number or the supervising Missouri licensed embalmer's license number; and

(G) The name of the Missouri licensed funeral establishment or other that was in charge of making the arrangements if from a different location.; **and.**

(H) The type of cremation performed

(5) All records required to be maintained by this rule shall be maintained on the premises of the Missouri licensed funeral establishment for two (2) years from the date the record was created. All documents required to be maintained by this rule may be maintained electronically, but all documents shall be stored in such a manner to allow access by the board, or its assignee, and so the board, or its assignee, may easily and timely obtain hard copies or electronic copies in a format easily readable by the board, or its assignee.

(6) If the deceased gave written authorization to cremate and did not revoke the authorization, that authorization shall satisfy the requirement for authorization to cremate. If the deceased did not give written authorization to cremate, the next of kin of the deceased or the county coroner or medical examiner pursuant to Chapter 58, RSMo, may give authorization to cremate. Authorization to cremate given prior to the death may be in any written document, including a preneed contract. The next of kin, for purposes of this rule, shall be as defined in section 194.119.2, RSMo.

(7) If the Missouri licensed funeral establishment receives no authorization for cremation from any of the persons identified in section (6) of this rule, the Missouri licensed funeral establishment may proceed with cremation if it has attempted to locate a person from whom authorization to cremate may be obtained for at least ten (10) days and it has a written statement from city, county, or state law enforcement officials that they have assisted the Missouri licensed funeral establishment in attempting to locate a person from whom authorization for cremation could be obtained but have been unable to locate such a person. However, the Missouri licensed funeral establishment may proceed with cremation prior to the elapse of twenty-four (24) hours if the deceased died as a result of a communicable disease, was subject to isolation at the time of death, and has not been properly embalmed in accordance with 19 CSR 20-24.010.

(8) The cremation chamber shall be completely functioning at all times and shall be constructed specially to withstand **the high temperatures and/or chemicals used in the cremation and to protect the surrounding structure.** [protect the surrounding structure]. A Function B establishment shall not be in violation of this rule if the cremation chamber is completely restored to functioning capacity within one hundred twenty (120) days from the date the cremation chamber ceases to be in compliance with this section. However, if there are extenuating circumstances and the cremation chamber could not be repaired, documentation of such shall be provided to the board for review and approval. Cremation chambers **and processes** shall be maintained in proper working order and in compliance with all applicable Missouri Department of Health and Senior Services statutes, rules and regulations, Missouri Department of Natural Resources, statutes, rules and regulations, and all other applicable federal, **state**, city, county, and municipal statutes, rules and regulations. **All persons operating a cremation chamber shall have received sufficient training so as to operate the cremation chamber in accordance with all laws and to protect the health, safety and welfare of employees and members of the public.**

(A) If a Function B has only one (1) cremation chamber and that chamber is not functioning, written notification shall be made to the board within ten (10) business days after the cremation chamber stops functioning.

(B) A Function B establishment that has a nonfunctioning cremation chamber may arrange for cremation at another licensed establishment, if the use of an alternate establishment for purposes of cremation is disclosed to the person making the arrangements on the cremation authorization form **and the type of cremation performed is as authorized in the written authorization to cremate.**

1. Cremation areas shall contain only the articles, instruments, and items that are necessary for the preparation and cremation of dead human bodies. For purposes of this section, the cremation area shall include the entire room where the retort is located and

any rooms used for viewing or visitation of a dead human body awaiting cremation or that is being cremated or being removed from the cremation chamber. This paragraph [(25)(B)1]. shall not apply to establishments containing retorts or cremation areas for the cremation of non-human remains prior to April 1, 2008, provided that such establishment shall be prohibited from cremating human remains at the same time or in the same retort as non-human remains.

2. Any establishment containing an area for the cremation of non-human remains prior to April 1, 2008, shall be required to comply with this rule if a change of ownership is caused by the addition or replacement of one (1) or more owners or a new corporation begins ownership of the establishment. This paragraph (25)(B)2. shall not apply if only the owners of the stock of a corporation changes.

3. In no event shall any licensee or licensed establishment cremate human remains in the same retort used for cremating non-human remains

(9) The crematory area shall include a work center area equipped with forced air ventilation adequate to protect the health and safety of the operator and any other person(s) present.

(10) No person shall be permitted in the crematory area while any dead human body is in the crematory area awaiting cremation or being cremated or while the cremation remains are being removed from the cremation chamber except the Missouri licensed funeral director, the Missouri licensed embalmer, employees of the Missouri licensed funeral establishment in which the body is being cremated, members of the family of the deceased, persons authorized by the members of the family of the deceased, or any other person authorized by law.

(11) When there is no Missouri licensed funeral establishment employee in the crematory area, the crematory area shall be secure from entry by persons other than Missouri licensed funeral establishment employees or a Missouri licensed embalmer authorized by the funeral establishment.

(12) Each body delivered to the crematory, if not already in a cremation container, plastic pouch, cardboard cremation container, casket made of wood or wood product or metal, shall be placed in such a pouch, container or casket. If a metal container or casket is used, the person making the arrangements shall be informed by the Missouri licensed funeral director with whom the arrangements are made of the disposition of the metal container or casket after cremation, if not placed in the retort. The cremation container shall be composed of a combustible, nonexplosive, opaque material which is adequate to assure protection to the health and safety of any person in the crematory area. The casket or container shall be leak resistant if the body enclosed is not embalmed or if death was caused by a contagious disease. **Each cremation box or urn into which the cremated remains are placed after removal from the cremation chamber shall be labeled clearly with the full name of the deceased and the name of the Missouri licensed funeral establishment with whom the arrangements were made, in accordance to 193.175.**

(13) The Missouri licensed funeral director with whom the arrangements are made shall make inquiry to determine the presence or existence of any body prosthesis, bridgework or similar items.

(14) No body shall be cremated, **except for cremation by chemical disposition**, with a pacemaker in place. The Missouri licensed funeral director with whom the arrangements are made shall take all steps necessary to ensure that any pacemakers are removed prior to cremation.

(15) No body shall be cremated until after a completed death certificate has been filed with the local registrar as required by section 193.175, RSMo.

[(16) Except for metal containers or caskets, each cremation container or casket into which a body is placed shall be placed into the cremation chamber with the body and be cremated. If a metal container or casket is used, the purchaser shall be informed by the funeral director at the time the arrangements are made of the disposition of the metal container or casket after cremation, if the container or casket is not to be placed in the retort. Each cremation box or urn into which the cremated remains are placed after removal from the cremation chamber shall be labeled clearly with the full name of the deceased and the name of the Missouri licensed funeral establishment with whom the arrangements were made.]

(17) The remains of only one (1) body shall be in the cremation chamber at one (1) time unless simultaneous cremation has been authorized in writing by the person(s) entitled to custody or control of each body.

(18) Following the completion of the cremation process, all residual of the cremation process including the *[cremated remains]* **cremains** and any other matter shall be thoroughly removed from the cremation chamber prior to placing another body in the cremation chamber.

(19) If the *[cremated remains]* **cremains** do not fill the interior of the cremation box adequately, the extra space may be filled with shredded paper or clean absorbent cotton.

(20) If the *[cremated remains]* **cremains** will not fit within the receptacle designated in the arrangements, the remainder shall be placed in a separate receptacle or, if written permission is obtained from the person entitled to custody or control of the body, disposed of in some other manner.

(21) The cremation box shall be composed of rigid materials which shall be sealed in order to prevent the leakage of *[cremated remains]* **cremains** or the entry of foreign objects.

(22) If the *[cremated remains]* **cremains** are to be shipped, the cremation box shall be packed securely in a **sturdy shipping container such as a plastic or** corrugated cardboard box which is securely *[closed]* **sealed** with **adhesive seal** *[tape]* acceptable to the shipper.

(23) *[cremated remains]* **cremains** shall be shipped only by a method which has an internal tracing system available and which provides a receipt signed by the person accepting delivery.

(24) Each urn into which *[cremated remains]* **cremains** are placed shall be made of a durable material which shall enclose the cremated remains entirely.

(25) Each Missouri licensed funeral establishment which comes into possession of *[cremated remains]* **cremains**, whether or not it is the Missouri licensed funeral establishment at which the cremation occurred, shall retain the *[cremated remains]* **cremains** until they are delivered, placed, or shipped pursuant to the instructions of the person(s) entitled to custody or control of the body. However, nothing in this rule shall prohibit a Missouri licensed funeral establishment from disposing of *[cremated remains]* **cremains** in another fashion if the Missouri licensed funeral establishment has obtained written permission for other disposition contingent upon the Missouri licensed funeral establishment attempting to dispose of the *[cremated remains]* **cremains** according to instructions but being unable to do so through no fault of the Missouri licensed funeral establishment and provided that other disposition shall not occur prior to thirty (30) days after cremation. **Any Missouri licensed funeral establishment that comes into possession of unclaimed cremated remains may also dispose of these remains pursuant to Section 194.350, RSMo.**

(26) Nothing in this rule shall be construed to prohibit a Missouri licensed funeral establishment which contains a crematory area from establishing more restrictive standards for its own operation.

(27) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: section[s] 333.061 [and 333.121], RSMo Supp. [2008] **2009, section 333.330, SB 1, Ninety-fifth General Assembly 2009**, and sections 333.111 and 333.145, RSMo 2000.* *This rule originally filed as 4 CSR 120-2.071. Original rule filed May 29, 1987, effective Sept. 11, 1987. Amended: Filed Jan. 15, 1988, effective April 11, 1988. Amended: Filed April 16, 1990, effective Sept. 28, 1990. Amended: Filed Nov. 15, 1991, effective April 4, 1992. Amended: Filed Sept. 3, 1996, effective April 30, 1997. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.071, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007. Amended: Filed April 8, 2009, effective Oct. 30, 2009.*

*Original authority: 333.061, RSMo 1965, amended 1981, 2001; 333.111, RSMo 1965, amended 1981, 1993, 1995; 333.121, RSMo 1965 amended 1981, 2007; and 333.145, RSMo 1981.

A motion was made by Gary Fraker and seconded by Archie Camden to follow suit with definition changes made in previous rules (cremated remains) and use the consistent terms throughout. Staff should adjust Functions mirror 20 CSR 2120-2.070. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-2.080 Written Statement of Charges

PURPOSE: This rule establishes a minimum disclosure requirement to provide better safeguards to Missouri citizens purchasing funeral services and merchandise and in particular, purchases of caskets and outer burial containers by requiring specific identifying information to be included on the written statement of charges.

(1) Every Missouri licensed funeral director responsible for providing funeral services or arranging for the delivery of any funeral merchandise, shall give or cause to be given to the person(s) making such arrangements a written statement of charges for the funeral merchandise and funeral services selected.

(2) At the time of need, a written statement of charges shall be completed and given to the person making the at-need arrangements. The written statement of charges shall be completed prior to the rendering of the funeral services or providing merchandise. At a minimum, the written statement of charges shall contain the following:

(A) The **license number** name and signature of the Missouri licensed funeral director responsible for making the arrangements or providing the funeral merchandise;

(B) The name and address of the Missouri licensed funeral establishment in charge of providing the merchandise or funeral services;

(C) The name, address and signature of the person making the at-need arrangements;

(D) The date of the signatures;

(E) The name of the deceased;

- (F) The date of death;
- (G) The price of the service(s) selected and the price of the supplemental (additional) items;
- (H) The price of the merchandise selected including a detailed description of the casket and outer burial container;
- (I) The amount and description of all cash advance items; and
- (J) The method of payment.

(3) A preneed **contract** shall not be substituted for the written statement of charges *[required by the this rule.]*

(4) Violations of this rule will be deemed misconduct in the practice of funeral directing.

(5) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.111 and 333.145, RSMo 2000. This rule originally filed as 4 CSR 120-2.080. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Amended: Filed Nov. 10, 1976, effective March 11, 1977. Emergency amendment filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Amended: Filed Nov. 8, 1978, effective Feb. 11, 1978. Rescinded: Filed Jan. 13, 1982, effective April 11, 1982. Readopted: Filed Nov. 29, 1994, effective July 30, 1995. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Amended: Filed May 3, 2004, effective Sept. 30, 2004. Moved to 20 CSR 2120-2.080, effective Aug. 28, 2006.*

**Original authority: 331.111, RSMo 1965 amended 1981, 1993, 1995 and 333.145, RSMo 1981.*

A motion was made by Gary Fraker and seconded by Archie Camden to approve proposed legislation. Motion carried with Martin Vernon voting in favor. John McCulloch voted in opposition and James Reinhard was absent from the vote.

20 CSR 2120-2.090 Preparation Rooms/Embalming Room

PURPOSE: This rule outlines the laws and rules governing the standards required of funeral establishment preparation rooms.

(1) Whenever used in this rule, the phrase preparation room refers to that room in a Missouri licensed funeral establishment where dead human bodies are embalmed.

(2) The following requirements for the maintenance and cleanliness of preparation rooms apply at all times, regardless of whether a dead human body is being embalmed or not.

(3) Floors, Walls and Ceilings. All preparation room floor surfaces shall be smooth, nonabsorbent materials and so constructed as to be kept clean easily. *[Floor drains shall be provided where the floor is to be subjected to cleaning by flooding.]* All walls and ceilings shall be easily cleanable and light colored, and shall be kept and maintained in good repair. All walls shall have washable surfaces.

(4) Each Missouri licensed establishment shall comply with Missouri Department of Health and Senior Services rules and regulations, Missouri Department of Natural Resources rules and regulations, and all other applicable county, city, municipal and state rules and regulations relating to **containment backflow prevention devices**, plumbing, sewage and liquid waste, solid waste disposal and disposal of body parts.

(5) Sewage and Liquid Waste Disposal.

(A) All sewage and water-carried wastes from the entire Missouri licensed funeral establishment, including the preparation room, shall be disposed of in a public sewage system or an approved disposal system which is constructed, operated and maintained in conformance with the minimum standards of the Department of Health and Senior Services **and Department of Natural Resources and any local laws.**

(B) The following aspirators are approved for preparation rooms:

1. Electric aspirators;

2. Water-operated aspirators. All water-operated aspirators shall be protected from back siphonage by the minimum of an atmospheric vacuum breaker approved by the American Society of Sanitary Engineering (**ASSE**) or by the *Uniform Plumbing Code* [and installed a minimum of twelve inches (12") above the maximum possible height of the embalming table]; *and*

3. Water-controlled unit. All water-controlled units shall be installed and maintained according to the Uniform Plumbing Code, and properly protected from back siphonage with a backflow prevention device approved by the American Society of Sanitary Engineering or the Uniform Plumbing Code.

(6) Solid Waste Disposal.

[(A)] All waste materials [R] refuse, bandages, cotton and other solid waste materials shall be kept in leakproof, nonabsorbent containers which shall be covered with tight-fitting lids [prior to disposal].

[(B) All waste materials, refuse, and used bandage and cotton shall be destroyed by reducing to ashes through incineration or shall be sterilized and buried. Sterilization may be accomplished by soaking for thirty (30) minutes in a solution of five percent (5%) formaldehyde, one (1) pint of formalin to seven (7) pints of water.]

(7) Disposal of Body Parts. Human body parts not buried within the casket shall be disposed of by incineration in a commercial or industrial-type incinerator or buried to a depth which will insure a minimum of three feet (3') of compacted earth cover (overlay).

(8) A mechanical exhaust system is required. Care shall be taken to prevent the discharge of exhaust air into an area where odors may create nuisance problems.

(9) All preparation rooms and all articles stored in them shall be kept and maintained in a clean and sanitary condition. All embalming tables, hoppers, sinks, receptacles, instruments and other appliances used in embalming or other preparation of dead human bodies shall be so constructed that they can be kept and maintained in a clean and sanitary condition. The following minimum standards shall apply:

(A) An eye wash kit (bank) or suitable facilities for quick drenching or flushing of the eyes shall be provided within the area for immediate emergency use;

(B) Facilities shall exist for the proper disinfection of embalming instruments and the embalming table;

(C) Facilities for the proper storage of embalming instruments shall be maintained. At a minimum, a chest or cabinet shall be used for the storage of embalming instruments;

(D) All types of blocks used in positioning a dead human body on an embalming table shall be made of nonabsorbent material. All wooden blocks shall be sealed and painted with enamel; and

(E) When not in use, embalming tables shall be cleaned, disinfected and covered with a sheet.

(10) Food and Beverages.

(A) There may be no direct opening between the preparation room and any room where food and beverages are prepared or served.

(B) The Department of Health and Senior Services sanitation laws and rules governing food sanitation apply to the operation, construction and sanitation of food service facilities, where provided for the comfort and convenience of a funeral party; provided, however, that coffee service utilizing single-service cups and spoons and a coffeemaker of easily cleanable construction shall be deemed acceptable where this service is the only food service offered.

(C) A Missouri licensed funeral home providing coffee service utilizing single-service items and coffeemakers of easily cleanable construction shall provide a water supply faucet at a suitable sink of easily cleanable construction for the filling and cleaning of this equipment in an area separate from the preparation room and restrooms.

(11) A separate wash sink (separate from slop drain sink) shall be present or in close proximity to the preparation room for a personal hand wash facility for Missouri licensed embalmers and the disinfecting of embalming equipment. If the wash sink is not present in the preparation room, it shall be in a location close to the preparation room which is not accessible to the public and it shall be at a distance of no further than ten feet (10') from the door of the preparation room.

(12) Preparation rooms shall contain only the articles, instruments, and items that are necessary for the preparation, embalming, and final disposition of dead human bodies.

(13) Preparation rooms shall be secured with a functional lock so as to prevent entrance by unauthorized persons.

(14) The rules in this division are declared severable. If any rule, or section of a rule, is held invalid by a court of competent jurisdiction or by the Administrative Hearing Commission, the remaining provisions shall remain in full force and effect unless otherwise determined by a court of competent jurisdiction or by the Administrative Hearing Commission.

AUTHORITY: sections 333.111.1, RSMo 2000 and 192.020 and 333.061, RSMo Supp. 2006. This rule originally filed as 4 CSR 120-2.090. Original rule filed Oct. 17, 1975, effective Oct. 28, 1975. Refiled March 24, 1976. Emergency rule filed Nov. 9, 1978, effective Nov. 20, 1978, expired Feb. 11, 1979. Rescinded and readopted: Filed Nov. 8, 1978, effective Feb. 11, 1979. Rescinded and readopted: Filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Aug. 13, 1984, effective Jan. 13, 1985. Amended: Filed June 2, 1986, effective Sept. 1, 1986. Amended: Filed Nov. 15, 1991, effective April 4, 1992. Amended: Filed May 26, 1993, effective Nov. 8, 1993. Amended: Filed May 16, 1995, effective Dec. 30, 1995. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.090, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007.*

**Original authority: 192.020, RSMo 1939, amended 1945, 1951, 2004; 333.061, RSMo 1965, amended 1981, 2001; and 333.111, RSMo 1965, amended 1981, 1993, 1995.*

A motion was made by John McCulloch and seconded by Archie Camden to remove "and any local laws" from the (5) (A). Motion carried with Martin Vernon voting in favor. Gary Fraker voted in opposition and James Reinhard was absent from the vote.

A Chair recommendation was made to table this matter for further research.

20 CSR 2120-2.100 Fees

PURPOSE: This rule establishes and fixes the various fees and charges authorized by Chapter 333, RSMo.

(1) The following fees hereby are established by the State Board of Embalmers and Funeral Directors:

(A) Embalmer Practicum Student Registration Fee	\$ 25	
(B) Embalmer <i>[Application Fee]</i> Apprentice Registration Fee		\$200
(C) Embalmer License Fee	\$125	
<i>[(C) Embalmer Oral Examination Fee</i>	<i>\$125]</i>	
(D) Embalmer Reciprocity Application Fee	\$300	
(E) Embalmer Biennial Renewal Fee	\$200	
(F) Funeral Director <i>[Application]</i> Apprentice Registration Fee	\$200	
(G) Funeral Director License Fee	\$100	
<i>[(G)] (H) Funeral Director Limited License Application Fee</i>	\$200	
<i>[(H)] (I) Funeral Director Reciprocity Application Fee</i>		\$300
<i>[(I)] (J) Funeral Director Biennial Renewal Fee</i>		\$200
<i>[(J)] (K) Reactivation Fee (up to one (1) year after lapse)</i>		\$100
<i>[(K)] (L) Reactivation Fee (up to two (2) years after lapse)</i>		\$200
<i>[(L)] (M) Establishment Application Fee</i>		\$300
<i>[(M)] (N) Amended Establishment Application Fee</i>		\$ 25
<i>[(N)] (O) Establishment Biennial Renewal Fee</i>		\$250
<i>[(O)] (P) Reciprocity Certification Fee</i>		\$ 10
<i>[(P)] (Q) Duplicate Wallhanging Fee</i>		\$ 10
<i>[(Q)] (R) Collection Fee for Bad Checks</i>		\$ 25
<i>[(R)] (S) Law Book Requests</i>		\$ 5 ^[*]
<i>[(S) Examination Review Fee</i>		\$ 25]
(T) Background Check Fee (amount determined by the Missouri State Highway Patrol)		
(U) Provider License Application Fee (if no Funeral Establishment license)	\$200	
(V) Provider License Application Fee (if also Funeral Establishment license)	\$100	
(W) Provider Annual Renewal Fee	\$ 0	
(X) Provider Delinquent Renewal Fee	\$100	
(Y) Seller License Application Fee		[\$200] \$75
(Z) Seller Annual Renewal Fee		\$200
(AA) Seller Delinquent Renewal Fee	\$200	
(BB) Preneed Agent Registration Fee	\$ 50	
(CC) Preneed Agent Annual Registration Renewal Fee	\$ 50	
(DD) Preneed Agent Delinquent Renewal Fee	\$ 50	
<i>[(EE) Preneed Seller Agent Law Examination Fee</i>	<i>\$ **]</i>	
(FF) Seller per Contract Annual Reporting Fee <i>[(for contracts executed on or after August 28, 2009)]</i>	\$ 36	
(HH) Amended Provider Application Fee		\$ 25
(II) Amended Seller Application Fee	\$ 25	

*[*This fee will not apply to the initial copy of the law book which is automatically mailed to all applicants for licensure and to educational institutions of mortuary science. Furthermore, this fee will not be charged to licensees or any other individual, for additions or corrections to the law book after the initial copy is mailed.]*

****This fee is not yet determined by the board.**

(2) All fees are nonrefundable.

(3) The provisions of this rule are severable. If any fee fixed by this rule is held invalid by a court of competent jurisdiction, the remaining provisions of this rule shall remain in full force, unless otherwise determined by a court of competent jurisdiction.

AUTHORITY: section 333.111.1, RSMo 2000 and section 333.340, RSMo Supp. 2010. This rule originally filed as 4 CSR 120-2.100. Emergency rule filed June 30, 1981, effective July 9, 1981, expired Nov. 11, 1981. Original rule filed June 30, 1981, effective Oct. 12, 1981. Emergency amendment filed April 7, 1982, effective April 17, 1982, expired Aug. 14, 1982. Amended: Filed April 13, 1982, effective July 11, 1982. Amended: Filed June 9, 1982, effective Sept. 12, 1982. Amended: Filed Aug. 9, 1982, effective Nov. 11, 1982. Amended: Filed Jan. 13, 1984, effective April 12, 1984. Amended: Filed Aug. 9, 1984, effective Jan. 13, 1985. Amended: Filed Feb. 7, 1985, effective May 11, 1985. Amended: Filed Sept. 12, 1985, effective Dec. 26, 1985. Amended: Filed Oct. 3, 1986, effective Jan. 12, 1987. Amended: Filed Dec. 2, 1986, effective March 12, 1987. Amended: Filed March 2, 1987, effective May 25, 1987. Amended: Filed March 16, 1988, effective July 28, 1988. Amended: Filed Sept. 6, 1988, effective Dec. 11, 1988. Amended: Filed Dec. 2, 1988, effective March 11, 1989. Amended: Filed July 17, 1989, effective Oct. 12, 1989. Amended: Filed Dec. 4, 1989, effective March 11, 1990. Amended: Filed March 12, 1991, effective Aug. 30, 1991. Amended: Filed Oct. 16, 1991, effective May 14, 1992. Amended: Filed Feb. 1, 1994, effective July 30, 1994. Amended: Filed June 9, 1995, effective Dec. 30, 1995. Rescinded and readopted: Filed April 16, 1996, effective Nov. 30, 1996. Amended: Filed Dec. 22, 1997, effective June 30, 1998. Amended: Filed Sept. 8, 1998, effective Jan. 30, 1999. Amended: Filed March 24, 1999, effective Oct. 30, 1999. Amended: Filed July 26, 1999, effective Jan. 30, 2000. Amended: Filed Dec. 30, 1999, effective June 30, 2000. Amended: Filed Aug. 18, 2000, effective Feb. 28, 2001. Amended: Filed April 6, 2001, effective Oct. 30, 2001. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.100, effective Aug. 28, 2006. Amended: Filed Jan. 30, 2007, effective July 30, 2007. Emergency amendment filed Sept. 24, 2009, effective Oct. 4, 2009, expired April 1, 2010. Amended: Filed Sept. 24, 2009, effective April 30, 2010. Emergency amendment filed July 26, 2010, effective Aug. 5, 2010, expired Feb. 24, 2011. Amended: Filed July 26, 2010, effective Jan. 30, 2011.*

**Original authority: 333.111, RSMo 1965, amended 1981, 1993, 1995 and 333.340, RSMo 2009.*

A Chair recommendation was made to table this item until the rest of the rules are complete.

[20 CSR 2120-2.105 Preneed Fees

(Rescinded January 30, 2011)

AUTHORITY: sections 333.111(2), RSMo Supp. 1993 and 436.071, RSMo 1986. This rule originally filed as 4 CSR 120-2.105. Emergency rule filed Aug. 5, 1982, effective Aug. 15, 1982, expired Nov. 15, 1982. Original rule filed Aug. 5, 1982, effective Nov. 11, 1982. Amended: Filed Sept. 6, 1988, effective Dec. 11, 1988. Moved to 20 CSR 2120-2.105, effective Aug. 28, 2006. Rescinded: Filed July

26, 2010, effective Jan. 30, 2011.]

This was for informational purposes only.

20 CSR 2120-2.110 Public Complaint Handling and Disposition Procedure

PURPOSE: This rule establishes a procedure for the receipt, handling and disposition of public complaints by the board, pursuant to the mandate of section 4.16(6) of the Omnibus State Reorganization Act of 1974, Appendix B, RSMo.

(1) The State Board of Embalmers and Funeral Directors shall receive and process each complaint made against any licensee, permit holder, registrant of the board or unlicensed individual or entity, which complaint alleges certain acts or practices which may constitute one (1) or more violations of the provisions of Chapter 333, RSMo. Any member of the public or the profession, or any federal, state or local officials may make and file a complaint with the board. Complaints shall be received from sources outside Missouri and processed in the same manner as those originating within Missouri. No member of the State Board of Embalmers and Funeral Directors shall file a complaint with this board while s/he holds that office, unless that member excuses him/herself from further board deliberations or activity concerning the matters alleged within that complaint. The executive director or any staff member of the board may file a complaint pursuant to this rule in the same manner as any member of the public.

(2) Complaints should be mailed or delivered to the following address: Executive Director, State Board of Embalmers and Funeral Directors, 3605 Missouri Boulevard, PO Box 423, Jefferson City, MO 65102-0423. However, actual receipt of the complaint by the board at its administrative offices in any manner shall be sufficient. Complaint may be made based upon personal knowledge, or upon information and belief, reciting information received from other sources.

(3) All complaints shall be made in writing and shall fully identify the complainant by name and address. Complaints shall be made on forms provided by the board and available upon request. Oral or telephone communications will not be considered or processed as complaints, but the person making these communications will be provided with a complaint form and requested to complete it and return it to the board in written form. Any member of the administrative staff of the board or any member of the board may make and file a complaint based upon information and belief, in reliance upon oral, telephone or written communications received by the board, unless those communications are believed by that staff member to be false.

(4) Each complaint received under this rule shall be logged in a book and/or database maintained by the board for that purpose. Complaints shall be logged in consecutive order as received. The logbook and/or database shall contain a record of each complainant's name and address; the name and address of the subject(s) of the complaint; the date each complaint is received by the board; a brief statement of the acts complained of, including the name of any person injured or victimized by the alleged acts or practices; a notation whether the complaint resulted in its dismissal by the board or informal charges being filed with the Administrative Hearing Commission; and the ultimate disposition of the complaint. This logbook and/or database shall be a closed record of the board.

(5) Each complaint received under this rule shall be acknowledged in writing. The acknowledgment shall state that the complaint is being referred to the board for consideration at its next regularly scheduled meeting. The complainant shall be informed as to whether the complaint is being investigated, and later, as to whether the complaint has been dismissed by the board, or is being referred to legal counsel for filing with the Administrative Hearing Commission. The complainant shall be notified of the ultimate disposition of the complaint, excluding judicial appeals and shall be provided with copies of the decisions (if any) of the Administrative Hearing Commission and the board at that time. Provided, that the provisions of this section shall not apply to complaints filed by staff members of the board based on information and belief, acting in reliance on third-party information received by the board.

(6) The chairman of the board, from time-to-time and as s/he deems necessary, may instruct the board inspector/investigator to investigate any complaint before the complaint has been considered at a regularly scheduled board meeting. The inspector/investigator shall provide a report of any actions taken to the board at its next regularly scheduled meeting.

(7) Both the complaint and any information obtained as a result of the investigation shall be considered a closed record and shall not be available for inspection by the general public. However, a copy of the complaint and any attachments shall be provided to any licensee who is the subject of that complaint, or his/her legal counsel, upon written request to the board.

(8) This rule shall not be deemed to limit the board's authority to file a complaint with the Administrative Hearing Commission charging a licensee of the board with any actionable conduct or violation, whether or not the complaint exceeds the scope of the acts charged in a preliminary public complaint filed with the board, and whether or not any public complaint has been filed with the board.

(9) The board interprets this rule, which is required by law, to exist for the benefit of those members of the public who submit complaints to the board and for those persons or entities within the legislative and executive branches of government having supervisory or other responsibilities or control over the professional licensing boards. This rule is not deemed to protect, or inure to the benefit of, those licensees or other persons against whom the board has instituted or may institute administrative or judicial proceeding concerning possible violations of the provisions of Chapter 333, RSMo.

AUTHORITY: sections 333.111, RSMo 2000 and 620.010.15(6), RSMo Supp. 2003. This rule originally filed as 4 CSR 120-2.110. Original rule filed Jan. 13, 1982, effective April 11, 1982. Amended: Filed Dec. 4, 1989, effective March 11, 1990. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.110, effective Aug. 28, 2006.*

**Original authority: 333.111, RSMo 1965, amended 1981, 1993 and 620.010, 1973 amended 1981, 1983, 1986, 1989, 1990, 1993, 1994, 1995, 1999, 2001.*

No changes were made.

20 CSR 2120-2.115 Procedures for Handling Complaints Against Board Members

PURPOSE: This rule establishes a procedure for the receipt, handling and disposition of complaints filed against members of the board.

(1) Complaints against members of the board will be handled in the same manner as complaints against other licensees with minor variations specifically described in this rule.

(2) When a complaint against a board member is received by the staff, the staff shall take steps to make sure that the complaint is on the proper form(s) (that is, the Uniform Complaint Form). Once the complaint is received in the proper form, the complaint will be mailed to all members of the board except the member who is the subject of the complaint. The member who is the subject of the complaint will automatically receive a summary of the complaint and will receive all agendas or other notices pertaining to when and where the complaint will be discussed. If the member who is the subject of the complaint requests additional information in writing, that information will be provided by the staff in consultation with the chairman (or vice-chairman, if the chairman is the subject of the complaint). In no event will the member who is the subject of the complaint be given information by the board or its staff which would reveal the name of the complainant, unless the member would have access if s/he were not a member of the board. If the board member learns the complainant's identity from the complainant, other board members and the staff subsequently may include the complainant's name in communication with the board member.

(3) At the meeting when the complaint is discussed, the board member who is the subject of the complaint shall not be present during discussion of the complaint unless by vote or consensus the remaining board members request the presence of the board member in question. The board member shall not participate in discussion of the complaint as a member of the board but shall participate in the same manner as any other licensee who is invited to appear before the board to discuss a complaint. The board member may be asked to leave the room at any time during the discussion. The board member shall leave the room prior to any vote which will determine the manner in which the complaint will be handled.

(4) After the remaining board members have completed voting on all action to be taken as a result of the complaint, the board member may return to the room. At that time, the board chairman (or vice-chairman, if the chairman is the subject of the complaint) will inform the board member of the action which the board has decided to take.

(5) If the board decides to have the complaint investigated, a copy of the investigative report will be mailed to all board members except the board member who is the subject of the complaint. The board member who is the subject of the complaint will be sent a copy of any notice or agenda which indicates that the investigative report will be discussed. The meeting at which the investigative report is discussed will follow the same procedures outlined in section (4) for the meeting at which the complaint is discussed.

(6) If the board chooses to take no further action as a result of the complaint or the investigative report, the board member will be informed of this decision. The board member will not subsequently have access to the investigative report or the complaint unless the member would have that access if s/he were not a member of the board.

(7) If the board chooses to refer the case to the attorney general's office, the board member will be informed of that fact. The board member will not be allowed access to the complaint or investigative report by virtue of his/her status as a board member. If the attorney assigned to the case chooses to release these documents to the board member or if the attorney is required for legal reasons to release these documents to the board member, the board member will be permitted access to the documents released by the attorney.

(8) The board member will not be present during any discussion of the case once the board has voted to refer the case to the attorney general's office. The exception to this rule will be only for prearranged formal meetings to discuss settlement, if the attorneys for both parties agree. The other board members will not discuss the case with the board member who is the subject of the case except at the formal meeting.

(9) The board member has the right to be represented by counsel at all formal or informal proceedings. Admissions made by the board member at or outside a board meeting may be used against the board member at hearing.

(10) The board member shall not have access to that portion of the minutes of any meeting which reflects discussion, motions or votes related to the complaint or case against the board member. These minutes shall be kept separately as special closed minutes and shall not be shared with the board member by the other board members or the staff unless the board member would be entitled to access to the minutes if s/he were not a board member.

(11) The provisions of sections (1)–(10) of this rule shall apply to any complaint against a Missouri licensed funeral establishment at which a member of the board is employed or with which a member of the board is associated.

(12) The provisions of sections (1)–(10) of this rule shall apply to any complaint against any preneed registrant by which a member of the board is employed or with which a member of the board is associated, including, but not limited to, a complaint against a preneed seller who sells for a Missouri licensed funeral establishment with which a member of the board is associated. A board member will be considered to be employed by or associated with a preneed registrant if the board member receives a salary or wages from the preneed registrant or if a board member has an ownership interest in a preneed registrant. However, these procedures shall not apply to a board member who only receives commissions from the preneed registrant. Each member of the board shall keep the board's executive director notified of the preneed registrants by which the board member is employed and with which the board member is associated.

(13) The remaining members of the board may vote to exclude a member from participating in any matter based upon a conflict of interest. The vote must be a majority vote of all of the members present and voting except the member who is the subject of the vote. Participation shall include, but not be limited to, receipt of materials, presence during discussion and voting.

AUTHORITY: section 333.111, RSMo 2000. This rule originally filed as 4 CSR 120-2.115. Original rule filed Dec. 4, 1989, effective March 11, 1990. Amended: Filed April 16, 1990, effective Nov. 30, 1990. Amended: Filed April 2, 1992, effective Sept. 6, 1992. Amended: Filed Dec. 31, 2003, effective July 30, 2004. Moved to 20 CSR 2120-2.115, effective Aug. 28, 2006.*

**Original authority: 333.111, RSMo 1965, amended 1981, 1993.*

A motion was made by John McCulloch and seconded by James Reinhard to treat Board members the same as any other licensee and allow them to respond to the complaint. Motion carried with Gary Fraker, James Reinhard, John McCulloch, Archie Camden and Martin Vernon voting in favor with no votes in opposition.

20 CSR 2120-2.120 Public Records

PURPOSE: This rule establishes standards of compliance with Chapter 610, RSMo, as it relates to public records of the State Board of Embalmers and Funeral Directors.

(1) All public records of the State Board of Embalmers and Funeral Directors shall be open for inspection and copying by the general public at the board's office during normal business hours, holidays excepted, except for those records closed pursuant to section 610.021, RSMo. All public meetings of the State Board of Embalmers and Funeral Directors, not closed pursuant to the provisions of section 610.021, RSMo, will be open to the public.

(2) The State Board of Embalmers and Funeral Directors establishes the executive director of the board as the custodian of its records as required by section 610.023, RSMo. The executive director is responsible for maintaining the board's records and for responding to requests for access to public records and may appoint deputy custodians as necessary for the efficient operation of the board.

(3) When the custodian believes that requested access is not required under Chapter 610, RSMo, the custodian shall inform the requesting party that compliance cannot be made, specifying what sections of Chapter 610, RSMo, require that the record remain closed. Correspondence or documentation of the denial shall be copied to the board's general counsel. The custodian also shall inform the requesting party that s/he may appeal directly to the board for access to the records requested. The appeal and all pertinent information shall be placed on the agenda for the board's next regularly scheduled meeting. If the board reverses the decision of the custodian, the board shall direct the custodian to advise the requesting party and supply access to the information during regular business hours at the requesting party's convenience.

(4) The custodian shall maintain a file that will contain copies of all written requests for access to records and responses to these requests. The requests shall be maintained on file with the board for a period of one (1) year and will be maintained as a public record of the board open for inspection by any member of the general public during regular business hours.

(5) Whenever a request for inspection of public records is made and the individual inspecting the records requests copies of the records, the board may charge a reasonable fee for the cost of inspecting and copying the records. The fee charged by the board shall be as follows:

(A) A fee for copying public records shall not exceed the actual cost of the document search and duplication; and

(B) The board may require payment for these fees prior to making the copies.

AUTHORITY: sections 333.111, 610.010–610.035 and 620.010.14, RSMo 2000. This rule originally filed as 4 CSR 120-2.120. Original rule filed Nov. 1, 2001, effective April 30, 2002. Moved to 20 CSR 2120-2.120, effective Aug. 28, 2006.*

*Original authority: 333.111, RSMo 1965, amended 1981, 1993, 1995; 610.010–610.035, see **Missouri Revised Statutes**; and 620.010, RSMo 1973, amended 1981, 1983, 1986, 1989, 1990, 1993, 1994, 1995, 1999, 2001.

No changes were made.

20 CSR 2120-2.130 Final Disposition as Defined in Chapter 193

PURPOSE: This rule explains that the use of the term "final disposition" in Chapter 333, RSMo, and sections 436.400 to 436.520, RSMo, shall be consistent with the definition of the term in Chapter 193, RSMo.

(1) For purposes of Chapter 333, RSMo, and sections 436.400 to 436.520, RSMo, final disposition shall be defined in accordance with the definition contained in section 193.015(3), RSMo.

(2) Use of the term final disposition in Chapter 333, RSMo, and sections 436.400 to 436.520, RSMo, shall be consistent with its use in Chapter 193, RSMo.

AUTHORITY: sections 193.015, 333.011(10), 333.340, 436.405, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 193.015, RSMo 1984, amended 2005; 333.011, RSMo 1965, amended 2007, 2008, 2009; 333.340, RSMo 2009; 436.405, RSMo 2009; and 436.520, RSMo 2009.*

No Changes were made.

20 CSR 2120-2.140 Financial Welfare Cause for Injunction

PURPOSE: This rule states that serious danger to an individual's financial welfare is cause for the State Board of Embalmers and Funeral Directors to seek an injunction pursuant to section 333.335.1(2), RSMo.

(1) For purposes of section 333.335, RSMo, the Missouri State Board of Embalmers and Funeral Directors shall be entitled to seek injunctive relief against any person from engaging in any business or practice authorized by a registration or authority, permit, or license issued under this chapter in a manner that presents a substantial probability of serious danger to the health, safety, or welfare of any resident of this state or client or customer of the licensee or registrant.

(2) For purposes of section 333.335, RSMo, serious danger to the welfare of any resident or client or customer shall include, but is not limited to, the financial welfare of the resident, client, or customer.

AUTHORITY: sections 333.335, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.335, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

No Changes were made.

20 CSR 2120-2.150 Payment Not Determining Factor of Practice of Funeral Directing

PURPOSE: This rule explains that the receipt of payment for providing funeral services is not the determining factor in identifying the practice of funeral directing.

(1) In determining whether a person, pursuant to section 333.011(6), RSMo, is engaging in the practice of funeral directing pursuant to section 333.011(8), RSMo, the board shall consider all activities listed in section 333.011(8), RSMo.

(2) Receipt of payment by any person for any or all services provided pursuant to this chapter or Chapter 436, RSMo, shall not be the determining factor in determining whether the person is engaging in the practice of funeral directing.

AUTHORITY: sections 333.011(8), 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.011, RSMo 1965, amended 2007, 2008, 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

No Changes were made.

20 CSR 2120-2.160 Cemetery Exception

PURPOSE: This rule describes the exception to preneed contract requirements under sections 436.400 to 436.520, RSMo for endowed care cemeteries.

(1) Pursuant to section 333.310, RSMo, a cemetery shall be exempt from the licensure requirements of sections 333.315 and 333.320, RSMo when all of the following conditions are satisfied—

(A) The cemetery has a current and valid license issued pursuant to section 214.275, RSMo;

(B) All sales of merchandise made by the cemetery that would otherwise be defined as a preneed contract for funeral merchandise are made pursuant to a contract whereby such merchandise is either:

1. Purchased in conjunction with an interment right or grave space subject to section 214.320, RSMo; or

2. Made to be delivered to an interment right or grave subject to section 214.320, RSMo that is owned by the purchaser and identified in the contract.

(C) The cemetery has not been found to be in non-compliance with sections 214.385 or 214.387, RSMo by the Office of Endowed Care Cemeteries pursuant to a completed

examination or audit or per decision of the Administrative Hearing Commission or any court; and (D) The cemetery does not offer ~~for sale caskets or~~ funeral services that may only be provided by a Missouri licensed funeral director or embalmer.

A motion was made by John McCulloch and seconded by Archie Camden to approve. Motion carried with Gary Fraker, John McCulloch, James Reinhard, Martin Vernon and Archie Camden voting in favor with no votes in opposition.

20 CSR 2120-3.010 Preneed Seller Registration

PURPOSE: Under Chapter 436, RSMo, the State Board of Embalmers and Funeral Directors is directed to register persons as preneed sellers. Under section 333.111.1., RSMo, the State Board of Embalmers and Funeral Directors is directed to promulgate rules. . . “for the transaction of its business. . .” This rule complies with the statutory directive that the board promulgate rules for the transaction of its business in registering persons as preneed sellers.

(1) Whenever used in this rule, the word person means any individual, partnership, corporation, cooperative, association or other entity.

(2) Applications for registration as preneed sellers must be made on the forms provided by the board and must be accompanied by the applicable preneed seller registration fee.

(3) The board office will contact persons who have submitted applications for registration as preneed sellers whenever it appears that a slight change or modification on the form is necessary to accomplish registration. No such change or modification will be made without the consent of the person submitting the application. If telephone contact is impossible, the application form and the tendered seller registration fee will be returned to the applicant with instructions for completing the form properly.

(4) The board office will accept seller registration applications even though certain information is not provided, if the application is accompanied by a statement that the information will be provided as soon as it is known to the applicant. If the information is not provided in a timely fashion, the registration will be cancelled.

(5) Each person seeking registration as a preneed seller will be required to submit a partial annual report at the time of registration, containing—1) the name and address of the financial institution in Missouri in which it will maintain the trust accounts required under Chapter 436, RSMo and the account numbers of those trust accounts, 2) a consent authorizing the state board to order an examination and, if necessary, an audit by the staff of the Division of Professional Registration who are not connected with the state board, of the trust account designated by depository and account number and 3) a consent authorizing the state board to order an examination and, if necessary, an audit by the staff of the Division of Professional Registration who are not connected with the state board, of its books and records relating to the sale of preneed contracts and name and address of the person designated by the seller as custodian of those books and records.

(6) The board will acknowledge receipt of each application for registration as a preneed seller if the application is completed properly and is accompanied by the preneed registration fee. A registration number will be assigned.

(7) Application forms for registration as preneed contract sellers will be provided to any person upon request.

AUTHORITY: section 333.111.1, RSMo 1986. This rule originally filed as 4 CSR 120-3.010. Original rule filed Jan. 7, 1983, effective June 11, 1983. Moved to 20 CSR 2120-3.010, effective Aug. 28, 2006.*

**Original authority: 333.111.1, RSMo 1965, amended 1981.]*

No changes were made

20 CSR 2120-3.010 Preneed Seller License

(1) Applications for licensure as a Preneed Seller must be made on the forms provided by the board and must be accompanied by the applicable preneed seller licensure fee, and a Missouri Highway Patrol fee for each person that is an officer or who has at least a 10% interest in the business.

(2) Each application for licensure will expire two (2) years after the receipt

A motion was made by Gary Fraker and seconded by James Reinhard to have staff review for completeness and return to Board. Motion carried with Gary Fraker, John McCulloch, James Reinhard, Martin Vernon and Archie Camden voting in favor with no votes in opposition.

20 CSR 2120-3.020 Preneed Provider Registration

PURPOSE: Under Chapter 436, RSMo, the State Board of Embalmers and Funeral Directors is directed to register persons as preneed providers. Under section 333.111.1., RSMo, the State Board of Embalmers and Funeral Directors is directed to promulgate rules. . . “for the transaction of its business. . .” This rule complies with the statutory directive that the board promulgate rules for the transaction of its business in registering persons as preneed providers.

(1) Whenever used in this rule, the word person means any individual, partnership, corporation, cooperative, association or other entity.

(2) Applications for registration as preneed providers must be made on the forms provided by the board and must be accompanied by the applicable preneed provider registration fee.

(3) The board office will contact persons who have submitted applications for registration as preneed providers whenever it appears that a slight change or modification on the form is necessary to accomplish registration. No such change or modification will be made without the consent of the person submitting the application. If telephone contact is impossible, the application form and the tendered provider registration fee will be returned to the applicant with instructions for completing the form properly.

(4) The board office will accept provider registration applications even though certain information is not provided, if the application is accompanied by a statement that the information will be provided as soon as it is known to the applicant. If the information is not provided in a timely fashion, the provider registration will be cancelled.

(5) Each establishment which is licensed separately by the state board as a funeral establishment must register separately as a preneed provider, if the establishment will perform or agree to perform the obligations of, or be designated as, the provider under a preneed contract. Nothing in this rule will require registration of funeral establishments as preneed providers if the establishment will not perform or agree to perform the obligations of, or be designated as, the provider under a preneed contract.

(6) The board will acknowledge receipt of each application for registration as a preneed provider, if the application is completed properly and is accompanied by the preneed provider registration fee. A registration number will be assigned.

(7) Application forms for registration as preneed providers will be provided to any person upon request.

AUTHORITY: section 333.111.1, RSMo 1986. This rule originally filed as 4 CSR 120-3.020. Original rule filed Jan. 7, 1983, effective June 11, 1983. Moved to 20 CSR 2120-3.020, effective Aug. 28, 2006.*

**Original authority: 333.111.1, RSMo 1965, amended 1981.]*

No changes were made

20 CSR 2120-3.020 Preneed Provider License

Applications for licensure as preneed providers must be made on the forms provided by the board and must be accompanied by the applicable preneed provider licensure fee and a Missouri Highway Patrol fee for each person that is an officer or who has at least a 10% interest in the business.

A motion was made by James Reinhard and seconded by Gary Fraker to approve adding a 2 year expiration to the recommended rule and to have staff review for completeness and return to Board. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

20 CSR 2120-3.030 Notification of Intent to Sell Assets or Cease Doing Business (Seller or Provider)

PURPOSE: Under Chapter 436, RSMo, the State Board of Embalmers and Funeral Directors is directed to accept notification of intent to sell assets or cease doing business from persons registered as preneed sellers or preneed providers, or both. Under section 333.111.1., RSMo, the State Board of Embalmers and Funeral Directors is directed to promulgate rules. . . “for the transaction of its business. . .” This rule complies with the statutory directive that the board promulgate rules for the transaction of its business in accepting notifications of intent to sell assets or cease doing business from registered preneed sellers or providers, or both.

(1) Whenever used in this rule, the word person means any individual, partnership, corporation, cooperative, association or other entity.

(2) Notification of intent to sell assets or cease doing business must be made on the forms provided by the board.

(3) As part of the notification, each registered seller must inform the board of the actions it has taken or will take to ensure that the trust assets of the seller will be set aside and used to serve outstanding preneed contracts sold by the seller and each registered provider must inform the board of the actions it has taken or will take to ensure that the provider's obligations under preneed contracts will be satisfied.

(4) In its discretion, the board may take reasonable and necessary actions to ensure that the provider's obligations under preneed contracts will be satisfied or that the trust assets of the seller will be set aside and used to service outstanding preneed contracts sold by the seller.

(5) Failure of the board to take action regarding any sale or termination of business within thirty (30) days of receipt of notification for providers and within sixty (60) days of receipt of notification for sellers will constitute a waiver of the board's authority under Chapter 436, RSMo.

(6) Forms for submitting notifications of intent to sell assets or cease doing business will be provided upon request.

AUTHORITY: section 333.111.1, RSMo 1986. This rule originally filed as 4 CSR 120-3.030. Original rule filed Jan. 7, 1983, effective June 11, 1983. Moved to 20 CSR 2120-3.030, effective Aug. 28, 2006.*

**Original authority: 333.111.1, RSMo 1965, amended 1981].*

No changes were made

20 CSR 2120-3.030 Notification of Intent to Sell Assets or Cease Doing Business (Seller or Provider)

(1) Notification of intent to sell assets or cease doing business must be made on the forms provided by the board.

(2) As part of the notification, each licensed seller must inform the board of the actions it has taken or will take to ensure that the trust assets of the seller will be set aside and used to serve outstanding preneed contracts sold by the seller and each licensed provider must inform the board of the actions it has taken or will take to ensure that the provider's obligations under preneed contracts will be satisfied.

A motion was made by John McCulloch and seconded by Archie Camden to approve. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

20 CSR 2120-3.105 Filing of Annual Reports

PURPOSE: This rule prescribes the board's process for the filing of annual reports under the revised sections of Chapters 333 and 436, RSMo.

(1) For sellers:

(A) For the annual report due on October 31, 2009, sellers registered with the board prior to August 28, 2009, in lieu of filing the annual report required by section 436.460, RSMo, may file an annual

report, on the form provided by the board, containing all the information required by section 436.021.2, RSMo 2000. This report shall report all preneed contracts executed since the reporting period the seller reported in its report due on October 31, 2008, through August 27, 2009. This annual report shall be accompanied by a fee of two dollars (\$2) per preneed contract sold for the reporting period; and

(B) For the annual report due on October 31, 2010, sellers shall report all contracts executed from August 28, 2009, through August 31, 2010. Thereafter, the annual report shall report all contracts sold between September 1 of the year preceding the annual report through August 31 of the reporting year. Each annual report filed for reporting years ending October 31, 2010, and thereafter shall also be accompanied by the annual fee as established in 20 CSR 2120-2.100.

(2) For providers:

(A) For the annual report due as set out below, providers shall file an annual report as provided by section 333.315.3(4), RSMo, covering the reporting period as set out below:

1. For report due October 31, 2009, the reporting period shall be from the date of the provider's last annual report though August 27, 2009. No annual fee shall be required for this reporting period;

2. For report due October 31, 2010, the reporting period shall be August 28, 2009, through August 31, 2010, and accompanied by the renewal fee in 20 CSR 2120-2.100; and

3. For reports due successive years, reporting period shall be September 1 through August 31 and shall be accompanied by the renewal fee established in 20 CSR 2120-2.100.

No Changes were made.

Preneed Seller Failure to Renew

(1)Each preneed seller shall file a completed renewal on or by October 31st each year, if the license is not renewed by this date the license shall expire.

(2)Each preneed seller shall file a completed annual report by October 31st each year, if this is not filed the license shall be automatically suspended until the time the completed annual report is filed and all applicable fees have been paid.

(A) If the license is suspended the applicant must file the annual report and renewal and pay the delinquent fee established by the board before the license is issued.

(B) If the applicant fails to file the renewal from every reporting period and pay the delinquent fee within two (2) years from date of expiration the license shall become void and the applicant will have to reapply.

(3)If the license is not current you are not allowed to act as a preneed seller in any capacity, such as maintain an active trust account.

Preneed Provider Failure to Renew:

(1)Each preneed provider shall file a completed annual report on or by October 31st each year, if the license is not renewed by this date the license shall expire.

(A) If the license expires the applicant must file the annual report pay the delinquent fee established by the board before the license is issued.

(B)If the applicant fails to file the annual report from every reporting period and pay the delinquent fee within two (2) years from date of expiration the license shall become void and the applicant will have to reapply.

AUTHORITY: sections 333.315, 333.320, 333.340, 436.460, and 436.520, RSMo Supp. 2009. Emergency rule filed Sept. 24, 2009, effective Oct. 4, 2009, expired April 1, 2010. Original rule filed Sept. 24, 2009, effective April 30, 2010.*

**Original authority: 333.315, RSMo 2009; 333.320, RSMo 2009; 333.340, RSMo 2009; 436.460, RSMo 2009; and 436.520, RSMo 2009.*

A motion was made by James Reinhard to accept the proposal. Motion withdrawn.

A motion was made by James Reinhard and seconded by Gary Fraker to accept as written with addition of adding (3) to the last paragraph of "preneed seller failure to renew" and inserting the same paragraph to "preneed provider failure to renew." Motion carried with James Reinhard, Gary Fraker, Martin Vernon and Archie Camden voting in favor. John McCulloch voted in opposition.

20 CSR 2120-3.115 Contact Information

PURPOSE: This rule details the requirements for preneed providers, sellers, and agents for providing the board with current contact information.

(1) Preneed providers, sellers, and agents shall keep the board notified of their current address, telephone number, facsimile number, and email address, as applicable, at all times.

(2) Preneed providers, sellers, and agents shall notify the board within thirty (30) days of any such change by submitting written notice with the new information. The written notice shall comply with the board's rules regarding written notice.

AUTHORITY: sections 333.320, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.320, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

No changes were made

Preneed Contract Requirements-Authority: 436.425, RSMo.

1. Each preneed seller shall sequentially number its preneed contracts.
2. Sequentially numbered means contracts shall be numbered in a consecutive series.
3. A preneed seller may number the contracts in one series or may use more than one series of numbers to identify contracts sold by particular agents or with particular providers or similar designations.
4. Numbers on contracts that are not part of a series assigned by the preneed seller shall not satisfy the requirement of sequentially numbered preneed contracts. Examples of unacceptable numbering include using the number the bank assigns to a joint account, an insurance company assigns to an insurance policy or a randomly generated number placed on the contract.

The preneed seller must be able to account and provide to the Board, upon request, a record showing all numbers used for preneed contracts by the seller and whether each numbered contract

was used for a completed contract or was voided, for whatever reason. This record requirement can be met by maintenance of a database or similar computer record showing each number used in the series and whether that number is for a completed contract or a voided contract or the record can be maintained by a written log book, register or other means that records each number within the preneed contract number series and whether that contract was used for a completed contract or voided.

A motion was made by Gary Fraker and seconded by John McCulloch to approve. Motion carried with Gary Fraker, John McCulloch, James Reinhard and Archie Camden voting in favor. Martin Vernon voted in opposition.

20 CSR 2120-3.120 Display of License

PURPOSE: This rule states that preneed sellers, providers, and preneed agents must prominently display their license or registration to practice issued by the Missouri State Board of Embalmers and Funeral Directors.

(1) All licenses or registrations, and any and all duplicate copies thereof, issued by the Missouri State Board of Embalmers and Funeral Directors shall be prominently displayed at all times in a conspicuous location or manner easily accessible to the public for each office or place of business of the licensee or registrant.

(2) All licenses or registrations shall be available at all times for inspection by any duly authorized agent of the Missouri State Board of Embalmers and Funeral Directors.

(3) The Missouri State Board of Embalmers and Funeral Directors may cause a complaint to be filed with the Administrative Hearing Commission pursuant to section 333.330, RSMo, for failure of a licensee or registrant to display his or her license or registration as required by section 333.091, RSMo, and this regulation.

AUTHORITY: sections 333.330, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.330, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

No Changes were made.

20 CSR 2120-3.125 Corporate Ownership of a Licensee

PURPOSE: This rule prescribes the requirements regarding corporation applications for a preneed provider or seller's license.

(1) A corporate applicant for either a seller or provider license shall certify to the board that each of its officers, directors, managers, and controlling shareholders would be eligible for licensure under section 333.330, RSMo, if he or she applied for licensure as an individual.

(2) In any proceeding, the applicant shall have the burden to demonstrate to the board that its officers, directors, managers, and controlling shareholders would be eligible for licensure under section 333.330, RSMo.

AUTHORITY: sections 333.315, 333.320, 333.340, and 436.456, RSMo Supp. 2009. Emergency rule filed Sept. 24, 2009, effective Oct. 4, 2009, expired April 1, 2010. Original rule filed Sept. 24, 2009, effective April 30, 2010.*

**Original authority: 333.315, RSMo 2009; 333.320, RSMo 2009; 333.340, RSMo 2009; and 436.456, RSMo 2009.*

No changes were made.

20 CSR 2120-3.200 Seller Obligations

PURPOSE: This rule clarifies the duties of the seller of a preneed contract.

(1) Except as otherwise provided in sections 436.400 to 436.520, RSMo, and any rules validly promulgated pursuant to those sections—

(A) The seller shall be obligated to collect and properly deposit and disburse all payments made by, or on behalf of, a purchaser of a preneed contract;

(B) A purchaser may make payments on any preneed contract by making the payment directly to the trustee, the insurance company, or the financial institution where the joint account is held, as applicable, in lieu of paying the seller; and

(C) All sellers shall designate an individual to serve as manager in charge of the seller's business. This individual shall either reside or work within the state of Missouri. The seller shall designate the manager in charge in its initial application for licensure. If the manager in charge changes, the seller shall provide written notice to the board within thirty (30) days of the change.

AUTHORITY: sections 333.340, 436.415, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.340, RSMo 2009; 436.415, RSMo 2009; and 436.520, RSMo 2009.*

No Changes were made.

20 CSR 2120-3.300 Provider Includes Funeral Establishment

PURPOSE: This rule establishes that a provider in a preneed contract includes, but is not limited to, a funeral establishment that has agreed to undertake the obligations of a preneed contract under sections 436.400 to 436.520, RSMo.

(1) As defined by section 333.011(10), RSMo, the provider of services under any preneed contract pursuant to sections 436.400 to 436.520, RSMo, shall include any licensed funeral establishment that has agreed to undertake the obligations of a preneed contract pursuant to sections 436.400 to 436.520, RSMo.

(2) Any provider who is a licensed funeral establishment who has agreed to undertake the obligations of a preneed contract pursuant to sections 436.400 to 436.520, RSMo, must meet all requirements of both a licensed funeral establishment and a preneed provider pursuant to Chapter 333, RSMo, and sections 436.400 to 436.520, RSMo.

AUTHORITY: sections 333.011(10), 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.011, RSMo 1965, amended 2007, 2008, 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

No changes were made.

20 CSR 2120-3.305 Funeral Director Agent Registration

PURPOSE: This rule establishes the reporting requirement for any funeral directors serving as preneed agents.

(1) Any funeral director acting as a preneed agent shall report the name and address of each preneed seller for whom the funeral director is authorized to sell, negotiate, or solicit preneed contracts to the board on a form prescribed by the board.

(2) Any funeral director shall also identify himself or herself as acting as a preneed agent on his or her biennial report form to the board by checking the appropriate box on the form prescribed by the board.

AUTHORITY: sections 333.325.4, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

No Changes were made.

Preneed Agent Application:

Any individual who desires to be registered as a preneed agent shall:

- a. Make application with the board on the forms provided by the board and pay applicable fees**
- b. Pass the Missouri Law exam with a score of 75% or greater.**

Each application for registration will expire two (2) years after the receipt of payment unless the registration has been issued.

Preneed Agent Funeral Director:

Any individual that is currently licensed by the board as a funeral director and desires to be registered as a preneed agent shall:

- a. Make application with the board on the forms provided by the board.**

Each application for registration will expire two (2) years after the receipt of payment unless the registration has been issued.

Preneed Agent Renewal:

- (1) Each preneed agent shall file a renewal on or by December 31st each year on the forms provided by the board.**

- (2) The renewal shall contain:**

- a. The current address, telephone number and email address of the applicant;**

- b. The name of the Missouri licensed preneed seller they are currently working for, this section must be completed or the renewal will not be processed.
- (3) If the renewal is not filed by December 31st the registration shall expire. If the applicant wishes to reinstate the registration they shall:
- a. File the reinstatement application
 - b. Pay the renewal fee and the delinquent fee

Preneed Agent/Funeral Director Renewal:

- (1) Each preneed agent/funeral director shall file a renewal on or by December 31st each year on the forms provided by the board.
- (2) The renewal shall contain:
- a. The current address, telephone number and email address of the applicant;
 - b. The name of the Missouri licensed preneed seller they are currently working for, this section must be completed or the renewal will not be processed.
- If the applicant fails to reinstate the registration within two (2) years the registration shall become void.
- (3) If the renewal is not filed by December 31st the registration shall expire. If the applicant wishes to reinstate the registration they shall:
- a. File the reinstatement application
 - b. Pay the delinquent fee

If the applicant fails to reinstate the registration within two (2) years the registration shall become void.

*Original authority: 333.325, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.

A motion was made by Gary Fraker and seconded by James Reinhard to approve with changing two years to one year throughout. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

20 CSR 2120-3.310 Change in Seller Affiliation

PURPOSE: This rule explains the provider's obligation for a change in seller affiliation under a preneed contract.

(1) After initial application, if there is a change in seller affiliation, the provider shall provide written notice to the board, pursuant to section 436.420.3, RSMo, that the provider has authorized a new seller to designate the provider on the seller's preneed contracts. This notice shall be provided to the board within fifteen (15) days after the provider authorizes the seller to act, and the notice shall contain, at least:

- (A) Name and address of the provider;
- (B) License number of the provider;
- (C) Name and address of the seller; and
- (D) Effective date of the authorization or agreement.

(2) This notice may be provided to the board electronically, but the original signed document shall be provided to the board by mail or hand delivery.

AUTHORITY: sections 333.325.4, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.325, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.400 Preneed Agents—Requirements of Agent's Seller

PURPOSE: This rule explains that any licensed preneed agent in the state of Missouri must be selling preneed contracts on behalf of a seller who is licensed in the state of Missouri.

(1) Any preneed agent registered by the Missouri State Board of Embalmers and Funeral Directors to sell a preneed contract for or on behalf of a seller must be the agent of a seller who is licensed to sell preneed contracts by the State Board of Embalmers and Funeral Directors.

AUTHORITY: sections 333.011(9), 333.320, 333.325, 333.340, and 436.520, RSMo Supp. 2009.
Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.011, RSMo 1965, amended 2007, 2008, 2009; 333.320, RSMo 2009; 333.325, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.405 Preneed Agents—Missouri Law Exam

PURPOSE: This rule prescribes the process for certifying preneed seller agents to take the Missouri Law exam as a requirement for registration.

(1) All preneed agents registering with the board shall achieve a grade of seventy-five percent (75%) or greater on the Missouri Law exam.

(2) Successful completion of the Missouri Law exam shall be a prerequisite to registration.

(3) This exam may be taken any time after filing the Notice of Intent to Apply.

(4) Preneed agent applicants must successfully complete the Missouri Law exam on or before March 31, 2010, prior to the expiration of the Notice of Intent to Apply.

(5) The Missouri Law exam covers the following:

- (A) Knowledge of Chapter 333, RSMo;
- (B) Rules governing the practice of em-balming, funeral directing, and funeral home licensing along with government benefits, statutes, and rules governing the care, custody, shelter, disposition, and transportation of dead human bodies;
- (C) Knowledge of sections 436.400 to 436.520, RSMo, relating to preneed statutes;
- (D) Knowledge of Chapters 193 and 194, RSMo, relating to the Missouri Department of Health and Senior Services statutes; and
- (E) Questions regarding Federal Trade Commission rules and regulations and Occupational Safety and Health Administration (OSHA) requirements as they apply to Missouri licensees.

(6) Notification of intent to take this examination shall be received by the board at least fifteen (15) working days prior to the date the candidate plans to sit for the examination.

AUTHORITY: sections 333.325.5 and 333.340, RSMo Supp. 2009. Emergency rule filed Sept. 24, 2009, effective Oct. 4, 2009, terminated Nov. 2, 2009. Emergency rule filed Oct. 23, 2009, effective Nov. 2, 2009, expired April 1, 2010. Original rule filed Sept. 24, 2009, effective April 30, 2010.*

**Original authority: 333.325, RSMo 2009 and 333.340, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.410 Preneed Agent's Seller Must Be Licensed

PURPOSE: This rule explains that any licensed preneed agent in the state of Missouri must be selling preneed contracts on behalf of a seller who is licensed in the state of Missouri.

(1) Any preneed agent registered by the Missouri State Board of Embalmers and Funeral Directors to sell a preneed contract for or on behalf of a seller must be the agent of a seller who is licensed to sell preneed contracts by the Missouri State Board of Embalmers and Funeral Directors.

AUTHORITY: sections 333.011(9), 333.320, 333.325, 333.340, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.011, RSMo 1965, amended 2007, 2008, 2009; 333.320, RSMo 2009; 333.325, RSMo 2009; 333.340, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.505 Types of Financing; Other Financing Still Preneed

PURPOSE: This rule identifies the acceptable funding mechanisms for preneed contracts.

(1) Preneed contracts shall only be funded by:

- (A) A preneed trust as defined by section 436.405.1(8), RSMo;
- (B) An insurance policy or single premium annuity contract as defined by section 436.405.1(3), RSMo; or
- (C) A joint account as defined by section 436.405.1(4), RSMo.

(2) Preneed contracts funded by any other mechanism shall be non-compliant with the requirements of sections 436.400 to 436.520, RSMo. All non-compliant preneed contracts shall still be subject to regulation by the board under sections 436.400 to 436.520, RSMo.

AUTHORITY: sections 333.340, 436.405, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.340, RSMo 2009; 436.405, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.515 Single Premium Annuity Contracts

PURPOSE: This rule states that while only single premium annuity contracts can fund an insurance-funded preneed contract, purchasers may purchase replacement single premium annuity contracts during the contract period.

(1) An insurance-funded preneed contract may be funded by an insurance policy or a single premium annuity contract.

(2) An insurance-funded preneed contract may not be funded by an annuity other than a single premium annuity contract.

(3) If a purchaser funds an insurance-funded preneed contract with a single premium annuity contract, the purchaser may replace the single premium annuity contract with another single premium annuity contract at any time in the duration of the preneed contract.

(4) Any replacement single premium annuity contract must meet all the requirements of the initial annuity contract, Chapter 333, RSMo, and sections 436.400 to 436.520, RSMo, and any other requirements under state or federal law.

AUTHORITY: sections 333.340, 436.405, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.340, RSMo 2009; 436.405, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.525 Independent Financial Advisor is Agent of Trustee

PURPOSE: This rule clarifies that an independent financial advisor is an agent of the trustee in a trust-funded preneed contract.

(1) An independent financial advisor, as provided in section 436.440.6, RSMo, is an agent, as provided in section 436.440, RSMo, of the trustee.

AUTHORITY: sections 333.340, 436.440.6, and 436.520, RSMo Supp. 2009. Emergency rule filed Dec. 4, 2009, effective Dec. 14, 2009, expired June 11, 2010. Original rule filed Dec. 4, 2009, effective June 30, 2010.*

**Original authority: 333.340, RSMo 2009; 436.440, RSMo 2009; and 436.520, RSMo 2009.*

This item was tabled for the Board to review further.

20 CSR 2120-3.205 Mandatory Consumer Disclosures

PURPOSE: This rule sets forth the mandatory consumer disclosures that must be provided to each purchaser of a preneed contract.

(1) Each purchaser of a preneed contract shall be provided the following written mandatory consumer disclosures at or before the time the consumer signs the contract unless otherwise provided by rule:

(A) This Contract is a Legally Binding Document

1. Before you sign this contract, you should read it and make sure you understand all terms and conditions. You may wish to consult with your legal counsel before you sign this contract.

(B) Right to Receive a Copy of this Contract

1. You have a right to receive a copy of this contract and any accompanying documents related to this contract such as any life insurance policies or evidence of a joint account.

(C) Right to Change Providers

1. The law gives you the right to change the provider named in this contract. The provider is the funeral home or other service provider who will provide the goods and services at the time of your death. If you want to change providers, you must provide both the seller and provider named in this contract with written notice that you wish to change providers and you must include the name and address of who you want to be your new provider. You may NOT be billed for any additional fees or charges to change providers. A change in providers requires the agreement of the new provider and may require a new preneed contract. Your seller and provider can help you determine whether a new contract is required or not.

(D) Qualifying for Public Assistance

1. If you decide to seek qualification to receive Medicaid or other public assistance, you may sign an agreement to make this contract irrevocable at any time. Even if you have agreed to make this irrevocable as part of your qualification for public assistance, you still may change providers at any time and make changes to the goods and services at any time. However, you cannot cancel this contract and cannot receive any refund.

(E) Your Right to Cancel this Contract

1. You have a right to cancel this contract at any time before your death. If you cancel this contract, you may not be entitled to receive all funds paid on this contract. If you want to cancel this contract, you must give the seller named in this contract written notice that you wish to cancel this contract.

2. If your contract is funded with a joint account, you must also provide written notice to the financial institution where your account is held. The financial institution must give you the principal in the account within fifteen (15) days of your request. Interest will be distributed as provided in this contract.

3. If your contract is funded with an insurance policy, canceling the contract will NOT cancel the insurance policy. You must follow the policies of the insurance companies to cancel the insurance policy. If you cancel the insurance policy, you will receive only the cash surrender value of the policy which may be less than what you have paid into the policy.

4. If your contract is funded with a trust, you must also provide written notice to the trustee. The trustee shall then distribute all funds held on your behalf in the trust within fifteen (15) days.

(F) Seller's Right to Cancel This Contract

1. The seller may cancel this contract if you fail to make any installment payment within sixty (60) days of when it is due. Before the seller can cancel the contract, the seller must provide you with written notice of the intent to cancel the contract and you may bring your account current within thirty (30) days of notice. If you don't pay the balance within thirty (30) days, then the seller can provide the funds to the provider at the time of death to be credited towards your funeral services or the seller can cancel the contract and will refund you eighty-five percent (85%) of your contract payments made.

(G) What Happens if I Die Before My Contract is Paid in Full?

1. If you die before the contract is paid in full, your survivors have the option in trust funded or joint account funded contracts to pay the balance due on the contract and receive all goods and services that have been price guaranteed. If the balance is not paid, the amount paid on your contract will be applied to the price of your funeral based on the provider's current prices.

2. If your preneed contract is funded through an insurance policy, you should consult your insurance policy.

AUTHORITY: sections 333.340, 436.425, 436.456, 436.457 and 436.520, as amended by Senate Bill 1 (2009)

This item was tabled for the Board to review further.

20 CSR 2120-3.520 Trustee Investment Restrictions

PURPOSE: This rule identifies the investment restrictions of a trustee under a trust funded preneed contract.

(1) A trustee shall not make decisions to invest any trust fund under a preneed contract with those individuals or entities contained in sections 436.445(1) through (4), RSMo, who are, or may be, related to that preneed contract or trust;

(2) The trustee investment restrictions contained in sections 436.445(1) through (4), RSMo, shall not apply to those individuals or entities related to other preneed contracts or trusts.

AUTHORITY: sections 333.340 and 436.445, as amended by Senate Bill 1, 95th General Assembly First Regular Session (2009).

A motion was made by John McCulloch and seconded by James Reinhard to remove this proposed rule. Motion carried with Gary Fraker, John McCulloch, James Reinhard and Archie Camden voting in favor. Martin Vernon was absent from the vote.

Proposed rule

Authority: Sections 333.041, 333.042, 333.051, 333.061, 333.081, 333.320, 333.325, 333.315 and 436.460, RSMo

Purpose: Various provisions of Chapters 333 and 436 require that certain reports, applications and renewals be filed with the Board. This rule clarifies when a document shall be deemed to have been filed with the Board.

- 1. When any provision of Chapter 333 or 436, RSMo, provides for an application, a renewal, annual report or other request for authority to be filed with the Board before that license, permit, registration, or other authorization will be issued by the Board, the renewal, application, annual report or other request for authority must be deemed filed in accordance with this rule.**
- 2. No annual report, application for licensure, registration, permit, or other request for authorization or renewal for any license, registration, permit or other request for authorization shall be deemed to be "filed" with the Board until such time as such**

application, renewal, request, or annual report has been accepted by the Board as complete.

3. To be deemed complete, at a minimum, an annual report, application, renewal or other request for authority shall be signed and notarized and all applicable sections completed with any and all attachments as requested by the Board and any applicable fees have been tendered and paid to the Board.
4. Whether an annual report, application, request for authority or renewal shall be deemed complete and “filed”, shall be at the sole discretion of the Board.

A motion was made by James Reinhard and seconded by Archie Camden to approve. Motion carried with Gary Fraker and John McCulloch voting in favor. Martin Vernon was absent from the vote.

What constitutes a pre-need contract

A preneed contract is any contract or other arrangement that provides for the final disposition of a dead human body, funeral or burial services or facilities or funeral merchandise where such disposition, services, facilities or merchandise are not immediately required.

1. A preneed contract is formed whenever a preneed seller, a preneed provider, cemetery, funeral establishment or any other person receives any consideration in any form to be used as payment for the provision of goods, services, facilities or funeral merchandise related to the final disposition of a dead human body when those goods and/or services are not immediately required.
2. A preneed contract is formed when any entity receives an assignment of life insurance policy or is named as the beneficiary on a life insurance policy when the entity agrees, either in writing or by other agreement of the parties, to use those proceeds to pay for provision of goods, services, facilities or funeral merchandise related to the final disposition of a human body when those goods and/or services are not immediately required.
3. A preneed contract is formed when any entity receives an assignment of any type of financial account or is named as the beneficiary or pay on death or transfer on death on any type of financial account when the entity agrees, either in writing or by other agreement of the parties, to use those proceeds to pay for provision of goods, services, facilities or funeral merchandise related to the final disposition of a human body when those goods and/or services are not immediately required.
4. No preneed contract is formed if an entity is named as the beneficiary or assignee of any life insurance policy or financial account if the entity had no knowledge and no reason to have knowledge of its status on the policy or account prior to the time of death of the customer.
5. No preneed contract is formed if a customer selects all goods, services, facilities and funeral merchandise for the customer’s funeral if the customer provides no form of payment any person or entity as a pre-payment.
6. A new preneed contract is formed if a new seller assumes or accepts transfer of funds from a previous seller and the new seller writes a new preneed contract with the consumer.
7. If a person or entity enters into a preneed contract by accepting as payment an assignment of a life insurance policy, designation as a beneficiary on a life insurance policy, transfer or pay on death designation on a financial account, that person or entity must be licensed as

a preneed seller and shall prepare a written contract with the consumer in full compliance of all statutes and applicable regulations.

8. If a person or entity enters into a preneed contract by accepting as payment an assignment of a life insurance policy, designation as a beneficiary on a life insurance policy, transfer or pay on death designation on a financial account those contracts shall be reported to the Board on the annual report and remittance of the required per contract fee shall be due.

A Chair recommendation was made to table to the Examination Committee to look into further and bring back to the Board.

Rule requiring notification of consumers for new trustee

1. If a preneed contract is assigned or otherwise transferred to a new seller (for example, what is sometimes called a “rollover”), either the new or old preneed seller shall provide written notice to each preneed purchaser of the transfer of the contract and shall include, at a minimum, the name, address, telephone number of the new seller, the effective date of the transfer and shall also include a copy of Sections 436.456 and 436.458, RSMo, notifying the purchaser of his/her right to cancel or transfer his/her preneed contract and also of his/her right to select an alternative provider. It shall be sufficient notice, under this rule if the preneed seller mails, by regular mail, a copy of the notice to the last address known to the seller of the preneed purchaser.
2. If a preneed seller moves to a preneed trustee other than the trustee identified in the preneed contract, the preneed seller shall provide written notice to each preneed purchaser of the change in the trustee and include in this notice the name, address and phone number of the new trustee, the date of the transfer, and a copy of Sections 436.456 and 436.458, RSMo, notifying the purchaser of his/her right to cancel or transfer his/her preneed contract and also of his/her right to select an alternative provider. It shall be sufficient notice, under this rule if the preneed seller mails, by regular mail, a copy of the notice to the last address known to the seller of the preneed purchaser.

A motion was made by John McCulloch and seconded by James Reinhard to remove this proposition. Motion carried with and Martin Vernon voting in favor. Gary Fraker and Archie Camden voted in opposition.

Abandoned preneed funds, duty of seller

1. It shall be the duty of the seller to periodically, at least once a year, review its active preneed contracts and make a good faith effort to determine whether any preneed beneficiaries have died with no claim made for the preneed funds held either in joint account or trust.
2. If a preneed beneficiary has died and no claim for the preneed funds has been made to the seller within one year from the date of the death of the beneficiary, the seller shall notify, in writing, the trustee or financial institution holding the funds of this potential abandonment and request the trustee to distribute the preneed funds being held to the estate of the beneficiary, to MoHealthNet as

repayment for state funds received by the beneficiary per Chapter 208, RSMo, and/or to the State Treasurer as unclaimed property in accord with the provisions of Chapter 447, RSMo, or in accord with any other law, as applicable.

3. The seller shall maintain records evidencing the periodic review and also maintain a copy of all notifications of potential abandonment made to all trustees or financial institutions.

A motion was made by James Reinhard and seconded by John McCulloch to refer to the Examination Committee to look into further. Motion carried with Gary Fraker, John McCulloch, Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

Confidentiality of Pread Records Obtained by the Board Through Financial Examination, Audit or Investigation

Purpose: The purpose of this rule is to ensure confidentiality of consumer records and confidential data of licensees and registrants.

Authority: Section 436.525, RSMo.

1. Upon completion of any financial exam, audit or investigation involving preneed records, the Board members may be provided with a summary of the results of the exam, audit or investigation and any such summary shall not include information made confidential per Section 436.525, RSMo, unless such information is required for the Board to evaluate whether the Board should take further action.
2. The Board's Executive Director shall be the custodian of all records received and maintained by the Board related to any financial examination, audit or investigation involving preneed records.
3. No individual member of the Board shall be given access to review the work papers of the examiners, auditors or investigator related to the examination, audit or investigation of preneed records unless such access has been specifically approved by the Board, as a body. Work papers shall include any records or information obtained from any licensee, registrant or any other source that includes any information made confidential by Section 436.525, RSMo. Work papers shall also include any compilation, spreadsheet or other record prepared by the examiner, auditor or investigator from information and records obtained from the licensee, registrant or other source that contains information made confidential by Section 436.525, RSMo. Work papers shall not include any document that would otherwise be an open record under Missouri law.
4. If the subject of any financial examination, audit or investigation is a person or entity that is a competitor either directly or indirectly within the same geographical region as an individual board member or is a person or entity with whom an individual Board member has a business interest or a past or current business relationship, then that Board member shall recuse him or herself from all matters related to the Board's review or action on that matter and shall have no access to the summary report or any other records from the financial examination, audit or investigation and shall not take part in any meeting or other proceeding involving that subject of exam, audit or investigation. Any recused Board member under this rule may have access to any matter that would be available to any member of the public, and access, as needed, to provide evidence in any litigation or proceeding as any other fact witness would have.

A motion was made by James Reinhard and seconded by Gary Fraker to accept. Motion carried with John McCulloch, Martin Vernon and Archie Camden voting in favor with no votes in opposition.

A motion was made by Gary Fraker and seconded by Archie Camden that rules be move through for small business based on a change to legislation. Motion carried with Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

A motion was made by John McCulloch and seconded by Gary Fraker to move into closed session. Motion carried with Martin Vernon, James Reinhard and Archie Camden voting in favor with no votes in opposition.

September 28, 2011

Review and Approval of Open Meeting and Mail Ballot Minutes

A motion was made by John McCulloch and seconded by James Reinhard to approve the following Open Meeting and Mail Ballot minutes:

*January 22, 2010 Meeting
February 4, 2010 Meeting
February 11, 2010 Meeting
March 30-31, 2010 Meeting
September 8-9, 2010 Meeting
October 27, 2010 Meeting
February 1, 2011 Mail Ballot*

Motion carried with John McCulloch and James Reinhard voting in favor with no votes in opposition. Archie Camden abstained from voting. Gary Fraker and Martin Vernon were absent from the vote.

A motion was made by John McCulloch and seconded by James Reinhard to approve the following open meeting and mail ballot minutes:

*November 10, 2010 Mail Ballot
December 6-8 Meeting
December 16, 2010 Meeting
January 3, 2011 Mail Ballot
January 11, 2011 Meeting
March 3, 2011 Meeting
March 29, 2011 Mail Ballot
April 12, 2011 Mail Ballot
April 15, 2011 Mail Ballot
May 3, 2011 Mail Ballot
June 1-2, 2011 Meeting
August 12, 2011 Meeting
August 31, 2011 Examination Committee Meeting
September 2, 2011 Mail Ballot*

Motion carried with Archie Camden voting in favor with no votes in opposition. Gary Fraker and Martin Vernon were absent from the vote.

Update from Committee on Licensure

John McCulloch gave an update, stated that they will not be able to get the language assembled to propose for legislation this year, but probably next year.

Executive Director Report

Sandy Sebastian introduced staff. An update was given on the amount of licenses that have been issued since the last meeting and the amount of licenses closed since the last meeting. Renewal statistics were given. Financial statistics were given.

The Board made the recommendation of doing conference calls on the months that they do not have meetings rather than doing mail ballots.

Legal Counsel Report

- Update on NPS- no new news, the criminal case is proceeding in fed court, no official date scheduled for trial.
- Buescher- still pending in circuit court- no final decision
- Regular communications with other departments- task force to regulate the preneed.
- Talked with insurance, discussed issues with preneed sellers and agents who are selling insurance to discuss what is appropriate for non-licensed persons who are selling insurance.
- Finance charges on contracts – under finance laws it is ok to charge finance charges as long as you are the seller. No license is necessary. If you are somebody other than a seller, you must obtain a license from division of finance, chapter 364. Under our law, if you impose a finance charge, it has to be deposited in trust.

MFDEA Proposed Legislation

Discussion was held by the Board and public in attendance regarding proposed legislation from the MFDEA that included dual licensure, phasing out Limited Funeral Director license, adding 12 month apprenticeship to Funeral Director application process, and requiring all preneed agents to be Funeral Directors.

Discussion regarding Funeral Director apprenticeships

This item was tabled until 1:15.

Motion was made by Archie Camden and seconded by John McCulloch to go into closed session. Motion carried with James Reinhard, Archie Camden and John McCulloch voting in favor with no votes in opposition.

Review and Discussion of Proposed Legislation

This item was tabled until 1:15

Ed Swarnes; Case EMB 2-003-PV; Funeral Director License number 006143

A probation violation hearing for Ed Swarnes was held at 11:30 a.m. Martin Vernon and Gary Fraker were not present for the hearing. Sharon Euler represented the Board of Embalmers and Funeral Directors and Sarah Ledgerwood was the advisor to the Board. Mr. Swarnes was present with no counsel. Discussion was held with the Board and Mr. Swarnes regarding his non-compliance. The hearing adjourned at 11:56 a.m. The Board will discuss further in closed session and Mr. Swarnes will be notified of their decision.

A motion was made by Archie Camden and seconded by John McCulloch to move into closed session. Motion carried with James Reinhard, John McCulloch and Archie Camden voting in favor with no votes in opposition.

The Board reconvened in open session at 1:17 p.m.

Discussion regarding Funeral Director apprenticeships

A motion was made by Archie Camden and seconded by James Reinhard to draft legislation in regard to Funeral Director Apprenticeships allowing for apprentices to sit for the law examination prior to the completion of the apprenticeship. Motion carried with James Reinhard, John McCulloch and Archie Camden voting in favor with no votes in opposition.

Review and Discussion of Proposed Legislation (Attachment A)

Discussion was held with the Board and public in attendance regarding the proposed legislation on 333.325.

A motion was made by James Reinhard and seconded by John McCulloch to change the amount of time from 6 months to 12 months in regard to temporary permit for Preneed Agents. Archie Camden and D. Todd Mahn voted in opposition. Motion died.

A motion was made by Archie Camden and seconded by James Reinhard to approve proposed legislation as is, leaving the 6 months. Motion carried with D. Todd Mahn voting in favor. John McCulloch voted in opposition.

Discussion was held on 333.061 and changing 3 to remove language and replace with language stating application will expire in 6 months.

A motion was made by Archie Camden and seconded by James Reinhard to approve the proposal. Motion carried

Open Discussion/Dialogue

A member of the public would like to see the Board take a more proactive approach on the electronic death certificate issue. D. Todd Mahn directed that John McCulloch and Sandy Sebastian be assigned to a committee to meet with the Department of Health and try and work on the system.

Discussion was held regarding video conferencing of the meetings. Member's of the public stated that this would be a very educational and that they feel it is a way to get more people involved. D. Todd Mahn directed Sandy Sebastian to check into video conferencing and see what the options are, and also check to see what would be required for out of town meetings.

Discussion was held regarding the December Board meeting. A tentative date was set for December 6-7-8, 2011 in Kansas City, MO.

Oliver Family Funeral Home, LLC; Case EMB12-002-PV; Embalmer Apprentice number-2010033177; Funeral Director license number- 2010033996

The probation violation hearing scheduled for Oliver Family Funeral Home was granted a continuance. Sharon Euler, Division Legal Counsel received a settlement offer on this case. The Board will discuss further in closed session.

A motion was made by John McCulloch and seconded by James Reinhard to move into closed session. Motion carried with James Reinhard, John McCulloch and Archie Camden voting in favor with no votes in opposition.

The Board reconvened in open session at 3:00 p.m.

Mark Bailey; Case EMB 12-001-PV; Embalmer license number 005979; Funeral Director license number 004441

Mark Bailey was not present for the probation violation hearing. The Board heard statements and reviewed exhibits pertaining to the probation violation hearing. The Board will deliberate in closed session and a copy of the order will be mailed to Mr. Bailey.

Brian Winters; Case 10-1911 EM; Embalmer license number 2006003630; Funeral Director license number 2006000604

A probation violation hearing for Brian Winters was held at 3:00 p.m. Martin Vernon and Gary Fraker were not present for the hearing or the vote. Sharon Euler represented the Board of Embalmers and Funeral Directors and Sarah Ledgerwood was the advisor to the Board. Mr. Winters was present with no counsel. Discussion was held with the Board and Mr. Winters regarding his failure to notify of previous discipline at time of licensure. The hearing adjourned at 3:47 p.m.. The Board will discuss further in closed session and Mr. Winters will be notified of their decision.

A motion was made by James Reinhard and seconded by Archie Camden to go into closed session. Motion carried with James Reinhard, John McCulloch and Archie Camden voting in favor. Gary Fraker and Martin Vernon were not present for the vote.

Recess until 7:30 a.m. September 29, 2011

September 29, 2011

CLOSED

A motion was made by Archie Camden and seconded by John McCulloch to adjourn at 5:16 p.m. on Thursday September 29, 2011.

**State Board of Embalmers and Funeral Directors
Examination Committee**

**October 3, 2011 9:00 a.m.
State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO. 65109**

Open Meeting Minutes

The meeting of the State Board of Embalmers and Funeral Directors Examination Committee was called to order by James Reinhard, Committee Chair, at 9:10 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Open Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda. Motion carried.

Closed Meeting-Review of Financial Examinations

A motion was made by Archie Camden and seconded by James Reinhard to move into closed session pursuant to Section 610.021, subsection (1) and (14) RSMo and Section 620.010.14 Subsection (7) RSMo. Motion carried.

Adjournment

A motion was made and seconded to adjourn the examination committee meeting at 10:03 a.m.

Executive Director: _____

Approved by the Board on: _____

Open Minutes
Missouri State Board of Embalmers
and Funeral Directors
October 6, 2011
3605 Missouri Boulevard, Suite 370
Jefferson City, Missouri

MAIL BALLOT

Board Members

Daniel T. Mahn, Chairman
James Reinhard, Vice-Chairman
John McCulloch, Secretary
Gary Fraker, Member
Martin Vernon, Member
Archie Camden, Public Member

Closed Session

The Chairperson declared the meeting be closed pursuant to Section 610.021 Subsection (14) and 324.001.8 RSMo for discussing educational transcripts and/or test scores and/or complaints and/or audits and/or investigative reports and/or other information pertaining to the licensee or applicant for licensure; Section 610.021 Subsection (1) RSMo for discussing general legal actions, causes of action or litigation and any confidential or privileged communications between this agency and its attorney.

Executive Director _____

Approved by Board on _____

State Board of Embalmers and Funeral Directors

November 4, 2011
Division of Professional Registration
3605 MO Blvd
Jefferson City Missouri 65109

OPEN CONFERENCE CALL MINUTES

November 4, 2011 9:30 a.m.

The meeting of the State Board of Embalmers and Funeral Directors was called to order by D. Todd Mahn, Chairman at 9:30 a.m.

Roll call

Board Members present

D. Todd Mahn, Chairman
James Reinhard, Vice Chairman
John McCulloch, Secretary
Gary Fraker, Member
Martin Vernon, Member

Board Members Absent

Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lori Hayes, Inspector
Tabatha Lenzini, Administrative Office Assistant
Sharon Euler, Division Legal Counsel

A motion was made by Gary Fraker and seconded by John McCulloch to approve the open agenda. Motion carried with Martin Vernon and James Reinhard voting in favor with no votes in opposition. Archie Camden not present for the meeting.

A motion was made by John McCulloch and seconded by Gary Fraker to go in to closed session. Motion carried Martin Vernon and James Reinhard voting in favor with no votes in opposition. Archie Camden not present for the meeting.

Board reconvened in Open Session at 10:45 a.m.

James Reinhard talked about The International Conference annual meeting being held February/March 2012 and how he felt it important for Sandy to attend and represent Missouri and hear the discussions on alkaline hydrolysis. Chair recommends the Executive Director to attend The Conference 108th annual meeting.

James Reinhard reported to the board about alkaline hydrolysis process from the NFDA conference he attended in Chicago.

A motion was made by Gary Fraker and seconded by Martin Vernon to adjourn the meeting 11:00 a.m. Motion carried with James Reinhard and John McCulloch voting in favor with no votes in opposition. Archie Camden not present for the meeting.

Executive Director: _____

Date Approved: _____

**State Board of Embalmers and Funeral Directors
Examination Committee**

**November 21, 2011 at 9:00 a.m.
State Board of Embalmers and Funeral Directors
3605 Missouri Boulevard
Jefferson City, MO. 65109**

Open Examination Committee Minutes

The meeting of the State Board of Embalmers and Funeral Directors Examination Committee was called to order by James Reinhard, Committee Chair, at 9:07 a.m.

Roll Call

Board Members Present

James Reinhard, Committee Chair
Archie Camden, Member

Staff Present

Sandy Sebastian, Executive Director
Lisa Wildhaber, Examiner Supervisor
Sharon Euler, Division Legal Counsel

Approval of Open Agenda

A motion was made by Archie Camden and seconded by James Reinhard to approve the open agenda. Motion carried.

Closed Meeting-Review of Financial Examinations

A motion was made by Archie Camden and seconded by James Reinhard to move into closed session under Section 610.021 Subsection (14) and 620.010.14 Subsection (7)RSMo for the purpose of discussing investigative reports and/or complaints and/or audits and/or other information pertaining to a licensee or applicant. Motion carried.

The committee reconvened in open session at 9:43 a.m.

Review Draft of Rule-“What constitutes a Preneed Contract”

Discussion was held regarding the draft rule regarding what constitutes a preneed contract. A motion was made by James Reinhard and seconded by Archie Camden to approve draft rule of “what constitutes a preneed contract” and send to full Board. Motion carried.

Adjournment

A motion was made and seconded to adjourn the examination committee meeting at 10:22 a.m.

Executive Director: _____

Approved by the Board on: _____

EMBALMERS/FUNERAL DIRECTORS YEAR END REPORT

THIS INFORMATION REFLECTS REPORTING YEAR DATA

<u>ACTIVE LICENSEES</u>	2007	2008	2009	2010	2011
Temporary	1	0	0	0	0
Embalmers	1147	1155	1127	1063	1104
Funeral Directors	2431	2425	2347	2204	2285
Limited Funeral Directors	12	15	14	22	24
Funeral Establishments	695	695	696	681	685
Preneed Providers	612	612	612	569	575
Preneed Sellers	348	348	349	337	331
Preneed Agents				148	179
Preneed Funeral Director Agents				971	987
Retired Embalmers		14	0	15	0
Retired Funeral Directors		52	1	34	0
Total	5262	5250	5145	5995	6170
<u>LICENSES ISSUED</u>	2007	2008	2009	2010	2011
Temporary Funeral Director	1	1	0	0	0
Limited Funeral Director	1	3	2	8	2
Funeral Directors	73	70	60	56	63
Embalmers	26	34	34	38	26
Funeral Establishments	51	43	24	30	33
Preneed Sellers				339	12
Preneed Providers				574	33
Preneed Funeral Director Agents				971	65
Preneed Agents				148	66
Total	152	151	120	2164	300
<u>EXAMINATION ADMINISTRATION</u>	2007	2008	2009	2010	2011
Missouri Law Examinations	81	132	143	329	215
State Board Arts Examinations	76	59	77	72	60
Embalmer Oral Examinations	22	20	24	18	8
<u>INSPECTIONS</u>	2007	2008	2009	2010	2011
Inspections	660	708	699	678	739
Violations	83	36	38	156	112
<u>COMPLAINTS</u>	2007	2008	2009	2010	2011
Total Consumer Complaints	46	187	127	44	91
Investigations - Board Initiated				44	21
Complaints - Board Initiated				2	25
Denials				12	1
Investigations					
Chapter 436 Investigation	25	13	4	52	3
Chapter 436 Examination of Books & Records	4	3	0	0	0
Chapter 436 Audit	2	1	0	2	3
Chapter 333 Investigations				5	42

EMBALMERS/FUNERAL DIRECTORS YEAR END REPORT

<u>DISCIPLINE</u>	2007	2008	2009	2010	2011
License Revocation	6	1	0	16	3
Licenses Placed on Probation	10	10	3	15	9
Licenses Suspended	4	9	1	0	14
Licenses Suspended per § 324.010	0	1	12	0	23
Public Letter of Censure	0	0	2	0	1
Board Permanent Injunction	0	1	0	0	0
Restraining Order, Stipulated Agreement			6	0	0
Voluntary Surrendered				1	0

THIS INFORMATION REFLECTS REPORTING YEAR DATA

<u>PRENEED SELLER ANNUAL REPORTING</u>	2007	2008	2009	2010	2011
Number of preneed contracts reported sold	29,867.00	17,315.00	12,504.00	15,209.00	14,269.00
Amount a face value of preneed contracts reported sold	116,697,797.17	76,947,386.84	53,703,160.13	66,780,707.02	68,882,512.29
Amount of annual reporting fees collected (\$2 per contract through 2009/\$36 per contract beginning 2010)	59,734.00	34,630.00	25,008.00	547,524.00	513,684.00

Board Name**Embalmers & Funeral Directors**

Licensee Name	License #	Orig Issue Date
Miller, Robert G, II	2011034090	10/11/2011
Keim-Thurman, Jamie Marie	2011037472	11/7/2011
Quinn, Shannon Mackinzie	2011037473	11/7/2011

Embalmer Apprentice 3

Licensee Name	License #	Orig Issue Date
Amend, Samantha	2011032223	9/22/2011
Taylor, Deonte L	2011033996	10/7/2011
Keith, Amber N	2011034012	10/7/2011
Crawford, Stephanie Lynn	2011035906	10/25/2011
Randle, Chrystal R	2011036320	10/27/2011
Moore, David L	2011036356	10/28/2011
Oliver, Anthony L	2011037042	11/3/2011
Cummings, Joseph M	2011037641	11/10/2011
Lancaster, Sarah Nicole	2011037682	11/14/2011

Embalmer 9

Licensee Name	License #	Orig Issue Date
Boyer, Carol	2011032629	9/27/2011
Vickery, Derek Edward	2011037841	11/15/2011

Funeral Director Apprentice 2

Licensee Name	License #	Orig Issue Date
Randle, Chrystal	2011032614	9/27/2011
Akin, Landon Ray	2011034463	10/13/2011
Gladbach, Vanessa Lynn	2011035312	10/20/2011
May, Holwell James, II	2011036355	10/28/2011
DeClue, Brian K, Jr.	2011036770	11/2/2011
Roesslein, Emily Ellen	2011036781	11/2/2011
Pargman, Avery Nichole	2011037232	11/7/2011
Stingley, Tyler Morrison	2011037252	11/7/2011
Cummings, Joseph M	2011037640	11/10/2011
Beall, Melissa Ann	2011037684	11/14/2011
Tobias, Corey Lynn	2011038197	11/18/2011

Funeral Director 11

Licensee Name	License #	Orig Issue Date
Cashatt Fmaily, LLC	2011032188	9/22/2011
Moreau Valley Enterprises	2011033089	9/29/2011
Watkins Heritage Chapel LLC	2011033158	9/30/2011
Hidden Valley Funeral Home, LLC	2011033165	9/30/2011
Pitman Funeral Homes, Inc.	2011033173	9/30/2011
Professional Funeral Director Services Inc	2011033722	10/5/2011

Board Name**Embalmers & Funeral Directors**

Licensee Name	License #	Orig Issue Date
Farmington Undertaking Company, Inc.	2011033883	10/6/2011
Kinney Mortuary Service & Crematory Inc	2011035611	10/21/2011
Broder Cremation Services Inc	2011036283	10/27/2011
Grisamore Enterprises Inc	2011036631	10/31/2011
Grisamore Enterprises Inc	2011036635	10/31/2011
Cole Family Funeral Home LLC	2011037315	11/7/2011
Millard Family Chapels, Inc.	2011038294	11/18/2011

Funeral Establishment

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Licensee Name	License #	Orig Issue Date
Jones, Ronald L	2011009824	10/14/2011
Jones, Ronald L	2011009824	10/14/2011
Hieber, Thomas	2011034558	10/13/2011
Bennett, Lane B	2011035173	10/19/2011
Macoubrie, Brooks T	2011035386	10/20/2011
Roberson, Kevin M	2011035390	10/20/2011
Pitts, Stephen J	2011035515	10/21/2011
Cashatt, Ryan W	2011037065	11/4/2011
Cole, Anthony N	2011037316	11/7/2011

Preneed Agent Funeral Director

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Licensee Name	License #	Orig Issue Date
Haley, Robert	2011032714	9/27/2011
Hankins, Danny W	2011033748	10/5/2011
Hansen, Julie Beth	2011034003	10/7/2011
Asbury, Ryan T	2011034009	10/7/2011
Sobo, Robert James	2011034011	10/7/2011
Abbott, Thomas J	2011035190	10/19/2011
Pettet, David Charles	2011035389	10/20/2011
Duane, Thomas Edward	2011035559	10/21/2011
Tripp, Eric David	2011035903	10/25/2011
Eubanks, Carrie L	2011035904	10/25/2011
Houser, Janice Leah	2011035960	10/25/2011
Luke, Keith W	2011036001	10/26/2011
Dogan, Ovellia	2011036479	10/31/2011
Burke, Cory Franklin	2011036480	10/31/2011
Woodcox, Charles A	2011036783	11/2/2011
Curren, Roosevelt , Jr.	2011037044	11/3/2011
Chmiel, Kelly J	2011037066	11/4/2011
Mellon, Charles E	2011037829	11/15/2011

Preneed Agent

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Board Name**Embalmers & Funeral Directors**

Licensee Name	License #	Orig Issue Date
Moreau Valley Enterpeises	2011033088	9/29/2011
Watkins Heritage Chapel LLC	2011033159	9/30/2011
Hidden Valley Funeral Home LLC	2011033163	9/30/2011
Pitman Funeral Home, Inc.	2011033172	9/30/2011
Kinney Mortuary Service & Crematory, Inc.	2011035961	10/25/2011
Grisamore Enterprises Inc	2011036632	10/31/2011
Grisamore Enterprises Inc	2011036634	10/31/2011
Cashatt Family, LLC	2011037062	11/4/2011
Cole Family Funeral Home	2011037314	11/7/2011
Millard Family Chapels, Inc.	2011038293	11/18/2011

Preneed Provider 10

Licensee Name	License #	Orig Issue Date
Hidden Valley Funeral Home, LLC	2011033164	9/30/2011
Moreau Valley Enterprises	2011033973	10/6/2011
Grisamore Enterprises Inc	2011036633	10/31/2011
Perpetua Holdings Inc	2011037043	11/3/2011
Cashatt Family, LLC	2011037064	11/4/2011
Cole Family Funeral Home	2011037313	11/7/2011

Preneed Seller 6**Total count for the Embalmers & Funeral Directors board: 81****Podiatrists**

Licensee Name	License #	Orig Issue Date
LaFontant, Magdala D	2011032463	9/26/2011

Podiatrist/Ankle 1

Licensee Name	License #	Orig Issue Date
Huels, Lawrence A	2011033992	10/7/2011
Huels, Michael D	2011033993	10/7/2011

Podiatrist 2**Total count for the Podiatrists board: 3**

11/22/2011

CRR Renewal Approved
Between 09/28/2011 and 11/22/2011

Board Name

Embalmers & Funeral Directors

Funeral Establishment	281
Preneed Agent Funeral Director	381
Preneed Agent	69
Preneed Provider	430
Preneed Seller	286

Total count for the Embalmers & Funeral Directors board: 1447

Total count for all boards: 1447

**Closed Funeral Establishments, Preneed Providers, and Preneed Sellers
Between 9/27/2011 and 11/22/2011**

Funeral Establishment	Name	Lic Number	Address	License Status	Exp Date	Closed Date
Rea Funeral Chapel & Cremation Services, LLC		2009028971	1001 S Limit	Closed/Out of Business	12/31/2011	10/3/2011
Harding Funeral Home & Furniture Inc		2004029067	Sedalia, MO 65301 106 W Lion St	Closed/Change of Owner	12/31/2011	9/30/2011
Meyer-Hilke-Millard Funeral Home, Inc.		002544	Jonesburg, MO 63351 107 South Market St	Closed/Change of Owner	12/31/2011	11/18/2011
Lakin Funeral Homes		002094	Chamois, MO 65024 General Delivery	Closed/Out of Business	12/31/2011	10/3/2011
Watkins Bros. Memorial Chapel, Inc.		000824	Stott City, MO 65723 4000 Cleaver II Blvd	Closed/Change of Owner	12/31/2011	9/30/2011
Broder Cremation Services, Inc.		2005002455	Kansas City, MO 64130 1339 Harvestowne Industrial Dr	Closed/Change of Location	12/31/2011	10/27/2011
Preneed Provider						
Harding Funeral Home, Inc		2009039229	Saint Charles, MO 63304 106 Lion Ave PO Box 186	Closed/Change of Owner	10/31/2011	9/30/2011
Meyer-Hilke-Millard Funeral Homes, Inc		2009039111	Jonesburg, MO 63351 107 S. Market St.	Closed/Change of Owner	10/31/2011	11/18/2011
Gladden-Stamey Funeral Home, Inc		2009037493	Chamois, MO 65024 2335 St. Joseph Avenue	Closed/Change of Owner	10/31/2011	10/31/2011
Watkins Brothers Memorial Chapel, Inc		2009039718	Saint Joseph, MO 64505 4000 Cleaver II Blvd.	Closed/Change of Owner	10/31/2010	9/30/2011
Preneed Seller						
Harding Funeral Home, Inc		2009039230	Kansas City, MO 64130 106 Lion Ave PO Box 186	Closed/Change of Owner	10/31/2011	9/30/2011
			Jonesburg, MO 63351			

Between 9/27/2011 and 11/22/2011

Preneed Seller	Name	Lic Number	Address	License Status	Exp Date	Closed Date
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Watkins Brothers Memorial Chapel Inc		2009039717	4000 Cleaver II Blvd	Closed/Change of Owner	10/31/2010	9/30/2011
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Kansas City, MO 64130

3605 Missouri Blvd.
Jefferson City, Missouri 65102
(573) 751-0293

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2011-005815	Blair, Elena SUSPENDED DOR HB600	
Blair, Elena M	S/C: <input type="checkbox"/>	Suspended 324.010
	11/05/2011	This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.
Complaint: 2011-005966	Bowlin Funeral Home Inc dba Bowlin-Cantriel Fuenral Services 2009039023 SUSPENSION	
Bowlin Funeral Home Inc	S/C: <input type="checkbox"/>	Suspension
DBA: Bowlin-Cantriel Funeral Se	11/01/2011	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2011-005968	C & D Shepard, Inc dba Shepard Funeral Chapel 2010000171 SUSPENSION	
C & D Shepard, Inc	S/C: <input type="checkbox"/>	Suspension
DBA: Shepard Funeral Chapel	11/01/2011	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2011-005970	Clark & Sons Fuenral Chapel LLC 2009039132 SUSPENSION	
Clark & Sons Funeral Cha	S/C: <input type="checkbox"/>	Suspension
	11/01/2011	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.
Complaint: 2011-005980	Gebken-Benz Mortuary, Inc dba Gebken-Benz Mortuary 2009039292 SUSPENSION	
Gebken-Benz Mortuary, In	S/C: <input type="checkbox"/>	Suspension
DBA: Gebken-Benz Mortuary	11/01/2011	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

3605 Missouri Blvd.
Jefferson City, Missouri 65102
(573) 751-0293

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2011-005470 **Hieber, Thomas PNFDA 2011034558 - 2 yr Probation**

Hieber, Thomas

S/C:

10/14/2011

Issued Probated License

Preneed Agent Funeral Director registration issued probated to run concurrent with term of probation on his funeral director license

On January 10, 2011, a Complaint was filed charging Hieber with one count of driving under the influence of alcohol and once count of driving a vehicle and having more than .08 percent by weight of alcohol in his blood, in violation of the laws of South Dakota. State of South Dakota v. Hieber, Minnehaha County, Case number 11-0122. On February 28, 2011, the Court entered an "Order Suspending Imposition of Sentence" stating that Hieber pled guilty to one count of driving under the influence and suspended imposition of sentence on the conditions that for two years, Hieber obey all laws, pay fine and costs and serve 2 days in the Minnehaha County jail. State of South Dakota v. Hieber, Minnehaha County, Case number 11-0122.

Complaint: 2011-005984 **Ingersoll Chapel For Funeral & Cremation Serv LLC dba Ingersoll Chapel 2009039702 SUSPENSION**

Ingersoll Chapel For Fune S/C:

DBA:Ingersoll Chapel

11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-005806 **Jones, Lawrence SUSPENDED DOR HB600**

Jones, Lawrence A, Jr

S/C:

11/05/2011

Suspended 324.010

This license has been suspended by operation of law pursuant to Section 324.010, RSMo, which requires suspension of the professional license of individuals who fail to file state tax returns or fail to pay state tax liabilities.

Complaint: 2011-005987 **Lakewood Park Cemetery inc 2010001251 SUSPENSION**

Lakewood Park Cemetery S/C:

11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2009-004702 **Lewis-Bolch, Katherine E. FD#007131& EMB#007509 - Board Investigation**

Lewis-Bolch, Katherine E

S/C:

10/27/2011

Probation

5 YEARS PROBATION FOLLOWING THE 1 YEAR SUSPENSION

S/C:

10/27/2011

Probation

5 YEARS PROBATION FOLLOWING THE 1 YEAR SUSPENSION

3605 Missouri Blvd.
Jefferson City, Missouri 65102
(573) 751-0293

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2011-005989 **Polley Funeral Home LLC 2009039723 SUSPENSION**

Polley Funeral Home LLC S/C:

11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-005990 **Radford Funeral Home, Inc 2009037939 SUSPENSION**

Radford Funeral Home, In S/C:

11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-005992 **Robert J. Ambruster, Inc dba Ambruster Donnelly Mort 2009039511 SUSPENSION**

Robert J Ambruster, Inc S/C:

DBA:Ambruster Donnelly Mortu 11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-006070 **Saber Management-St. Louis LLC dba St. Francois Memorial Park 2009039127 SUSPENSION**

Saber Management-St. Lc S/C:

DBA:St. Francois Memorial Par 11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-005993 **StoneMor Missouri Subsidiary LLC dba Forest Hill Calvary Cem 2009039104 SUSPENSION**

StoneMor Missouri Subsid S/C:

DBA:Forest Hill CalvaryCemete 11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Complaint: 2011-005994 **StoneMor Missouri, LLC dba Forest Hill Calvary Cem 2009039105 SUSPENSION**

StoneMor Missouri, LLC S/C:

DBA:Forest Hill Calvary Cemete 11/01/2011

Suspension

This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2011-005995	Suvee Smith dba Wm Smith Funeral Home 2009039517 SUSPENSION
Suvee Smith	S/C: <input type="checkbox"/>
DBA: Wm Smith Funeral Home	11/01/2011
	Suspension
	This license has been suspended by operation of law pursuant to Section 436.460.7, RSMo, which requires suspension of the preneed seller license for failure to file their annual report on or before its due date until such time as the annual report is filed and all applicable fees have been paid.

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2011-005477 Swarnes, Ed FD 006143 2 yr PROBATION

Swarnes, Eddie J

S/C:

10/12/2011

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Probation

Board issued Order extending probation to October 1, 2014.

Swarnes holds funeral director license number 006143. The license is current and active. Swarnes and the Board entered in the "Settlement Agreement between the State Board of Embalmers and Funeral Directors and Eddie Swarnes" (Settlement Agreement) signed by Swarnes on September 9, 2007 and by the Board on September 17, 2007. The Settlement Agreement became effective 15 days after the Board's signature on October 2, 2007. In the Settlement Agreement, Swarnes and the Board agreed that Swarnes' funeral director's license was subject to discipline due to the finding by the Circuit Court of St. Louis County that Swarnes violated certain provisions of Chapter 436, RSMo and that Swarnes failed to trust properly preneed funds entrusted to him by consumers in the case of State of Missouri v. Eddie J. Swarnes, et al., case number 05CC-003584. The Settlement Agreement Disciplinary Order placed Swarnes' funeral director license on probation for a period of five years beginning on October 2, 2007 and continuing until October 1, 2012, subject to certain enumerated terms and conditions. The terms and conditions of probation are set forth in paragraph II.2 found on pages 2 through 5 of the October 2, 2007 Settlement Agreement Disciplinary Order. Subparagraph D of paragraph II.2. of the terms and conditions of probation requires Swarnes to file periodic written reports of compliance and states: Swarnes shall submit written reports to the Board no later than January 1 and July 1 of the disciplinary period stating truthfully whether there has been compliance with all of the requirements of this settlement agreement. It is Swarnes' responsibility to ensure that the reports are submitted by the dates set forth above. The first such report is due by January 1, 2008. The Board received Swarnes' written reports of compliance for January 1, 2008, July 1, 2008, January 1, 2009 and July 1, 2009. The Board did not receive written reports of compliance from Swarnes which were due on January 1, 2010, July 1, 2010, January 1, 2011, and July 1, 2011. On August 31, 2011 the Board notified Swarnes of the September 28, 2011 probation violation. The Board sent notice of the hearing, including the time, date and location of the hearing, as well as a copy of the Probation Violation Complaint to Swarnes at his last known address on file with the Board. The Board sent the notice by certified mail. The Board received the "green card" back from Swarnes signed by Swarnes indicating receipt of the Notice of Hearing. During the September 28, 2011 hearing, counsel for the Board offered and the Board admitted the following exhibits: a. Exhibit 1: PROMO Licensing screen showing licenses. b. Exhibit 2: Settlement Agreement and blank compliance report form. c. Exhibit 3: Notice of Hearing and probation violation complaint. During the September 28, 2011 hearing, the Board heard testimony from the following witness on behalf of the Board: Sandy Sebastian, Executive Director. During the September 28, 2011 hearing, Swarnes offered and the Board admitted the following exhibits: Exhibit A: Compliance Report for January 1, 2010 signed and dated by Swarnes on September 28, 2011. Exhibit B: compliance Report for July 1, 2010 signed and dated by Swarnes on September 28, 2011. Exhibit C: Compliance Report for January 1, 2011 signed and dated by Swarnes on September 28, 2011. Exhibit D: Compliance Report for July 1, 2011 signed and dated by Swarnes on September 28, 2011. Exhibit E: Email correspondence between Swarnes and the Board during the period of Swarnes' probation issued in the October 2, 2007 Settlement Agreement. During

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

in the October 2, 2007 Settlement Agreement. During the September 28, 2011 hearing, Swarnes testified on his own behalf as to the alleged violations contained in the probation violation report. Swarnes stated that he sent e-mails to the Board that were close in time to January 1, 2010, July 1, 2010, January 1, 2011 and July 1, 2011 but not on the actual dates. He stated some were prior in time to the deadline set in the Settlement Agreement and some were after the deadline set in the Settlement Agreement. He stated the e-mails reported changes in his employment to the Board but not statements regarding his compliance with the October 2, 2007 Settlement Agreement. He stated that he thought the e-mails were sufficient to comply with the requirements of the Settlement Agreement.

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2009-003137 **Winters, Brian EMB 2006003630 -Board Investigation**

Winters, Brian

S/C:

11/08/2011

Probation

Order issued by the board suspending embalmer license 1 year followed by 5 years probation and probating funeral director license for 5 years.

Winters has held a Missouri funeral director's license since January 10, 2006. Winters has also held a Missouri embalmer's license since February 7, 2006. At all relevant times these licenses were and are current and active. Between December 12, 1993, and August of 1995, Winters was an Illinois-licensed funeral director working for Russell Memorial Chapel located in East St. Louis, Illinois. Between December 12, 1993, and August of 1995, Winters was part of a scheme to defraud purchasers of prearranged funeral plans, the federal government, and Russell Memorial Chapel of more than \$30,000. Winters accomplished the fraud by selling pre-arranged funerals on behalf of Russell Memorial Chapel and then failing to pay over to Russell Memorial Chapel the funds he had received. Winters also falsified and forged assignment forms that he mailed to insurance companies and the United States government. By mailing these fraudulent assignment forms, he caused others to mail to him funds to which he was not entitled. On February 4, 1997, Winters pled guilty in the United States District Court, Southern District of Illinois, to the charge of mail fraud. Winters was committed to the United States Bureau of Prisons for 16 months, followed by three years of supervised release. Winters was assessed \$50.00 and ordered to pay restitution of \$36,854.62. On August 26, 1998, Winters' Illinois funeral director/embalmer license was revoked. The basis for the discipline was Winters' felony conviction for mail fraud in the United States District Court, Southern District of Illinois.

Disciplinary Actions

Ordered From 09/27/2011 Through 11/22/2011

Complaint: 2009-007432 **Winters, Brian FD 2006000604 Board Investigation**

Winters, Brian

S/C:

11/08/2011

Suspension

Order issued by the board suspending embalmer license 1 year followed by 5 years probation and probating funeral director license for 5 years.

Winters has held a Missouri funeral director's license since January 10, 2006. Winters has also held a Missouri embalmer's license since February 7, 2006. At all relevant times these licenses were and are current and active. Between December 12, 1993, and August of 1995, Winters was an Illinois-licensed funeral director working for Russell Memorial Chapel located in East St. Louis, Illinois. Between December 12, 1993, and August of 1995, Winters was part of a scheme to defraud purchasers of prearranged funeral plans, the federal government, and Russell Memorial Chapel of more than \$30,000. Winters accomplished the fraud by selling pre-arranged funerals on behalf of Russell Memorial Chapel and then failing to pay over to Russell Memorial Chapel the funds he had received. Winters also falsified and forged assignment forms that he mailed to insurance companies and the United States government. By mailing these fraudulent assignment forms, he caused others to mail to him funds to which he was not entitled. On February 4, 1997, Winters pled guilty in the United States District Court, Southern District of Illinois, to the charge of mail fraud. Winters was committed to the United States Bureau of Prisons for 16 months, followed by three years of supervised release. Winters was assessed \$50.00 and ordered to pay restitution of \$36,854.62. On August 26, 1998, Winters' Illinois funeral director/embalmer license was revoked. The basis for the discipline was Winters' felony conviction for mail fraud in the United States District Court, Southern District of Illinois.
